

POLYNOMIAL AND NORMAL BASES FOR FINITE FIELDS

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Abstract. We discuss two different ways to speed up exponentiation in non-prime finite fields: on the one hand, reduction of the total number of operations, and on the other hand, fast computation of a single operation. Two data structures are particularly useful: sparse irreducible polynomials and normal bases. We report on implementation results for our methods.

Keywords. exponentiation, finite fields, normal basis, polynomial basis, Gauss period

1. Introduction

This paper deals with fast exponentiation in finite fields \mathbb{F}_{q^n} , which is a fundamental operation in several cryptosystems (e.g., Diffie & Hellman 1976, ElGamal 1985). There are two different ways to speed up exponentiation: reducing the number of operations in \mathbb{F}_{q^n} , or improving each single operation. There is a particularly attractive data structure for finite fields, namely normal bases, which gives us q th powers essentially for free. The task then is to reduce the number and cost of (other) multiplications.

Our goal is to compare two well-known representations of \mathbb{F}_{q^n} : polynomial and normal bases. In Section 2, we study three approaches using a polynomial basis. Namely we discuss two types of sparse polynomials: *sedimentary polynomials*, which have all nonzero terms at low degrees, except the leading one, and the usual *sparse polynomials* with as few nonzero terms as possible, mainly *trinomials*. A third method uses the polynomial representation of the Frobenius automorphism introduced by von zur Gathen & Shoup (1992) and modular composition. In Section 3 we compare classical arithmetic for normal bases with the work of Gao *et al.* (2000) which connects normal bases and fast polynomial arithmetic using Gauß periods. The theoretical estimates for the better ones of these methods are too close to each other to distinguish between them. Therefore we ran a substantial series of experiments, reported in Section 4. Two champions emerge: sparse irreducible polynomials, in particular

trinomials, for the polynomial representation and, when available, normal bases generated by Gauß periods of type $(n, 1)$.

2. Polynomial basis

Let $q, n \in \mathbb{N}_{\geq 2}$ with q a prime power, and \mathbb{F}_{q^n} the finite field with q^n elements. Regarding \mathbb{F}_{q^n} as a vector space of dimension n over \mathbb{F}_q , we consider two different types of bases in this and the next section: polynomial and normal bases.

Let $f \in \mathbb{F}_q[x]$ be an irreducible polynomial of degree n . Then we have $\mathbb{F}_{q^n} \cong \mathbb{F}_q[x]/(f)$, and $((1 \bmod f), (x \bmod f), \dots, (x^{n-1} \bmod f))$ is the canonical *polynomial basis*. The *canonical representative* of $\beta \in \mathbb{F}_{q^n}$ is the unique polynomial $g \in \mathbb{F}_q[x]$ of degree less than n such that $(g \bmod f) = \beta$. We call a function $M: \mathbb{N}_{>0} \rightarrow \mathbb{R}_{>0}$ a *multiplication time* for $\mathbb{F}_q[x]$ if polynomials in $\mathbb{F}_q[x]$ of degree less than n can be multiplied using at most $M(n)$ operations in \mathbb{F}_q . Classical polynomial multiplication yields $M(n) \leq 2n^2$. We can choose $M(n) \in O(n \log n \log \log n)$ according to Schönhage & Strassen (1971) and Schönhage (1977). Detailed presentations can be found in Aho *et al.* (1974), Section 8.3, and in von zur Gathen & Gerhard (2003), Chapter 9. Implementations are discussed in von zur Gathen & Gerhard (2002); the crossover between classical and Karatsuba multiplication is at degree 576 for that implementation. Allowing $O(M(n))$ precomputation depending only on f , two elements of \mathbb{F}_{q^n} can be multiplied with at most $3M(n) + O(n)$ operations in \mathbb{F}_q . Using an appropriate addition chain for exponentiation (Brauer (1939); see Knuth (1998), Section 4.6.3) we get the following result.

FACT 2.1. *Let $e \in \mathbb{N}_{>0}$ with $2 \leq e < q^n$ and \mathbb{F}_{q^n} be represented by a polynomial basis. An element of \mathbb{F}_{q^n} can be raised to the e th power with $3nM(n) \log q + O(n^2 \log q) \subseteq O(n^2 \log n \log \log n \log q)$ operations in \mathbb{F}_q .*

Using *modular composition* à la Brent & Kung (1978) and the polynomial representation of the Frobenius automorphism from von zur Gathen & Shoup (1992), Gao *et al.* (2000) present an algorithm which uses $O(n^2 \log \log n)$ operations in \mathbb{F}_q .

Sparse modulus. We consider two kinds of *sparse* polynomials. An *s-sparse polynomial* f in the usual sense is of the form $f = \sum_{1 \leq i \leq s} f_i x^{e_i}$ with all $f_i \in \mathbb{F}_q \setminus \{0\}$ and $e_i \in \mathbb{N}_{\geq 0}$, and we want the number s of nonzero terms to be small. The minimal sparseness of irreducible polynomials of this kind is

$$\sigma_q(n) = \min \left\{ s \in \mathbb{N}_{>0} : \begin{array}{l} \text{there exists an } s\text{-sparse irreducible polynomial} \\ \text{in } \mathbb{F}_q[x] \text{ of degree } n \end{array} \right\}.$$

A special type of sparse polynomials is of the form $f = x^n + h \in \mathbb{F}_q[x]$ with $t = \deg h \ll n$ small. All the “relevant material” of f sits at the bottom, and we call f a *t-sedimentary polynomial*. For $n \in \mathbb{N}_{>0}$ and a prime power $q \geq 2$, we define

$$\tau_q(n) = \min\{\deg h : x^n + h \in \mathbb{F}_q[x] \text{ is irreducible}\}.$$

Obviously $\sigma_q(n) - 2 \leq \tau_q(n) \leq n - 1$, since sedimentarity is a special case of sparseness with $s \leq t + 2$.

Sparse polynomials. We first discuss arithmetic in $\mathbb{F}_q[x]/(f)$ for a sparse polynomial f . The following algorithm (which actually works over any commutative ring R) computes the quotient u and remainder v of a polynomial g on division by a monic sparse polynomial f . The idea is based on the observation that the top part of u , called u_1 , equals the top part of g . Furthermore the bottom part u_0 of u equals the top part of $g - u_1 f x^{k-n}$ for a suitable $k \geq n$; this yields a recursive approach.

ALGORITHM 2.2. Sparse division.

Input: An integer $n \in \mathbb{N}_{>0}$, a polynomial $g \in R[x]$ of degree m , and $f = \sum_{1 \leq i \leq s} f_i x^{e_i}$, where $0 = e_1 < \dots < e_s = n$ and $f_1, \dots, f_s \in R$ with $s \geq 2$ and $f_s = 1$, and R is a commutative ring.

Output: Uniquely determined polynomials $u, v \in R[x]$ such that $\deg v < n$ and $g = u \cdot f + v$.

1. If $m < n$ then
2. set $(u, v) \leftarrow (0, g)$. Return (u, v) .
3. Set $k = \max\{n, m - (n - e_{s-1}) + 1\} \geq n$. Write $g = u_1 x^k + w$ with $u_1, w \in \mathbb{F}_q[x]$ and $\deg w < k$.
4. Compute $g_1 \leftarrow w - u_1 \cdot (f - x^n) x^{k-n}$.
5. Call the algorithm recursively with input n, g_1 and f to receive (u_0, v) .
6. Set $u \leftarrow u_1 x^{k-n} + u_0$.
7. Return (u, v) .

THEOREM 2.3. *Algorithm 2.2 works correctly. Division with remainder of a polynomial of degree m by an s -sparse monic polynomial of degree n can be executed using at most $2(s - 1)(m - n + 1)$ operations in R (if $m + 1 \geq n$).*

PROOF. We prove correctness by induction on m , and thus assume that the algorithm works correctly if the dividend has degree less than m . We always have $0 = e_1 \leq e_{s-1} < e_s = n$. Furthermore in Step 3 we are working on the case

that $n \leq m$. We find that $k = \max\{n, m - (n - e_{s-1}) + 1\} \leq \max\{n, m\} \leq m$ and thus $n \leq k \leq m$. By the induction hypothesis we get

$$\begin{aligned} uf + v &\stackrel{6.}{=} (u_1x^{k-n} + u_0)f + v = u_1fx^{k-n} + (u_0f + v) \\ &\stackrel{5.}{=} u_1fx^{k-n} + g_1 \stackrel{4.}{=} u_1fx^{k-n} + w - u_1(f - x^n)x^{k-n} \\ &= w + u_1x^k + (u_1fx^{k-n} - u_1fx^{k-n}) \stackrel{3.}{=} g. \end{aligned}$$

Since $\deg v < n$, this shows partial correctness. In order to prove termination, we show that the degree of g_1 is less than $\deg g = m$. Since $\deg w < k$ and

$$\deg u_1 = \deg g - \deg(x^k) = m - k \geq 0,$$

we have

$$\begin{aligned} \deg g_1 &\leq \max\{\deg w, \deg(u_1 \cdot (f - x^n)x^{k-n})\} \\ &\leq \max\{k - 1, m - k + e_{s-1} + k - n\} \\ &= \max\{\max\{n, m - (n - e_{s-1}) + 1\} - 1, m - (n - e_{s-1})\} \\ &= \max\{n, m - (n - e_{s-1}) + 1\} - 1 = k - 1 < m, \end{aligned}$$

and the algorithm terminates.

Now we turn to the cost estimate. The polynomials u_1 and w can be generated in Step 3 from g without operations in \mathbb{F}_q . The cost to compute g_1 is given by subtracting the polynomial $f - x^n = \sum_{1 \leq i \leq s} f_i x^{e_i} - f_s x^{e_s} = \sum_{1 \leq i < s} u_1 f_i x^{e_i + (k-n)}$ from w in Step 4. For each $1 \leq i < s$ we can compute $u_1 f_i x^{e_i + (k-n)}$ with at most $1 + \deg u_1 = 1 + m - k$ scalar multiplications, and subtract it as required with $m - k + 1$ subtractions, so that we have a total of $2(s - 1)(m - k + 1)$ operations in \mathbb{F}_q . Since $g_1 = u_0 \cdot f + v$ and hence $\deg u_0 = \deg g_1 - \deg f \leq k - 1 - n < k - n$, no more operations in \mathbb{F}_q are needed to compute u .

If $T(m)$ denotes the number of operations in \mathbb{F}_q to compute (u, v) for g of degree m , then we have $T(m) = 0$ if $m < n$ and $T(m) \leq 2(s - 1)(m - k + 1) + T(k - 1)$ since $\deg g_1 \leq k - 1$. A recursive call of Algorithm 2.2 in Step 5 reduces the remaining problem size by $m - k + 1$, namely from m to $k - 1$. For the last call we have $k = n$. We have a total of $S = \lceil \frac{m-n+1}{n-e_{s-1}} \rceil$ calls. All but the last call are performed with $2(s - 1)(n - e_{s-1})$ operations. The last call causes $2(s - 1)(m - n + 1 - (S - 1) \cdot (n - e_{s-1} + 1))$ operations. This yields a total of

$$\begin{aligned} T(m) &\leq (S - 1) \cdot 2(s - 1)(n - e_{s-1}) \\ &\quad + 2(s - 1)(m - n + 1) - (S - 1) \cdot 2(s - 1)(n - e_{s-1}) \\ &= 2(s - 1)(m - n + 1) \end{aligned}$$

operations as claimed. □

The cost estimate generalizes in a natural way the cost of $2n(m - n + 1)$ for division with remainder of (dense) polynomials of degrees $m \geq n$ with monic divisor (see von zur Gathen & Gerhard (2003), Section 2.4).

COROLLARY 2.4. *Let $s = \sigma_q(n)$. Then*

- *two elements in \mathbb{F}_{q^n} can be multiplied with at most*

$$M(n) + 2(s - 1)(n - 1)$$

operations in \mathbb{F}_q ,

- *an element can be raised to the q th power with at most*

$$2(s - 1)(n - 1) \text{ or with } 2M(n) \log_2 q + 4(s - 1)(n - 1) \log_2 q$$

operations in \mathbb{F}_q .

PROOF. The first claim follows from Theorem 2.3 by noting that the product of two representatives has degree $m \leq 2(n - 1)$, so that

$$2(s - 1)(m - n + 1) \leq 2(s - 1)(2n - 2 - n + 1) = 2(s - 1)(n - 1).$$

There are two possibilities to evaluate a q th power. One may profit from the observation that the q th power of $g = \sum_{0 \leq i < n} g_i x^i$ is just $g^q = \sum_{0 \leq i < n} g_i x^{iq}$ with $\deg(g^q) = m \leq q(n - 1)$. This can be evaluated without operations in \mathbb{F}_q . A final division with remainder yields the first estimate. Repeated squaring for the q th power yields the second estimate. □

In the case $q = 2$ squaring can be done with cost at most $2(n - 1)(s - 1)$. We worked out a table of irreducible trinomials. For 5146 values of n in the range $2 \leq n < 10000$ there exists an irreducible trinomial of degree n in $\mathbb{F}_2[x]$, see also Zierler & Brillhart (1968). For the remaining 4852 values, there exist irreducible pentanomials. Swan (1962) discusses the number of irreducible factors for trinomials in $\mathbb{F}_2[x]$, and shows that $\sigma_2(n) \geq 5$ when n is a positive integer multiple of 8.

The factorization of trinomials over \mathbb{F}_2 is also discussed in Golomb (1967), Chapter 5. Further tables of irreducible trinomials in $\mathbb{F}_2[x]$ are given in Zierler & Brillhart (1969), Zierler (1970) and Fredricksen & Wisniewski (1981), and some of large degree in Brent *et al.* (2002). See Loidreau (2000) and von zur Gathen (2003) for trinomials over \mathbb{F}_3 . Interestingly, in the experiments of the latter paper trinomials turned out to be irreducible slightly more often than general polynomials. These computations motivate the following conjecture.

CONJECTURE 2.5. For all $n, q \in \mathbb{N}_{\geq 2}$ with q a prime power, we have $\sigma_q(n) \leq 5$. If $q \geq 3$, then $\sigma_q(n) \leq 4$.

We have $\sigma_3(n) = 4$ for the six values 49, 57, 65, 68, 75, and 98 of $n \leq 100$. A summary on results discussing the complete factorization of sparse polynomials over a prime field \mathbb{F}_p is given in the book of Shparlinski (1999), Sections 3.2 and 3.3.

COROLLARY 2.6. Let \mathbb{F}_{2^n} be defined by an s -sparse polynomial f with $\sigma_2(n)$ nonzero entries. If Conjecture 2.5 is true, then two elements in \mathbb{F}_{2^n} can be multiplied with at most $M(n) + 8n - 8$ operations in \mathbb{F}_2 . Squaring can be done with $8n - 8$ operations in \mathbb{F}_2 . A power of an element in \mathbb{F}_{2^n} can be computed with at most

$$(M(n) + 8n - 8) \frac{n}{\log n} (1 + o(1)) + 8n^2 - 8n$$

operations in \mathbb{F}_2 .

COROLLARY 2.7. Assume that Conjecture 2.5 is true and that $M(n) \in \Omega(n \log n)$. Then an element of \mathbb{F}_{2^n} can be raised to a power with $O(M(n) \frac{n}{\log n}) \subseteq O(n^2 \log \log n)$ operations in \mathbb{F}_2 .

Sedimentary polynomials. Coppersmith's (1984) algorithm for solving the discrete logarithm problem in characteristic 2 uses t -sedimentary polynomials. His idea and further improvements of it are also discussed in Odlyzko (1985). He remarks on the existence of such polynomials: "Choose a primitive polynomial $P(x)$ of degree n , such that $P(x) = x^n + Q(x)$, where the degree of $Q(x)$ is smaller than $n^{2/3}$. (This should be possible; heuristically, for a given n , we expect the best possible $Q(x)$ to have degree about $\log_2 n$.)". This describes a t -sedimentary polynomial $f = x^n + h$ with $t = \deg h \ll n$. Sedimentarity is a special case of sparseness with $s \leq t + 2$. A fraction of about $1/n$ of all polynomials of degree n is irreducible; more detailed bounds are given in Hardy & Wright (1985), §22.10. Thus heuristically one might hope for $\tau_q(n) = \min\{\deg h: x^n + h \in \mathbb{F}_q[x] \text{ is irreducible}\}$ to be roughly $\log_q n$, since there are about n polynomials with degree up to $\log_q n$. Indeed, we found $\tau_2(n) \leq 2 + \lceil \log_2 n \rceil \ll n$ for all tested n (see Table 2.4, column 5 and Table 4.3, column 7). Gordon & McCurley (1992) found $\tau_2(n) \leq 11$ for all $n \leq 600$. The experiments of Gao & Panario (1997) and Gao *et al.* (1999) showed $\tau_2(n) \leq 3 + \log_2 n$ for $q = 2$ and all $n < 2000$. Our own calculations validate this bound on $\tau_2(n)$ for all $n \leq 5000$. The following conjecture is motivated by these experiments and Tables 2.1 and 2.2.

| degree n | polynomial ring $\mathbb{F}_q[x]$ | | | |
|---------------|-----------------------------------|------------------------|------------------------|-------------------|
| | \mathbb{F}_2 | \mathbb{F}_3 | \mathbb{F}_5 | \mathbb{F}_7 |
| 1 | $x + 1$ | $x + 1$ | $x + 1$ | $x + 1$ |
| 2 | $x^2 + x + 1$ | $x^2 + 1$ | $x^2 + 2$ | $x^2 + 1$ |
| 3 | $x^3 + x + 1$ | $x^3 + 2x + 1$ | $x^3 + x + 1$ | $x^3 + 2$ |
| 4 | $x^4 + x + 1$ | $x^4 + x + 2$ | $x^4 + 2$ | $x^4 + x + 1$ |
| 5 | $x^5 + x^2 + 1$ | $x^5 + 2x + 1$ | $x^5 + 4x + 1$ | $x^5 + x + 3$ |
| 6 | $x^6 + x + 1$ | $x^6 + x + 2$ | $x^6 + x + 2$ | $x^6 + 2$ |
| 7 | $x^7 + x + 1$ | $x^7 + x^2 + 2$ | $x^7 + x + 1$ | $x^7 + 6x + 1$ |
| 8 | $x^8 + x^4 + x^3 + x + 1$ | $x^8 + x^2 + 2$ | $x^8 + 2$ | $x^8 + x + 3$ |
| 9 | $x^9 + x + 1$ | $x^9 + 2x^3 + x^2 + 1$ | $x^9 + x^2 + 2x + 3$ | $x^9 + 2$ |
| 10 | $x^{10} + x^3 + 1$ | $x^{10} + 2x^2 + 1$ | $x^{10} + x^2 + x + 3$ | $x^{10} + 2x + 3$ |

Table 2.1: Irreducible sedimentary polynomials of degree n over prime fields \mathbb{F}_q . The sediment $h = f - x^n$ has degree $\tau_q(n)$.

| degree n | polynomial ring $\mathbb{F}_q[x]$ with composite q | | |
|---------------|--|--|--|
| | $\mathbb{F}_4 = \mathbb{F}_2[y]/(y^2 + y + 1)$ | $\mathbb{F}_8 = \mathbb{F}_2[y]/(y^3 + y + 1)$ | $\mathbb{F}_9 = \mathbb{F}_3[y]/(y^2 + 1)$ |
| 1 | $x + 1$ | $x + 1$ | $x + 1$ |
| 2 | $x^2 + x + a$ | $x^2 + x + 1$ | $x^2 + (1 + a)$ |
| 3 | $x^3 + a$ | $x^3 + x + a$ | $x^3 + x + a$ |
| 4 | $x^4 + x^2 + ax + 1$ | $x^4 + x + 1$ | $x^4 + (1 + a)$ |
| 5 | $x^5 + x + a$ | $x^5 + x^2 + 1$ | $x^5 + x + (1 + a)$ |
| 6 | $x^6 + x^2 + x + a$ | $x^6 + x + a$ | $x^6 + x^2 + (1 + a)$ |
| 7 | $x^7 + x + 1$ | $x^7 + a$ | $x^7 + x + (1 + a)$ |
| 8 | $x^8 + x^3 + x + a$ | $x^8 + x^3 + ax + (1 + a)$ | $x^8 + (1 + a)$ |
| 9 | $x^9 + a$ | $x^9 + x + 1 + a$ | $x^9 + x^2 + a$ |
| 10 | $x^{10} + x^3 + ax^2 + (1 + a)$ | $x^{10} + x^2 + ax + 1$ | $x^{10} + x + (2 + a)$ |

Table 2.2: Irreducible sedimentary polynomials of degree n over finite fields \mathbb{F}_q . The sediment $h = f - x^n$ has degree $\tau_q(n)$. We adjoin a root a of an irreducible polynomial over the prime field of \mathbb{F}_q to construct \mathbb{F}_q . The chosen modulus is given in row 2.

CONJECTURE 2.8. For all $n, q \in \mathbb{N}_{>0}$, with $q \geq 2$ a prime power, we have $\tau_q(n) \leq 3 + \log_q n$.

We have chosen the numerical parameters in our conjectures about sparse and sedimentary polynomials in the strongest form compatible with our experimental results, thus facilitating their refutation—if incorrect. For practical purposes, it is quite sufficient for the conjectures to hold for “most” degrees, or with one more term in the irreducible polynomials.

We rewrite the results of the previous paragraph for sedimentary polynomials $f = x^n + h \in \mathbb{F}_q[x]$ with $h \neq 0$ and $t = \deg h < n$. This special kind of sparseness yields $s \leq t + 2$ and $e_{s-1} = t$. Algorithm 2.2 works well in the case of t -sedimentary polynomials. We can apply polynomial multiplication in Step 4 of Algorithm 2.2 to compute g_1 . This yields the following result.

THEOREM 2.9. *Let $f, g \in \mathbb{F}_q[x]$ with $\deg g = m$ and $f = x^n + h$ a sedimentary polynomial with $0 \leq t = \deg h \leq (n-1)/2$. Division with remainder of g by f can be performed with at most $(\frac{m-n+1}{t+1} + 1)\mathbf{M}(t+1) + 2(m-n+1) + t$ operations in \mathbb{F}_q .*

PROOF. We substitute Step 3 in Algorithm 2.2 by

- 3'. Set $k = \max\{n, m-t\} \geq n$. Write $g = u_1x^k + w$ with $u_1, w \in \mathbb{F}_q[x]$ and $\deg w < k$.

By assumption we have $n - e_{s-1} - 1 = n - t - 1 \geq n - \frac{n-1}{2} - 1 = \frac{n-1}{2}$ which yields correctness for this modified choice of k . The cost is determined by the computation of $g_1 = w - u_1 \cdot (f - x^n)x^{k-n}$ in Step 4 of Algorithm 2.2. Now $f - x^n = h$ is a polynomial of degree t and u_1 has degree $m-k$. If $k = m-t$ then $\deg u_1 = t$. Else we have $k = n \geq m-t$ and $m-k = m-n \leq m-(m-t) = t$. In both cases $u_1 \cdot h$ can be evaluated with at most $\mathbf{M}(t+1)$ operations in \mathbb{F}_q in Step 4. The result polynomial has at most $t + m - k + 1$ many nonzero coefficients. It can be subtracted from w with at most this number of operations in \mathbb{F}_q .

Let $T(m)$ denote the number of operations to compute (u, v) with Algorithm 2.2. Then $T(m) = 0$ if $m < n$. Else the problem size for the recursive call is the degree of g_1 . If $m-t \geq n$ then $\deg g_1 = \max\{\deg w, \deg u_1 h + k - n\} \leq \max\{m - (t+1), 2t + m - t - n\} = m - (t+1)$ since $2t \geq n - 1$ by assumption. Thus after a recursive call the problem size is decreased by $t+1$. Let S be the number of recursive calls until the algorithm stops. Then $m - S \cdot (t+1) \leq n - 1 < m - (S-1) \cdot (t+1)$ which yields $S \leq \lceil \frac{m-n+1}{t+1} \rceil$. For $S-1$ calls we have $k = m-t$ and thus $t+m-k+1 = 2t+1$ operations in \mathbb{F}_q in Step 4. For the final call we have $k = n$ and $\deg u_1 = m - (S-1)(t+1) - n$ with m the input degree of the original call. Then Step 4 causes $t + (m - (S-1)(t+1) - n) + 1$

operations in \mathbb{F}_q . Thus we have a total of

$$\begin{aligned}
 T(m) &\leq S \cdot \mathbf{M}(t+1) + (S-1) \cdot (2t+1) + m - n + 1 - (S-1)(t+1) + t \\
 &= S \cdot \mathbf{M}(t+1) + m - n + 1 + t \cdot (S-1) + t \\
 &\leq \left\lceil \frac{m-n+1}{t+1} \right\rceil \cdot \mathbf{M}(t+1) + m - n + 1 + t \cdot \frac{m-n+1}{t+1} + t \\
 &\leq \left\lceil \frac{m-n+1}{t+1} \right\rceil \cdot \mathbf{M}(t+1) + 2(m-n+1) + t
 \end{aligned}$$

operations in \mathbb{F}_q as claimed. □

The q th power g^q of a polynomial $g \in \mathbb{F}_q[x]$ with $\deg g \leq n-1$ has degree at most $m = q(n-1)$ and is computed without arithmetic operations. Using this to exponentiate in \mathbb{F}_{q^n} , we have the following analog of Corollary 2.4.

COROLLARY 2.10. *Let $f = x^n + h \in \mathbb{F}_q[x]$ be irreducible of degree n , and $t = \deg h \leq (n-1)/2$. Then two elements in $\mathbb{F}_q[x]/(f)$ can be multiplied with at most*

$$\mathbf{M}(n) + \left(\frac{n-1}{t+1} + 1 \right) \cdot \mathbf{M}(t+1) + 2(n-1) + t$$

operations in \mathbb{F}_q , and an element can be raised to the q th power with at most

$$\left(\frac{(q-1)(n-1)}{t+1} + 1 \right) \mathbf{M}(t+1) + 2(q-1)(n-1) + t$$

operations in \mathbb{F}_q .

Finally we formulate this result for the case $q = 2$. We assume fast multiplication with $\mathbf{M}(t+1) \in O(t \log t \log \log t)$ for the asymptotic estimate. For implementations the sedimentary part h is of very small degree compared to $\deg f = x^n + h$, and then classical multiplication with $\mathbf{M}(t+1) = 2(t+1)^2$ would be used.

COROLLARY 2.11. *Let \mathbb{F}_{2^n} be defined by a sedimentary polynomial $f = x^n + h$ with $\deg h = \tau_2(n)$, and assume Conjecture 2.8 to be true.*

- Two elements in \mathbb{F}_{2^n} can be multiplied with at most $\mathbf{M}(n) + O(\frac{n}{\log n} \mathbf{M}(\log n)) \in O(n \log n \log \log n)$ operations in \mathbb{F}_2 .
- Squaring can be done with $O(\frac{n}{\log n} \mathbf{M}(\log n)) \in O(n \log \log n \log \log \log n)$ operations in \mathbb{F}_2 .

◦ A power of an element in \mathbb{F}_{2^n} can be computed with at most

$$M(n) \frac{n}{\log n} (1 + o(1)) + O\left(\frac{n^2}{\log n} M(\log n)\right) \in O(n^2 \log \log n \log \log \log n)$$

operations in \mathbb{F}_2 .

Experimental results. We have implemented in C++ some exponentiation algorithms over \mathbb{F}_{2^n} , using the software library BIPOLAR written by Jürgen Gerhard for fast polynomial arithmetic over \mathbb{F}_2 ; for details see von zur Gathen & Gerhard (2003), Section 9.7. Three different polynomial multiplication algorithms are available: classical multiplication with $M(n) \in O(n^2)$ is used for $n < 576$. The sub-quadratic algorithm of Karatsuba—described in Karatsuba & Ofman (1962)—with $M(n) \in O(n^{\log_2 3})$ is applied for $576 \leq n < 35840$. For larger n the library chooses a fast multiplication routine based on Cantor (1989) which is nearly linear: $M(n) \in O(n(\log n)^2)$.

The library also contains an implementation of the modular composition algorithm of Brent & Kung (1978), using classical matrix multiplication. The sedimentary division with remainder has been implemented by Olaf Müller for $q = 2$; we added division by trinomials to the arithmetic package of BIPOLAR. These special versions are significantly faster than the implementation for general divisors in our range of inputs as documented in Table 2.3. We did not experiment with pentanomials.

Table 2.4 shows experimental results on a SUN Sparc ULTRA-IIi rated at 269.5 MHz for the three algorithms discussed for $\mathbb{F}_q[x]/(f)$ in this section. We choose trinomials as well as sedimentary polynomials for the implementations of Algorithm 2.2. We use a first test *Series Linear* with $n \approx 200i$ and $1 \leq i \leq 50$. This includes the range for cryptographic applications nowadays. Each entry is the average for 100 pairs of base and exponent chosen at random. The irreducible polynomials defining \mathbb{F}_{2^n} are chosen at random for the columns labeled Fact 2.1 and “polynomial representation of the Frobenius”, which we now call the Frobenius method for short.

For random f we have a dominant term of at most $3nM(n)$ in Fact 2.1 and of $O(M(n) \frac{n}{\log n})$ in the Frobenius method. Indeed, the advantage of the Frobenius method is shown in the comparison of columns 2 and 3 in Table 2.4. The implementation of the Frobenius method is superior by a factor of roughly $\frac{1}{10} \log_2 n$ compared to straightforward exponentiation with Brauer’s addition chain. For sedimentary polynomials and trinomials of degree $n < 10000$, the dominating cost is $M(n) \frac{n}{\log n}$ by Corollary 2.11 and Corollary 2.6, respectively, since $M(n) \in O(n^{\log_2 3})$. This is asymptotically the same as for modular composition. Indeed, column 3 grows asymptotically at the same rate as columns

| multiplication & squaring in $\mathbb{F}_2[x]/(f)$ | | | | | | | | | |
|--|------------|--------|-----------------|--------|------|------------|--------|-----------------|--------|
| n | random f | | sedimentary f | | n | random f | | sedimentary f | |
| | mult. | square | mult. | square | | mult. | square | mult. | square |
| 209 | 0.1 | 0.1 | 0.1 | 0.0 | 5199 | 13.9 | 9.1 | 5.5 | 0.3 |
| 398 | 0.3 | 0.2 | 0.1 | 0.0 | 5399 | 14.6 | 9.7 | 5.7 | 0.3 |
| 606 | 0.5 | 0.3 | 0.3 | 0.0 | 5598 | 15.1 | 9.8 | 5.9 | 0.3 |
| 803 | 0.7 | 0.4 | 0.4 | 0.1 | 5812 | 15.8 | 10.5 | 6.2 | 0.3 |
| 1018 | 0.9 | 0.7 | 0.4 | 0.1 | 6005 | 16.3 | 11.0 | 6.3 | 0.4 |
| 1199 | 1.4 | 0.9 | 0.6 | 0.1 | 6202 | 17.1 | 11.0 | 6.6 | 0.3 |
| 1401 | 1.7 | 1.2 | 0.7 | 0.1 | 6396 | 18.0 | 12.0 | 6.9 | 0.3 |
| 1601 | 2.1 | 1.4 | 0.9 | 0.1 | 6614 | 18.5 | 12.3 | 7.2 | 0.4 |
| 1791 | 2.5 | 1.7 | 1.0 | 0.1 | 6802 | 19.1 | 11.9 | 7.5 | 0.4 |
| 1996 | 3.0 | 2.2 | 1.1 | 0.1 | 7005 | 19.5 | 12.9 | 7.6 | 0.4 |
| 2212 | 3.8 | 2.6 | 1.5 | 0.2 | 7205 | 20.2 | 13.4 | 8.0 | 0.6 |
| 2406 | 4.4 | 3.0 | 1.7 | 0.2 | 7410 | 20.7 | 13.9 | 8.0 | 0.4 |
| 2613 | 5.1 | 3.5 | 1.9 | 0.2 | 7602 | 21.2 | 14.1 | 8.2 | 0.4 |
| 2802 | 5.6 | 4.0 | 2.0 | 0.2 | 7803 | 21.5 | 14.4 | 8.3 | 0.5 |
| 3005 | 6.3 | 4.5 | 2.2 | 0.2 | 8003 | 22.0 | 14.7 | 8.6 | 0.7 |
| 3202 | 7.1 | 5.1 | 2.5 | 0.2 | 8218 | 23.9 | 15.9 | 9.1 | 0.4 |
| 3401 | 7.8 | 5.7 | 2.7 | 0.3 | 8411 | 29.5 | 17.8 | 10.4 | 0.5 |
| 3603 | 8.6 | 6.4 | 2.7 | 0.2 | 8601 | 30.1 | 20.1 | 11.2 | 0.5 |
| 3802 | 9.3 | 7.0 | 2.8 | 0.2 | 8802 | 32.0 | 21.5 | 12.2 | 0.6 |
| 4002 | 10.1 | 7.8 | 3.0 | 0.3 | 9006 | 33.3 | 22.3 | 12.5 | 0.5 |
| 4211 | 9.2 | 6.1 | 3.6 | 0.3 | 9202 | 34.6 | 23.2 | 12.8 | 0.5 |
| 4401 | 10.4 | 7.0 | 4.1 | 0.3 | 9396 | 36.6 | 24.0 | 13.6 | 0.5 |
| 4602 | 11.3 | 7.6 | 4.4 | 0.3 | 9603 | 37.8 | 24.9 | 15.5 | 0.9 |
| 4806 | 13.0 | 9.1 | 5.0 | 0.3 | 9802 | 39.0 | 26.0 | 15.2 | 0.7 |
| 5002 | 12.9 | 8.6 | 5.2 | 0.3 | 9998 | 39.8 | 25.9 | 14.9 | 0.5 |

Table 2.3: Multiplication and squaring in $\mathbb{F}_2[x]/(f)$ for dense and sparse f . The running time (in CPU milliseconds on a SUN Sparc ULTRA-IIli) is the average of 1000 experiments for each value of n .

4 and 7, but with a factor of about 10 for sedimentary polynomials and about 11 for trinomials, respectively. This constant factor makes sparse polynomials the clear winner.

| polynomial basis representation | | | | | | | |
|---------------------------------|-----------|------------|---------------|----------|------|---------------------|------|
| n | Fact 2.1 | poly. rep. | Cor. 2.11 | | n | Cor. 2.6 | |
| | rand. f | Frobenius | $f = x^n + h$ | $\deg h$ | | $f = x^n + x^k + 1$ | k |
| | time | time | time | | | time | |
| 209 | 0.02 | 0.03 | 0.01 | 5 | 209 | 0.01 | 6 |
| 398 | 0.09 | 0.15 | 0.03 | 7 | 399 | 0.02 | 26 |
| 606 | 0.25 | 0.37 | 0.07 | 9 | 606 | 0.06 | 165 |
| 803 | 0.51 | 0.63 | 0.12 | 8 | 804 | 0.09 | 75 |
| 1018 | 0.91 | 1.01 | 0.15 | 10 | 1020 | 0.12 | 135 |
| 1199 | 1.47 | 1.92 | 0.24 | 11 | 1199 | 0.21 | 114 |
| 1401 | 2.18 | 2.62 | 0.33 | 11 | 1401 | 0.28 | 92 |
| 1601 | 3.16 | 3.57 | 0.51 | 11 | 1601 | 0.39 | 548 |
| 1791 | 4.22 | 4.46 | 0.53 | 12 | 1791 | 0.46 | 190 |
| 1996 | 5.64 | 5.60 | 0.62 | 9 | 1996 | 0.54 | 307 |
| 2212 | 7.61 | 7.52 | 0.97 | 11 | 2212 | 0.78 | 423 |
| 2406 | 9.62 | 9.25 | 1.17 | 8 | 2407 | 0.95 | 91 |
| 2613 | 11.88 | 11.39 | 1.28 | 11 | 2614 | 1.13 | 553 |
| 2802 | 14.32 | 13.07 | 1.44 | 9 | 2801 | 1.29 | 279 |
| 3005 | 17.28 | 16.10 | 1.65 | 9 | 3004 | 1.46 | 351 |
| 3202 | 20.84 | 18.93 | 2.36 | 9 | 3201 | 1.71 | 674 |
| 3401 | 24.48 | 22.17 | 2.40 | 11 | 3401 | 1.94 | 531 |
| 3603 | 28.44 | 24.81 | 2.50 | 10 | 3604 | 2.09 | 637 |
| 3802 | 33.01 | 28.06 | 2.57 | 13 | 3801 | 2.30 | 112 |
| 4002 | 38.28 | 31.57 | 3.18 | 8 | 4001 | 2.45 | 137 |
| 4211 | 38.17 | 40.23 | 3.47 | 12 | 4212 | 3.05 | 243 |
| 4401 | 46.87 | 43.21 | 4.34 | 12 | 4401 | 3.89 | 394 |
| 4602 | 52.36 | 46.88 | 4.72 | 14 | 4602 | 4.30 | 67 |
| 4806 | 59.14 | 52.50 | 5.76 | 12 | 4806 | 4.90 | 2349 |
| 5002 | 65.02 | 56.39 | 6.18 | 11 | 5001 | 5.27 | 637 |
| 5199 | 70.37 | 64.00 | 6.36 | 12 | 5199 | 5.74 | 1546 |
| 5399 | 78.12 | 67.57 | 6.84 | 9 | 5399 | 6.24 | 485 |
| 5598 | 81.96 | 71.43 | 7.26 | 9 | 5598 | 6.62 | 101 |
| 5812 | 90.40 | 77.43 | 7.74 | 11 | 5812 | 7.17 | 295 |

Table 2.4: Comparison of exponentiation for different polynomial basis representations of \mathbb{F}_{2^n} . The running time (in CPU seconds on a SUN SparcULTRA-III) is the average of 100 experiments for each value n . The chosen n (column 6) for trinomials differs from the one in column 1 if no irreducible trinomial of degree n (column 1) over \mathbb{F}_2 exists.

| polynomial basis representation | | | | | | | |
|---------------------------------|-------------------|-------------------|-----------------------|---------|------|-----------------------------|------|
| n | Fact 2.1 | Frobenius | Cor. 2.11 | | n | Cor. 2.6 | |
| | rand. f time | rand. f time | $f = x^n + h$ time | deg h | | $f = x^n + x^k + 1$ time | k |
| 6005 | 138.35 | 84.11 | 8.20 | 12 | 6006 | 7.57 | 1025 |
| 6202 | 101.60 | 86.94 | 8.76 | 12 | 6202 | 8.00 | 867 |
| 6396 | 109.68 | 92.88 | 9.39 | 12 | 6396 | 8.61 | 91 |
| 6614 | 116.60 | 98.53 | 9.77 | 12 | 6614 | 8.96 | 2105 |
| 6802 | 118.99 | 100.43 | 10.36 | 11 | 6801 | 9.52 | 140 |
| 7005 | 129.63 | 106.28 | 10.95 | 13 | 7004 | 9.89 | 291 |
| 7205 | 137.54 | 114.91 | 12.74 | 14 | 7204 | 10.43 | 1695 |
| 7410 | 147.29 | 120.37 | 12.02 | 10 | 7410 | 10.92 | 2179 |
| 7602 | 151.38 | 124.68 | 12.38 | 10 | 7602 | 11.53 | 555 |
| 7803 | 158.21 | 129.90 | 13.36 | 12 | 7802 | 11.82 | 2103 |
| 8003 | 165.40 | 134.23 | 15.59 | 8 | 8004 | 12.44 | 3087 |
| 8218 | 180.72 | 152.07 | 14.69 | 12 | 8218 | 13.39 | 1443 |
| 8411 | 207.55 | 176.35 | 16.87 | 12 | 8412 | 15.33 | 1049 |
| 8601 | 228.47 | 190.43 | 18.30 | 7 | 8601 | 17.21 | 734 |
| 8802 | 254.54 | 210.35 | 21.66 | 14 | 8802 | 18.30 | 2139 |
| 9006 | 270.58 | 222.12 | 21.79 | 9 | 9006 | 19.25 | 1477 |
| 9202 | 287.79 | 231.27 | 21.93 | 12 | 9202 | 20.04 | 211 |
| 9396 | 309.67 | 252.12 | 23.33 | 13 | 9396 | 22.14 | 369 |
| 9603 | 328.73 | 267.77 | 27.20 | 12 | 9601 | 26.15 | 963 |
| 9802 | 348.95 | 275.81 | 28.06 | 12 | 9801 | 24.49 | 284 |
| 9998 | 358.00 | 281.96 | 27.51 | 13 | 9998 | 24.82 | 4013 |

Table 2.4: (CONTINUED)

3. Normal basis representation

If $\alpha \in \mathbb{F}_{q^n}$ is such that its conjugates $\alpha, \alpha^q, \alpha^{q^2}, \dots, \alpha^{q^{n-1}}$ form a vector space basis for \mathbb{F}_{q^n} over \mathbb{F}_q , then α is *normal* over \mathbb{F}_q , and $\mathcal{N} = (\alpha_0, \dots, \alpha_{n-1})$ is a *normal basis*. A normal basis exists for all finite fields (see Lidl & Niederreiter (1983), Theorem 2.35). There are different ways to multiply in a normal basis representation. The efficient ones only work for special choices of α .

Classical arithmetic. Let $\mathcal{N} = (\alpha, \dots, \alpha^{q^{n-1}})$ be a normal basis of \mathbb{F}_{q^n} over \mathbb{F}_q . Then any $\beta \in \mathbb{F}_{q^n}$ can be given by its *normal basis representation*

$\beta = \sum_{0 \leq i < n} b_i \alpha_i$, where $b_0, \dots, b_{n-1} \in \mathbb{F}_q$. Let $\sigma: \mathbb{F}_{q^n} \rightarrow \mathbb{F}_{q^n}$ with $\sigma(\beta) = \beta^q$ be the *Frobenius automorphism*. It is a linear operator on \mathbb{F}_{q^n} as a \mathbb{F}_q -vector space. We have $\beta^q = \sigma(\beta) = \sigma(\sum_{0 \leq i < n} b_i \alpha_i) = \sum_{0 \leq i < n} b_i \sigma(\alpha_i) = \sum_{0 \leq i < n} b_i \alpha_{i+1} = \sum_{0 \leq i < n} b_{i-1} \alpha_i$, with index arithmetic modulo n . Hence raising to the q th power is just a cyclic shift of the coordinates and therefore essentially free. With an appropriate *q-addition chain*, a power in \mathbb{F}_{q^n} can be computed with $O(n/\log_q n)$ operations in \mathbb{F}_{q^n} (von zur Gathen 1991).

Multiplication is more difficult and expensive. We define a *multiplication matrix* $T_{\mathcal{N}} = (t_{i,j})_{0 \leq i,j < n}$ such that $\alpha_i \alpha_j = \sum_{0 \leq h < n} t_{i-h,h-j} \alpha_h$ for all $0 \leq i, j < n$. Details of the corresponding *Massey-Omura multiplier*—designed for hardware applications—are given in Menezes *et al.* (1993), Chapter 5. We call the number of nonzero entries in $T_{\mathcal{N}}$ the *density* $d_{\mathcal{N}}$. Then two elements of \mathbb{F}_{q^n} can be multiplied with at most $2nd_{\mathcal{N}}$ multiplications in \mathbb{F}_q .

Obviously $d_{\mathcal{N}} \leq n^2$. Mullin *et al.* (1989) prove $2n - 1 \leq d_{\mathcal{N}}$ as a lower bound on $d_{\mathcal{N}}$. They call a normal basis \mathcal{N} with $d_{\mathcal{N}} = 2n - 1$ *optimal*.

FACT 3.1. *Let \mathbb{F}_{q^n} be given by a normal basis representation. We can compute any power in \mathbb{F}_{q^n} with $2d_{\mathcal{N}} \frac{n^2}{\log n} (1 + o(1)) \in O(n^4 / \log n)$ operations in \mathbb{F}_q . If the normal basis is optimal then we have at most $4 \frac{n^3}{\log n} (1 + o(1))$ operations in \mathbb{F}_q .*

An optimal normal basis does not exist for all n and q , but seems to exist for a reasonably dense set of values of n , e.g., for 23% of all $n \leq 1200$ if $q = 2$ (Mullin *et al.* 1989). The percentage of fields \mathbb{F}_{q^n} for which optimal normal bases do exist for some small primes q and $n \leq 10000$ is given below.

| Percentage of fields \mathbb{F}_{q^n} with $n \leq 10000$ for which there exists an optimal normal basis over \mathbb{F}_q | | | | | | | | |
|--|--------|------|------|------|------|------|------|------|
| q | 2 | 3 | 5 | 7 | 11 | 13 | 17 | 19 |
| % | 17.07* | 4.92 | 4.92 | 4.65 | 4.43 | 4.57 | 4.50 | 4.72 |

*We have two different types of optimal normal bases only for $q = 2$: the first one appears in 4.70%, the second one exists in 12.37% of the field extensions over \mathbb{F}_2 .

Gauß periods.

DEFINITION 3.2. *Let $n, k \in \mathbb{N}_{\geq 1}$ such that $nk + 1$ is prime. Let $\mathcal{K} \subseteq \mathbb{Z}_{nk+1}^\times$ be the unique subgroup of \mathbb{Z}_{nk+1}^\times of order k , and let ξ be a primitive $(nk + 1)$ st root of unity in $\mathbb{F}_{q^{nk}}$. Then $\alpha = \sum_{a \in \mathcal{K}} \xi^a$ is called a Gauß period of type (n, k) over \mathbb{F}_q .*

FACT 3.3 (Wassermann 1990, 1993). *Let α be a Gauß period of type (n, k) and \mathcal{K} the uniquely determined subgroup of \mathbb{Z}_{nk+1}^\times of order k . Then α is normal in \mathbb{F}_{q^n} if and only if q and \mathcal{K} together generate \mathbb{Z}_{nk+1}^\times .*

Mullin *et al.* (1989) showed that Gauß periods of type $(n, 1)$ and $(n, 2)$ generate optimal normal bases. Gao & Lenstra (1992) proved that the constructions presented by Mullin *et al.* (1989) cover all optimal normal bases.

Normal bases with fast polynomial multiplication. Gao *et al.* (2000) have combined fast polynomial multiplication and normal bases if the normal element α is generated by a Gauß period.

FACT 3.4 (Gao *et al.* 2000). *Let $\alpha \in \mathbb{F}_{q^n}$ be a normal Gauß period of type (n, k) . Then two elements in \mathbb{F}_{q^n} given in the normal basis representation generated by α can be multiplied with $M(kn) + (2k + 1)n - 2$ operations in \mathbb{F}_q .*

COROLLARY 3.5. *Let $\alpha \in \mathbb{F}_{q^n}$ be a normal Gauß period of type (n, k) and \mathbb{F}_{q^n} be represented in the normal basis $\mathcal{N} = (\alpha, \dots, \alpha^{q^n-1})$. We can compute a power in \mathbb{F}_{q^n} with $\frac{n}{\log n}(M(kn) + (2k + 1)n - 2)(1 + o(1)) \in O(kn^2 \log \log(kn)(1 + \log k))$ operations in \mathbb{F}_q . If α is optimal, then we have $O(n^2 \log \log n)$ operations in \mathbb{F}_q .*

Experiments. We concentrate on \mathbb{F}_{2^n} using BIPOLAR again. We represent 32 coefficients in one machine word when implementing the normal basis representation of \mathbb{F}_{2^n} in C++. All experiments are confined to optimal normal bases.

We implemented the classical normal basis multiplication (Fact 3.1) using the multiplication matrix $T_{\mathcal{N}}$. Details on this *Massey–Omura multiplier* are given in the patent of Omura & Massey (1986). Our implementation profits from the distribution of nonzero entries in $T_{\mathcal{N}}$ in the case $k = 1$. Thus the multiplication time is reduced to roughly 3/5 compared to the times for $k = 2$.

For Gauß periods we have implemented the polynomial multiplication of Fact 3.4. The results are listed in Table 3.1. In columns 4 and 8 of Table 3.1, we see that for neighboring values of n , $k = 2$ leads to a constant factor times the cost for $k = 1$. Since $n < 10000$ the preferred multiplication algorithm is the one of Karatsuba. It has time $M(n) = O(n^{\log_2 3})$ which yields $M(2n) = 3M(n)$ in theory. Our implementation shows a factor of 2.66 on average.

We select our n such that an optimal normal basis for \mathbb{F}_{2^n} over \mathbb{F}_2 exists. Thus $k \in \{1, 2\}$ in columns 2 and 6 of Table 3.1. We again choose 100 values for each n at random in test *Series Linear*. It shows the same significant

| normal basis representation | | | | | | | |
|-----------------------------|-----|--------------|-------------|------|-----|--------------|-------------|
| n | k | Cor. 3.1 | Cor. 3.5 | n | k | Cor. 3.1 | Cor. 3.5 |
| | | Massey-Omura | Gauß period | | | Massey-Omura | Gauß period |
| 209 | 2 | 0.11 | 0.01 | 5199 | 2 | 1061.87 | 15.28 |
| 398 | 2 | 0.64 | 0.04 | 5399 | 2 | 1183.07 | 16.65 |
| 606 | 2 | 2.19 | 0.12 | 5598 | 2 | 1307.12 | 17.80 |
| 803 | 2 | 4.56 | 0.20 | 5812 | 1 | 947.32 | 7.16 |
| 1018 | 1 | 6.07 | 0.12 | 6005 | 2 | 1678.64 | 20.02 |
| 1199 | 2 | 14.73 | 0.50 | 6202 | 1 | 1142.87 | 7.95 |
| 1401 | 2 | 23.03 | 0.68 | 6396 | 1 | 1227.81 | 8.36 |
| 1601 | 2 | 34.11 | 0.98 | 6614 | 2 | 2080.97 | 25.27 |
| 1791 | 2 | 47.16 | 1.15 | 6802 | 1 | 1485.51 | 9.68 |
| 1996 | 1 | 41.21 | 0.56 | 7005 | 2 | 2644.03 | 27.42 |
| 2212 | 1 | 55.03 | 0.81 | 7205 | 2 | 2680.38 | 28.58 |
| 2406 | 2 | 108.17 | 3.12 | 7410 | 1 | 1930.91 | 11.08 |
| 2613 | 2 | 138.94 | 3.00 | 7602 | 1 | 1958.51 | 11.42 |
| 2802 | 1 | 107.77 | 1.30 | 7803 | 2 | 3344.95 | 33.28 |
| 3005 | 2 | 215.04 | 4.01 | 8003 | 2 | 3689.48 | 34.62 |
| 3202 | 1 | 159.40 | 1.72 | 8218 | 1 | | 13.85 |
| 3401 | 2 | 314.63 | 5.10 | 8411 | 2 | | 43.67 |
| 3603 | 2 | 375.25 | 5.54 | 8601 | 2 | | 47.98 |
| 3802 | 1 | 258.59 | 2.38 | 8802 | 1 | | 18.42 |
| 4002 | 1 | 303.90 | 2.52 | 9006 | 2 | | 54.55 |
| 4211 | 2 | 601.99 | 8.34 | 9202 | 1 | | 21.02 |
| 4401 | 2 | 678.34 | 10.33 | 9396 | 1 | | 22.64 |
| 4602 | 1 | 471.36 | 4.37 | 9603 | 2 | | 64.36 |
| 4806 | 2 | 845.78 | 13.00 | 9802 | 1 | | 24.95 |
| 5002 | 1 | 580.68 | 5.38 | 9998 | 2 | | 69.77 |

Table 3.1: Comparison of the two exponentiation algorithms for normal basis representation. The running time (in CPU seconds on a SUN Sparc ULTRA-IIi) is the average of 100 experiments for each value of n . All normal elements are generated by Gauß periods of type (n, k) with $k \in \{1, 2\}$. Such normal elements are called *optimal*.

difference between both algorithms in theory and by experiments. In theory the classical multiplication is $O(n^3/\log n)$. For $kn < 20000$ BIPOLAR uses the multiplication algorithm of Karatsuba & Ofman (1962) with $M(kn) \leq 27(kn)^{\log_2 3}$. For the Gauß periods involved this yields $O(n^{2.59}/\log n)$.

4. Final comparison

We summarize the theoretical results of Section 3 in Table 4.2. Using fast multiplication with $M(n) \in O(n^2 \log n \log \log n)$, the asymptotic behavior is roughly quadratic for all representations except the matrix-based optimal normal basis multiplication à la Omura & Massey (1986) (Fact 3.1). We do not have a clear winner, but the latter is a loser.

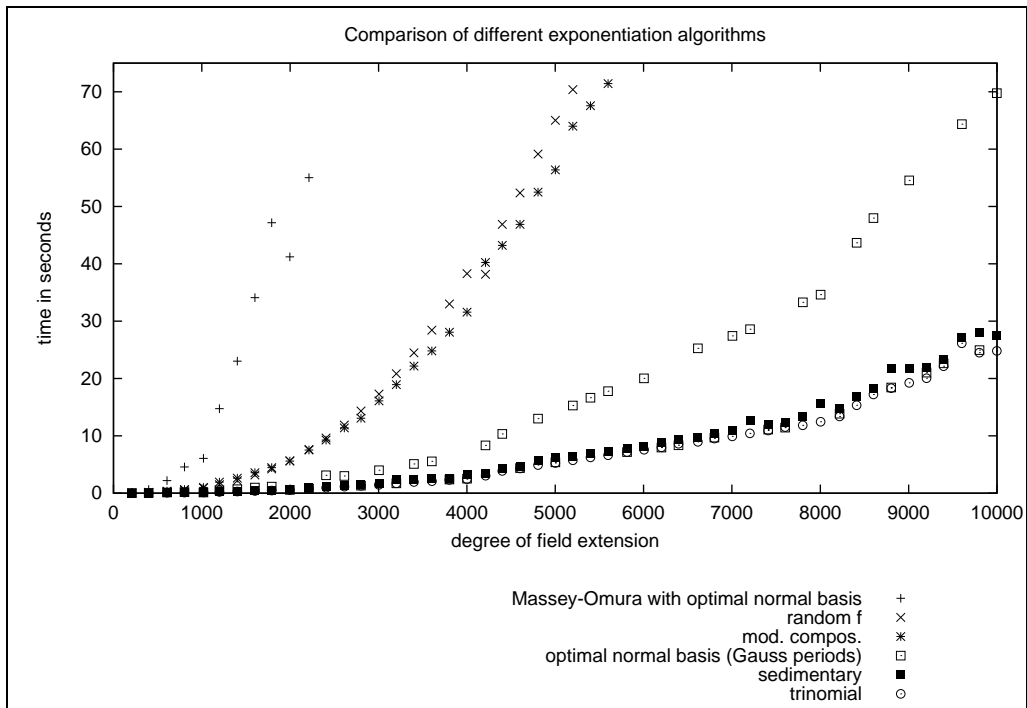


Figure 4.1: Graphical representation of the results for test *Series Linear* as given in Table 2.4 and Table 3.1.

Table 4.3 gives the running times of a second test *Series Exp*. Here we choose n near 2^i for $10 \leq i \leq 16$, plus some intermediate values for n , driven by the condition that an optimal normal basis exists. Each entry is the average time for ten random choices of the base $\beta \in \mathbb{F}_{2^n}$ and exponent $e \in \mathbb{N}_{\geq 1}$. We omit the algorithm of Omura & Massey (1986) since it is much too slow. The

| representation | weights | | operations in \mathbb{F}_2 |
|--|--|---------------------------------|---------------------------------------|
| | multiplication (c_A) | squaring (c_Q) | |
| polynomial basis | | | |
| random f (Cor. 2.1) | $3M(n) + O(n)$ | $2M(n) + O(n)$ | $O(n^2 \log n \log \log n)$ |
| mod. comp. sparse f^* (Cor. 2.6) | $3M(n) + O(n)$ | $O(n^{1.688})$ | |
| sedimentary f^\dagger (Cor. 2.11) | $M(n) + O(n)$ | $8n - 8$ | $O(n^2 \log \log n)$ |
| | $M(n) + O(\frac{n}{\log n} M(\log n))$ | $O(\frac{n}{\log n} M(\log n))$ | $O(n^2 \log \log n \log \log \log n)$ |
| normal basis | | | |
| matrix T_N^\ddagger (Cor. 3.1) | $4n^2 - 2n$ | 0 | $O(n^3 / \log n)$ |
| Gauß periods [§] (Cor. 3.5) | $M(kn) + O(kn)$ | 0 | $O(kn^2 \log k \log \log kn)$ |

* assumes $\sigma_2(n) \leq 5$

† assumes $\tau_2(n) \in O(\log n)$

‡ for optimal normal bases

§ only for some n

Table 4.2: The weights and number of steps for exponentiation using different representations of \mathbb{F}_{2^n} .

basic result is that sparse polynomials, both trinomials and sedimentary ones, are best to build \mathbb{F}_{2^n} . Gauß periods of type $(n, 1)$ are a good alternative but they exist only for fairly few values of n .

Ning & Yin (2001) study algorithms for normal basis multiplication and give timings for fields with up to 575 bits. They mention polynomial bases, but do not compare their results to that approach.

5. Conclusion

We have considered two ways of improving exponentiation algorithms in finite fields: reducing the number of operations in \mathbb{F}_{q^n} and speeding up each operation. Both aspects are presented in theory as well as by implementation.

Our experiments show that it is worth while to work on a trade-off for the cost of q th powers and multiplications. Speeding up only one operation is not sufficient to achieve fast exponentiation, as shown by the high cost for multiplication in software implementations of the Omura & Massey (1986) algorithm. Of course, it was originally designed for hardware, and it was only recently found how to use Karatsuba's method efficiently in hardware (Grabbe *et al.*

| | | normal basis | | polynomial basis | | | | | |
|-------|-----|------------------|----------------|-----------------------|-------------------------|----------------------------|-------|---------------------------------|-------|
| | | Cor. 3.5 Gauß | | Fact 2.1 rand. f | poly. rep. Frobenius | Cor. 2.11 $f = x^n + h$ | | Cor. 2.6 $f = x^n + x^k + 1$ | |
| n | k | t/sec | t/sec | t/sec | t/sec | $\deg h$ | n | t/sec | k |
| 1018 | 1 | 0.12 | 0.88 | 0.91 | 0.16 | 10 | 1020 | 0.01 | 135 |
| 1034 | 2 | 0.31 | 0.97 | 1.05 | 0.18 | 10 | 1034 | 0.01 | 75 |
| 2140 | 1 | 0.71 | 6.63 | 6.16 | 0.81 | 12 | 2140 | 0.08 | 283 |
| 2141 | 2 | 1.79 | 6.85 | 5.99 | 0.81 | 6 | 2142 | 0.07 | 69 |
| 4211 | 2 | 8.23 | 38.17 | 40.23 | 3.47 | 12 | 4212 | 0.31 | 243 |
| 4218 | 1 | 3.13 | 37.01 | 30.61 | 3.49 | 14 | 4218 | 0.31 | 287 |
| 8292 | 1 | 14.57 | 195.10 | 137.00 | 17.72 | 12 | 8292 | 1.47 | 637 |
| 8325 | 2 | 41.38 | 199.71 | 142.54 | 17.85 | 13 | 8324 | 1.54 | 1149 |
| 16679 | 2 | 269.42 | 1159.00 | 728.72 | 90.97 | 14 | 16679 | 8.10 | 6692 |
| 16692 | 1 | 76.61 | 1152.56 | 712.03 | 84.79 | 9 | 16692 | 8.11 | 2115 |
| 23898 | 1 | 188.86 | 3061.21 | 1717.91 | 202.09 | 11 | 23898 | 19.36 | 3459 |
| 23903 | 2 | 490.47 | 3036.22 | 1789.66 | 205.00 | 14 | 23903 | 19.39 | 2891 |
| 32075 | 2 | 901.09 | 5425.19 | 3031.90 | 385.18 | 12 | | | |
| 32076 | 1 | 339.49 | 5408.11 | 2930.06 | 383.56 | 15 | 32076 | 34.51 | 1825 |
| 43371 | 2 | 1756.39 | 11211.40 | 5784.43 | 915.43 | 16 | 43372 | 85.64 | 11097 |
| 43396 | 1 | 830.10 | 11203.90 | 5761.00 | 921.28 | 17 | 43396 | 85.91 | 10755 |
| 51251 | 2 | 2403.48 | 13587.40 | 7003.02 | 1207.40 | 14 | 51252 | 119.53 | 3887 |
| 51282 | 1 | 1131.75 | 13591.00 | 6983.55 | 1203.71 | 10 | 51282 | 119.54 | 2667 |
| 61709 | 2 | 3315.68 | 16751.10 | 8687.20 | 1750.20 | 17 | 61710 | 161.14 | 173 |
| 61716 | 1 | 1545.99 | 16946.60 | 8621.95 | 1673.85 | 14 | 61716 | 161.66 | 27507 |

Table 4.3: Exponentiation in \mathbb{F}_{2^n} for test *Series Exp.* Bases and exponents are chosen randomly. The times are averages for ten random experiments. Again the choice of n for trinomials (column 8) differs if no irreducible trinomial of degree n (as given in column 1) exists over \mathbb{F}_2 .

(2003)). Our results—in theory as well as by experiment—suggest to choose as the data structure representing the finite field \mathbb{F}_{q^n} either sparse irreducible polynomials or normal bases generated by optimal Gauß periods of type $(n, 1)$ over \mathbb{F}_q .

Algorithms that benefit from a special structure of the q -ary representation of the exponent—which occurs, e.g., in inversion and primitivity testing—are discussed in von zur Gathen & Nöcker (2003).

One question is left open in this paper: do there exist irreducible sparse polynomials as claimed in Conjectures 2.5 and 2.8?

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