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## **B.e) Stream Ciphers**

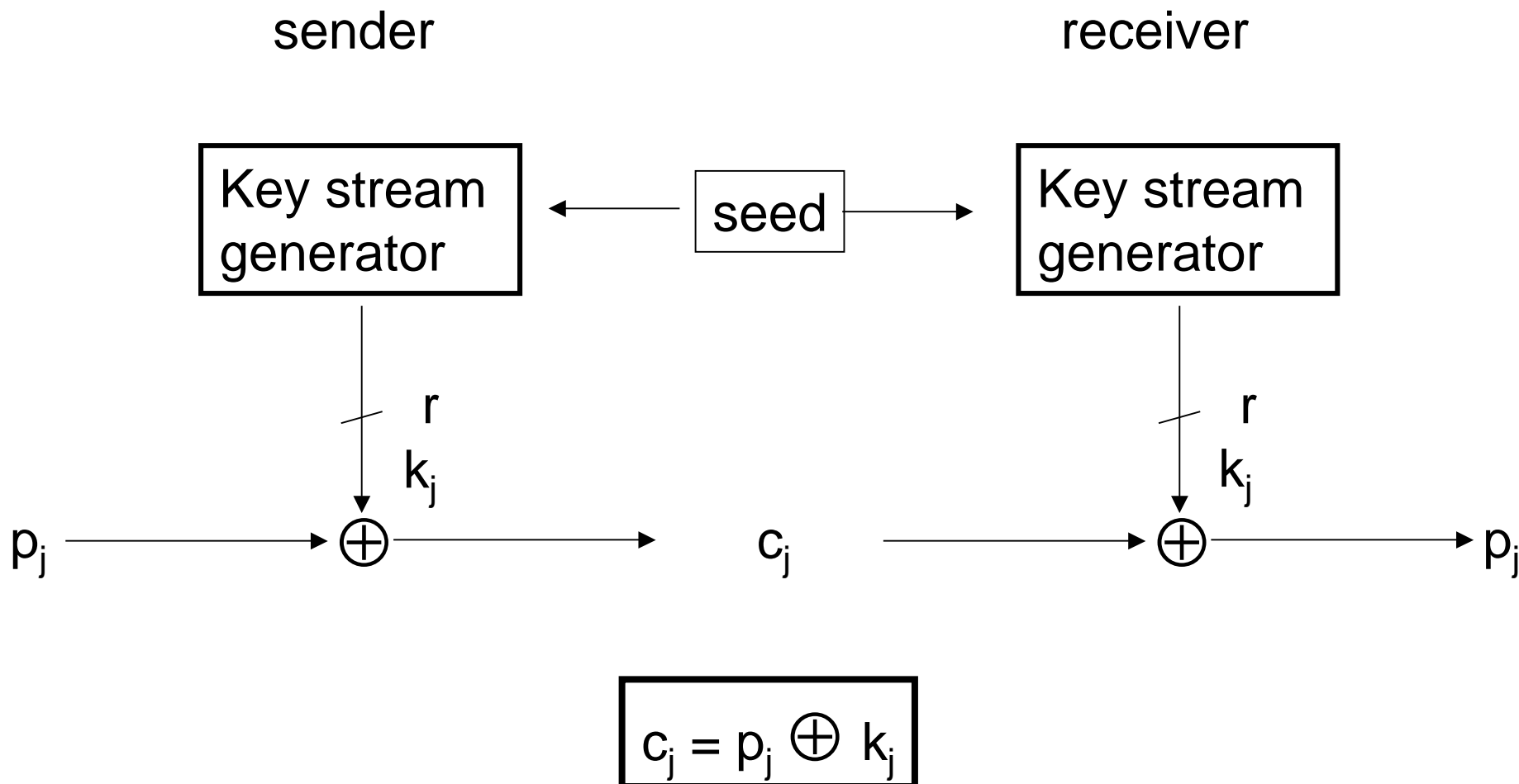
## B.125 Stream Ciphers

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- Normally, stream ciphers are symmetric algorithms with encryption = decryption
- In this course we only consider symmetric stream ciphers.

## B.126 Generic Design (Synchronous Stream Cipher)

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## B.126 (continued)

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- Both sender and receiver generate identical key stream sequences  $k_1, k_2, \dots$  (random numbers). The random numbers depend on the seed.
- The key stream is independent from plaintext and ciphertext.
- Encryption:  $c_j = p_j \oplus k_j$
- Decryption:  $p_j = c_j \oplus k_j$

Note: The *ciphertext digit*  $c_j$  depends on the plaintext  $p_j$  AND its position ( $= j$ ) but not from any other plaintext digits.

## B.127 General Remarks

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- The key stream generator is a deterministic random number generator (pseudorandom number generator).
- The key stream is determined by the seed (to be kept secret !). **The seed of the key stream generator is the pendant to the key of a block cipher.**

Assumption: In the following we assume that the key stream generator generates  $r$ -bit strings (= random numbers,  $r \geq 1$ ).

- Principally, a key stream generator may generate elements in any finite group. Then ' $\oplus$ ' has to be replaced by the respective group operation.

## B.127 (continued)

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- Unlike the one-time pad cipher (cf. B.23) stream ciphers are not unconditionally secure against decryption attacks. (Why not?)
- Synchronous stream ciphers (cf. B.126) have some significant properties. In particular,
  - w No error propagation, i.e. an altered ciphertext digit  $c_j$  does not affect the decryption of the remaining ciphertext.
  - w The loss of a ciphertext digit  $c_j$  cannot be compensated.

## B.127 (continued)

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These properties imply:

- w To guarantee data integrity further security mechanisms are needed (cf. also B.23)
- w If some ciphertext digits got lost all at least from this step all ciphertext digits have to be transmitted once more.
- w Alternatively, *self-synchronizing stream ciphers* could be applied (see B.141)
- In this section we restrict our attention to synchronous stream ciphers.

## B.128 Decryption Attacks on Stream Ciphers

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- In this section we restrict our attention to decryption attacks.
- Decryption Attacks on stream ciphers are typically known-plaintext attacks. Occasionally, even ciphertext-only attacks may be feasible.

Note: From the knowledge of some (plaintext, ciphertext) pairs  $(p_{j-1}, c_{j-1}), \dots, (p_{j-m}, c_{j-m})$  the adversary computes the corresponding random numbers  $k_{j-i} = c_{j-i} \oplus p_{j-i}$ .

- Since the key stream is independent from the plaintext a chosen-plaintext attack does not improve the adversary's chances of success compared to a known-plaintext attack.



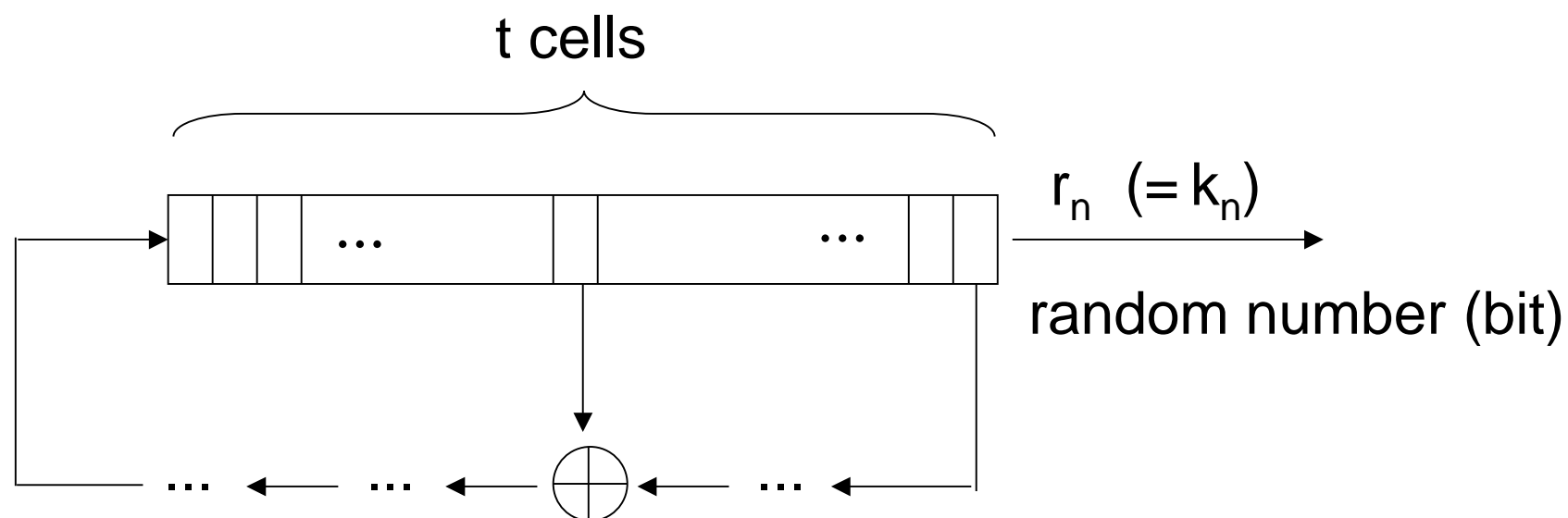
## B.129 The Key Stream Generator: Security Requirements

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- It shall not be feasible to find the seed by exhaustive search. Hence the seed must be sufficiently long.
- The random numbers should assume all possible values with identical probability.
- The knowledge of some random numbers  $k_{j_1}, \dots, k_{j_m}$  shall not allow an adversary to determine or to guess any further random numbers with non-negligibly higher probability than without the knowledge of  $k_{j_1}, \dots, k_{j_m}$ . The preferred goal, of course, is the seed as it allows the easy computation of all random numbers.

## B.130 Example (Key Stream Generator)

### Linear feedback shift register (LFSR) over GF(2)



Each cell stores a single bit. Content of the LFSR (= *internal state*) at time  $n$  from left to right:  $r_{n+t}, \dots, r_{n+1}$

## B.130 (continued)

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1. The feedback value is computed ( = XOR sum of particular cells (*taps*)).
2. The content of all cells is shifted by one position to the right.
  - w The feedback value is written into the left-most cell
  - w The value that has been shifted over the right “border” of the LFSR is output (random bit)

## B.130 (continued)

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Note: If the cells  $1 = s_{-1} < \dots < s_{-m} \leq t$  (labelled from the right to the left, beginning with '1') are taps then

$$r_{n+t+1} = r_{n+s_{-m}} \oplus \dots \oplus r_{n+s_{-1}} \text{ (recursion formula)}$$

Fact: There is a correspondence between recursion formulae and polynomials over GF(2). More precisely,

$$r_{n+t+1} = r_{n+s_{-m}} \oplus \dots \oplus r_{n+s_{-1}}$$

corresponds to the feedback polynomial

$$f(X) = X^t + X^{t+1-s_{-2}} + \dots + X^{t+1-s_{-m}} + 1 \in \text{GF}(2)[X]$$

## B.130 (continued)

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Observation: The current internal state determines all following random numbers.

Consequence: At least from a certain step

- the internal state
  - and hence the output sequence
- are periodic.

Fact:

- (i) The zero state  $(0, \dots, 0)$  generates the constant output sequence  $0, 0, \dots$
- (ii) The period length  $2^t - 1$  can be obtained ( $\rightarrow$  primitive feedback polynomials).

Details: Blackboard

## B.130 (continued)

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Example: ( $t = 10$ ) : The feedback polynomial  $f(X) = X^{10} + X^3 + 1$  is primitive.

Hence  $r_{n+11} = r_{n+1} \oplus r_{n+8}$

provides a bit sequence with maximum period length  $2^{10} - 1$  iff the initial state of the LFSR  $\neq (0, \dots, 0)$ .

## B.131 Remark

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- Due to their outstanding practical relevance we only consider LFSRs over  $GF(2)$  in this course.
- We mention that LFSRs can be defined over any finite field and over finite rings (e.g. over  $Z_n$ ).

## B.132 To Example B.130: Security

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- The seed  $r_1, r_2, \dots, r_t$  determines the whole output sequence.
- Any random bit  $r_j$  can be written as a sum of the seed bits  $r_1, r_2, \dots, r_t$ .
- Assume that the adversary knows  $m$  random bits  $r_{i_1}, r_{i_2}, \dots, r_{i_m}$ . Let  $\mathbf{s} := (r_1, r_2, \dots, r_t)^T$  (seed!) and  $\mathbf{z} := (r_{i_1}, r_{i_2}, \dots, r_{i_m})^T$  then

$$A\mathbf{s} = \mathbf{z}$$

where  $A$  is an  $(m \times t)$ -matrix  $A$  over  $\text{GF}(2)$ .

- The seed  $\mathbf{s}$  is a solution of the above equation. If  $\text{rank}(A) = t$  then  $\mathbf{s}$  is the unique solution.



## B.132 (continued)

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Consequence: It is sufficient to know  $\approx t$  random bits to recover the seed  $\mathbf{s}$ .

Fact: Even if the adversary does not know the taps the knowledge of  $\approx 2t$  random bits is sufficient to recover the seed  $\mathbf{s}$  ( $\rightarrow$  Berlekamp-Massey algorithm).

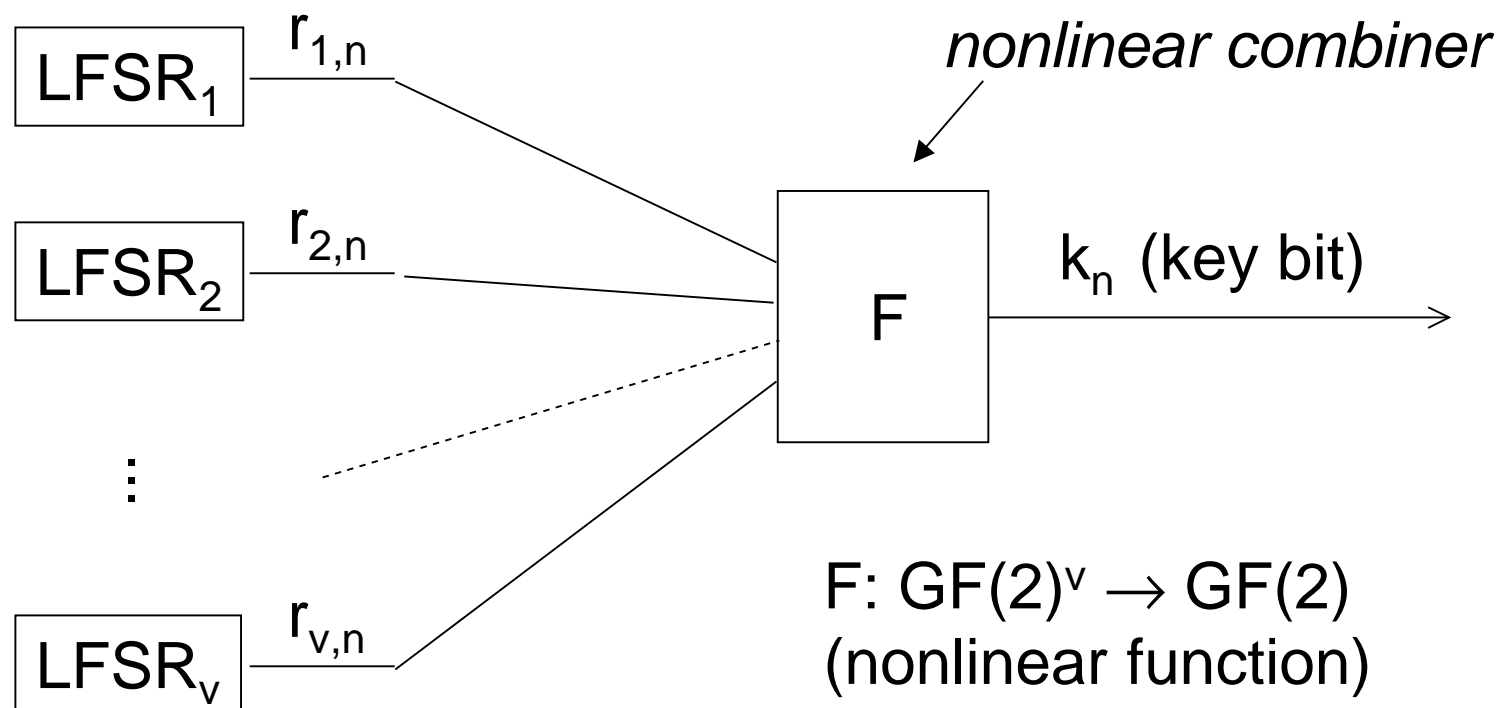
The key stream generator from Example B.130 (LFSR) is completely insecure.

Details: Blackboard

## B.133 Example (Key Stream Generator)

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### Several LFSRs with a nonlinear combiner



## B.133 (continued)

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### Observation:

- If LFSR<sub>j</sub> has length  $t_j$ , if all feedback polynomials are primitive and all LFSR seeds are non-zero (i.e.,  $\neq (0, \dots, 0)$ ) then  $(r_{1,1}, r_{2,1}, \dots, r_{v,1}), (r_{1,2}, r_{2,2}, \dots, r_{v,2}), \dots$  has period  $p := \text{lcm}(2^{t_1-1}-1, 2^{t_2-1}-1, \dots, 2^{t_v-1}-1)$
- The period of  $k_1, k_2, \dots$  divides  $p$  (usually it equals  $p$ )

## B.133 (continued)

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Assumption: The adversary knows a part of the key stream sequence.

Straight-forward attack (exhaustive seed search):

- The adversary computes the key stream sequences for all possible seeds ( $= 2^{t_1+t_2+\dots+t_v}$ ) and compares it with the known random numbers.
- If the computed key stream sequence differs from the known random numbers **the assumed seed candidate is definitely false**.
- If the attacker knows sufficiently many random numbers only the correct seed should remain.

## B.133 (continued)

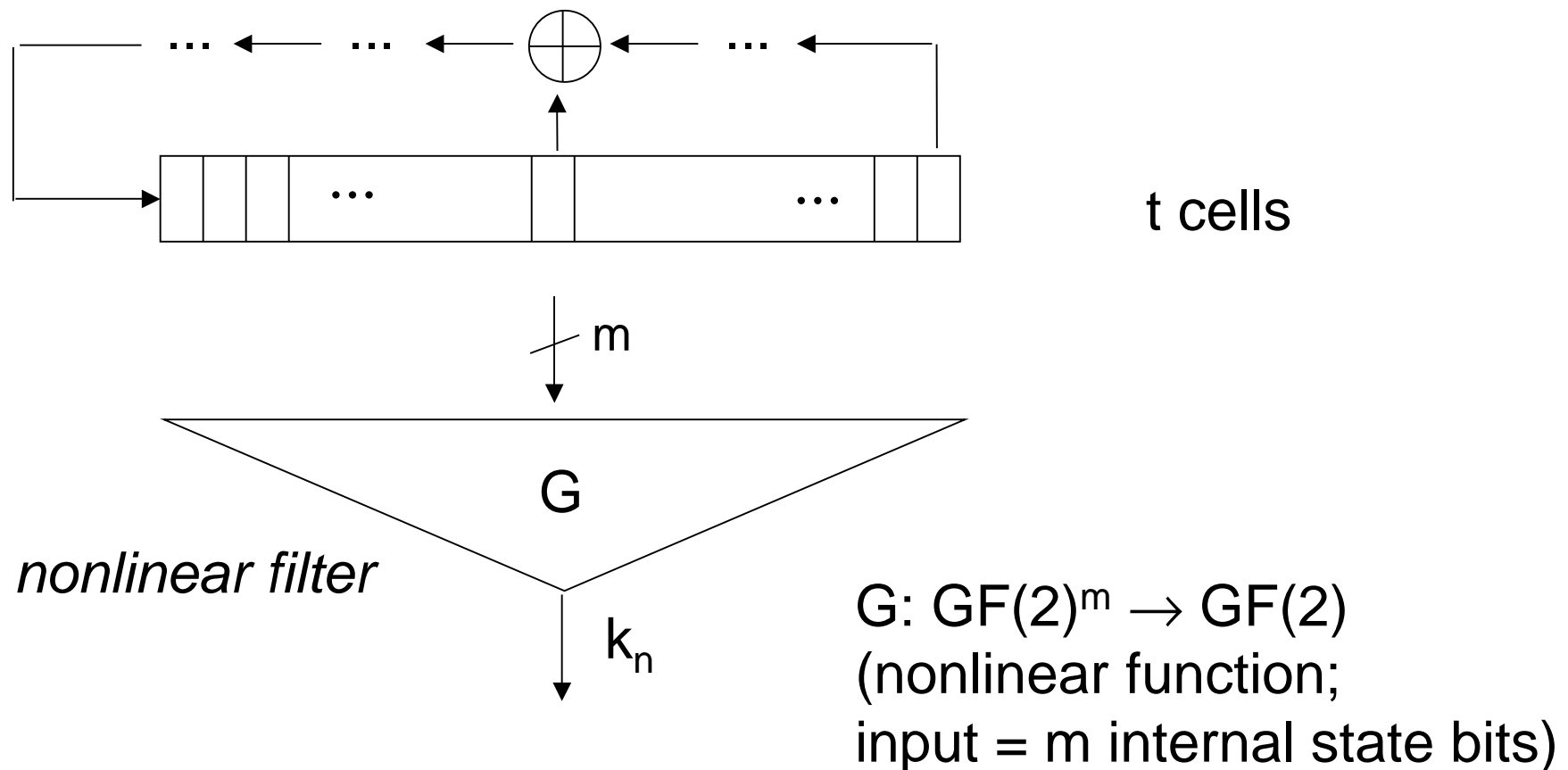
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Assessment: Principally, the straight-forward attack works. If  $2^{t_1+t_2+\dots+t_v}$  is sufficiently large it is yet not practically feasible.

Remark: Many research work has been devoted to find more efficient attacks. At the end of this section we describe Siegenthaler's attack (cf. B.142f.), maybe the most elementary non-trivial attack.

## B.134 Example (Key Stream Generator)

### LFSR with a nonlinear filter



## B.135 Example (Key Stream Generator)

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Block cipher in OFB mode ( $\rightarrow$  B.36)

Security: depends on the block cipher Enc

Note: Assume that an adversary knows the random numbers  $r_i, \dots, r_{i+j}$ . Finding  $r_{i+j+1}$  or  $r_{i-1}$  is at least as difficult as a chosen-plaintext, resp. a chosen-ciphertext attack, on the block cipher Enc.

Proof: Exercise

## B.136 Typical Applications

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- Typically, stream ciphers are used by applications that meet at least some of the following assumptions:
  - w The device has restricted computational resources.
  - w Many random numbers have to be computed in real-time.
  - w Single plaintext bits or short bit sequences have to be processed immediately.
  - w (At least to a certain extent) altered ciphertext digits are tolerable but these errors should not propagate.



## B.136 (continued)

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- Typical applications that use stream ciphers are mobile communication, wireless short range communication, WLANs etc.
- Well-known stream cipher algorithms: A5 (several variants) and f8 (mobile communication (GSM, resp. UMTS)), E0 (Bluetooth), RC4 (WLAN, WEP protocol), SEAL, ...
- The goal of the eSTREAM project (organized by the EU ECRYPT network) is “to identify new stream ciphers that might become suitable for widespread adoption”.

## B.137 Remark

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- Principally, any pseudorandom number generator *that is suitable for cryptographic applications* may be used as a key stream generator.
- Note: Besides statistical properties (uniform distribution, ...) it must in particular be practically infeasible to find predecessors and successors of known subsequences with non-negligible probability.

## B.137 (continued)

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- Key stream generators with high throughput are of particular interest if they need only little resources (computation time, memory).
- For this reason various constructions using LFSRs have intensively been investigated.
- We do not deepen this topic in this course.
- Note: Since the key stream is independent from plaintext and ciphertext it can be pre-computed in idle time.

## B.138 Random Number Generators (RNGs) for Cryptographic Applications

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- Apart from stream ciphers a large number of cryptographic primitives and protocols need random number generators (RNGs).
- RNGs are needed, for instance, for the generation of
  - w session keys
  - w challenges (cf. B.30)
  - w signature parameters (→ Chap. C)
  - w ephemeral keys (→ Chap. C)
  - w ...

## B.139 Remark

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- Roughly speaking, RNGs can be divided into *true* and *deterministic (pseudorandom)* RNGs.
- The class of true RNGs itself falls into two subclasses containing *physical* RNGs (using dedicated hardware) and *non-physical* RNGs (using non-deterministic system data and / or user's interaction).
- Combinations of the basic types are possible (hybrid RNGs).

Details: Blackboard

## B.139 (continued)

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- The international ISO norm 18031 “Random Bit Generation” provides examples and design principles for deterministic and true RNGs.
- Examples for deterministic RNGs can also be found in the “Handbook of Applied Cryptography”, for instance.
- In Germany the evaluation guidances AIS 20 and AIS 31 are mandatory if an internationally recognized IT security certificate (according to the so-called “Common Criteria”) is applied for. These guidances describe requirements on the RNG and the applicant’s and the evaluator’s tasks.

## B.140 Warning

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- Random numbers are also needed for stochastic simulations and Monte-Carlo integrations which play an important role e.g. in several fields of applied mathematics, computer science and applied sciences.
- Unlike for cryptographic applications (cf. B.129 and B.138, for instance) it is fully sufficient if these random numbers behave statistically inconspicuously.

## B.140 (continued)

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- Pseudorandom generators that are appropriate for stochastic simulations or Monte Carlo integrations may be totally unsuitable for cryptographic applications!
- Not everyone is aware of this fact, which has caused a lot of confusion.



## B.141 Self-Synchronizing Stream Ciphers

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- For self-synchronizing stream ciphers the key stream depends on a key and on some previous ciphertext digits.
- Roughly speaking, the general design of self-synchronizing stream ciphers is like the CFB mode for block ciphers (Example!).
- In particular, self-synchronizing stream ciphers can compensate the loss of ciphertext digits. (Depending on the application it may not be necessary to repeat the transmission.)
- On the negative side the key stream cannot be precomputed.

## B.142 Siegenthaler's Attack

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- We end this section with a well-known attack, which was introduced by Siegenthaler in 1984.
- Scenario: LFSRs with a nonlinear combiner (cf. B.133)
- Example:  $v=3$ ,  $F(x,y,z) := xy \oplus xz \oplus yz$ ;  
LFSR lengths:  $t_1 = 29$ ,  $t_2 = 31$ ,  $t_3 = 33$ ;  
The attacker knows  $k_{j_1}, \dots, k_{j_m}$   
Straight-forward attack (cf. B.133): requires the check of  $2^{29+31+33} = 2^{93}$  seed candidates for  $(\text{LFSR}_1, \text{LFSR}_2, \text{LFSR}_3)$ , which is practically infeasible.

**B.142 (continued)**

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x	y	z	F(x,y,z)
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	1

F is balanced (four „0“s, four „1“s). But ...

**B.142 (continued)**

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x	y	z	F(x,y,z)
0	0	0	0
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	1

## B.142 (continued)

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- Observation: Assume that  $X, Y, Z$  are independent random variables that are uniformly distributed on  $\{0, 1\}$ , i.e.  $\text{Prob}(X = 0) = \dots = \text{Prob}(Z = 1) = 0.5$

Then

$$\text{w } \text{Prob}(X = F(X, Y, Z)) = 0.75$$

and, similarly,

$$\text{w } \text{Prob}(Y = F(X, Y, Z)) = 0.75$$

$$\text{w } \text{Prob}(Z = F(X, Y, Z)) = 0.75$$

- Conclusion: We may expect that for about 75 % of the sub-indices  $i \in \{1, \dots, m\}$  we have  $r_{1, j_i} = k_{j_i}$ .

## B.142 (continued)

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### Siegenthaler's Attack:

For each possible seed candidate  $s_1'$  for LFSR<sub>1</sub> do {

w compute the output sequence of LFSR<sub>1</sub> until index  $j_m$

w determine the fraction  $n(s_1')$  of the bits  $r_{1,,j-1}, r_{1,,j-2}, \dots, r_{1,,j-m}$  that are identical with the known part of the key stream sequences

### Note:

- (i) For the correct seed  $s_1$  we may expect  $n(s_1) \approx 0.75$ .
- (ii) For any false seed  $s_1'$  we may expect  $n(s_1') \approx 0.5$ .
- (iii) Unless  $m$  is large the value  $n(s_1')$  of some false seed candidates may exceed 0.5 considerably.

## B.142 (continued)

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### Siegenthaler's Attack:

For each possible seed candidate  $s_1'$  for  $\text{LFSR}_1$  do {

w compute the output sequence of  $\text{LFSR}_1$  until index  $j_m$

w determine the fraction  $n(s_1')$  of the bits  $r_{1,,j-1}, r_{1,,j-2}, \dots, r_{1,,j-m}$  that are identical with the known part of the key stream sequences

w add  $s_1'$  to a set  $S_1$  of 'likely' seeds if  $n(s_1') > th_1$  where  $th_1 \in (0.5, 0.75)$  is a suitably selected threshold

}

## B.142 (continued)

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- The attacker performs the same procedure for LFSR<sub>2</sub> and LFSR<sub>3</sub>, too, obtaining three sets  $S_1, S_2, S_3$  of 'likely' seeds of the particular LFSRs.
- The attacker checks all triples  $(s_1', s_2', s_3') \in S_1 \times S_2 \times S_3$  (comparison of the generated output sequences at the positions  $j_1, \dots, j_m$  with the known bits  $k_{j_1}, k_{j_2}, \dots, k_{j_m}$  ).



## B.142 (continued)

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### Note:

The threshold  $th_1$  (resp.  $th_2$ , resp.  $th_3$ ) should be selected that

$w$   $S_i$  contains the true seed  $s_i$  with high probability

$w$   $|S_i|$  is not too large

The choice of  $th_i$  should consider the parameters  $t_i$  and  $m$  (apply the Central Limit Theorem as if the output of the LFSRs and the key stream bits were truly random).

## B.142 (continued)

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### Efficiency:

- Siegenthaler's attack is much more efficient than the straight-forward attack because the attacker determines the seeds of all LFSRs independently.
- The workloads for the individual LFSRs essentially add up whereas in the straight-forward attack these workloads multiply!
- In our example finding the seed of  $\text{LFSR}_3$  dominates the workload ( $2^{33}$  seed candidates vs.  $2^{93}$  in the straight-forward attack).

Note: The number  $m$  of known random numbers must be larger than in the straight-forward attack.

## B.143 Remark

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- Siegenthaler pointed out that his attack even works as a ciphertext-only attack (due to the non-uniformity of the plaintext).
- Source of Siegenthaler's attack:  
The correlation of the function value  $F(x,y,z)$  with  $x$  (resp. with  $y$ , resp. with  $z$ ).

## B.143 (continued)

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### Preventing Siegenthaler's attack:

- Let  $F:GF(2)^v \rightarrow GF(2)$  and let  $X_1, X_2, \dots, X_v$  denote independent random variables that are uniformly distributed on  $\{0, 1\}$ .

Assume further that  $F(X_1, X_2, \dots, X_v)$  and  $(X_{j_1}, X_{j_2}, \dots, X_{j_d})$  are independent for any choice of indices  $j_1, \dots, j_d \in \{1, \dots, v\}$ . Then  $F$  is said to be *correlation-immune* of order  $d$ .

- Consequence: To perform Siegenthaler's attack then the seeds of at least  $(d+1)$  LFSRs have to be guessed simultaneously.

Details: Blackboard + Exercises