

# Foundations of Informatics: a Bridging Course

## Week 3: Formal Languages and Semantics

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<http://cosec.bit.uni-bonn.de/students/teaching/08us/08us-bridgingcourse.html>

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## Part III

# Processes and Concurrency

- 1 Motivation
- 2 Communicating Automata
- 3 Petri Nets
- 4 Outlook

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  - Programming languages with concurrency (e.g., Java's threads)
  - Operating systems
  - Embedded systems with interacting hardware and software components
  - Web services

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- Now: Consider systems of **processes** with **concurrent** behaviour
- Applications:
  - Programming languages with concurrency (e.g., Java's threads)
  - Operating systems
  - Embedded systems with interacting hardware and software components
  - Web services
- Goals:
  - Better understanding of behaviour
  - Formal verification of desirable properties (e.g., absence of deadlocks)
  - Systematic construction of implementations from (abstract) specifications

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Product construction for DFA  $\mathfrak{A}_1, \mathfrak{A}_2$ :

$$\mathfrak{A} := \langle Q_1 \times Q_2, \Sigma, \delta, (q_0^1, q_0^2), F \rangle$$

is defined by

$$\delta((q_1, q_2), a) := (\delta_1(q_1, a), \delta_2(q_2, a)) \text{ for every } a \in \Sigma$$

and

$$F := F_1 \times F_2$$

$\implies$  recognizes  $L(\mathfrak{A}_1) \cap L(\mathfrak{A}_2)$  (similar construction for  $L(\mathfrak{A}_1) \cup L(\mathfrak{A}_2)$ )



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**Interpretation:** **fully synchronized coupling** of two automata

**Generalization:**

- arbitrary number of automata
- NFA rather than DFA
- no full synchronization, i.e., not every action relevant for every automaton

## Definition III.1

Let  $\mathfrak{A}_i = \langle Q_i, \Sigma_i, \Delta_i, q_0^i, F_i \rangle$  be NFA for  $1 \leq i \leq n$ .

The **synchronized product** of  $\mathfrak{A}_1, \dots, \mathfrak{A}_n$  is the NFA

$$\mathfrak{A}_1 \otimes \dots \otimes \mathfrak{A}_n := \langle Q, \Sigma, \Delta, q_0, F \rangle$$

where

- $Q := Q_1 \times \dots \times Q_n$
- $\Sigma := \Sigma_1 \cup \dots \cup \Sigma_n$
- $((q_1, \dots, q_n), a, (q'_1, \dots, q'_n)) \in \Delta \iff \begin{cases} (q_i, a, q'_i) \in \Delta_i & \text{if } a \in \Sigma_i \\ q'_i = q_i & \text{otherwise} \end{cases}$
- $q_0 := (q_0^1, \dots, q_0^n)$
- $F := F_1 \times \dots \times F_n$

## Example III.2

### Dining Philosophers Problem:

- $n$  philosophers sitting around a table
- a fork between every two of them
- philosophers are thinking, hungry or eating
- need both neighbouring forks to eat
- component automata + product: on the board

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## Definition III.3

A **Petri Net** is a quadruple

$$N = \langle P, T, F, m_0 \rangle$$

where

- $P$  is a non-empty and finite set of **places**
- $T$  is a non-empty and finite set of **transitions**
- $F \subseteq P \times T \cup T \times P$  is a **flow relation**
- $m_0$  is the **initial marking**

A **marking** of  $N$  is a function

$$m : P \rightarrow \mathbb{N}$$

which assigns a number of **tokens** to every place. If  $p = \{p_1, \dots, p_n\}$  we write  $m = (m_1, \dots, m_n)$  where  $m_i = m(p_i)$  for every  $1 \leq i \leq n$ .

- places as  $\bigcirc$
- transitions as  $|$
- tokens as  $\bullet$
- flow relation by arrows

## Example III.4

Mutual exclusion protocol (on the board)

## Definition III.5

Let  $N = \langle P, T, F, m_0 \rangle$  be a Petri Net.

- The **preset** of  $t \in T$  is the set

$$\bullet t := \{p \in P \mid (p, t) \in F\}.$$

- The **postset** of  $t \in T$  is the set

$$t\bullet := \{p \in P \mid (t, p) \in F\}.$$

- Similarly for places and for sets of transitions or places
- $t \in T$  is **enabled** in  $m$  if  $m(p) > 0$  for every  $p \in \bullet t$



## Definition III.6 (continued)

- The **firing relation** is defined by:

$$m \triangleright_t m' \iff t \text{ enabled in } m, m'(p) = \begin{cases} m(p) - 1 & \text{if } p \in \bullet t \setminus t \bullet \\ m(p) + 1 & \text{if } p \in t \bullet \setminus \bullet t \\ m(p) & \text{otherwise} \end{cases}$$

(we then also write  $m \triangleright m'$ )

- A marking  $m \neq (0, \dots, 0)$  is called a **deadlock** if there exists no  $m'$  such that  $m \triangleright m'$ .
- A marking  $m'$  is called **reachable** from  $m$  if  $m \triangleright^* m'$ .
- $N$  is called  **$k$ -safe** if for every marking  $m$  reachable from  $m_0$  and every  $p \in P$ ,  $m(p) \leq k$ .
- $N$  is called **unsafe** if no such  $k$  exists.

## Example III.7

(on the board)

- ① Firing of a transition
- ② A deadlock
- ③ A 1-safe Petri Net
- ④ An unsafe Petri Net
- ⑤ A more complicated example

## Definition III.8

The **safeness problem** for Petri Nets is specified as follows.

**Input:** Petri Net  $N = \langle P, T, F, m_0 \rangle$

**Question:** is  $N$   $k$ -safe for some  $k \in \mathbb{N}$ ?

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## Applications:

- $N$  safe  $\implies$  bounded use of resources (e.g., buffer memory)
- $N$   $k$ -safe  $\implies N$  representable by finite automaton  
(at most  $(k + 1)^{|P|}$  states reachable)

# The Safeness Problem II

Theorem III.9 (Karp, Miller 1968)

*The safeness problem for Petri Nets is decidable.*

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## Proof.

(idea)

- start with  $m_0$
- enumerate all marking reachable from  $m_0$
- if  $m_0 \triangleright^* m \triangleright^* m'$  where  $m' > m$ , then  $N$  is unsafe
- only finitely many combinations to consider



## Definition III.10

The **reachability problem** for Petri Nets is specified as follows.

**Input:** Petri Net  $N = \langle P, T, F, m_0 \rangle$ , set  $M$  of markings

**Question:** does  $m_0 \triangleright^* M$  (i.e.,  $m_0 \triangleright^* m$  for some  $m \in M$ ) hold?

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## Application:

- $M :=$  set of “bad” states (e.g., deadlock markings)
- $N$  correct  $\iff M$  unreachable



# The Reachability Problem II

## Theorem III.11

*The reachability problem for Petri Nets is decidable for finite reachability sets  $M$  (even for unbounded nets).*

## Proof.

omitted □

## Example III.12

Petri Net representation of Dining Philosophers  
( $n = 2$ ; non-atomic picking; on the board)

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- Communicating automata with **FIFO channels**
- Petri Nets with **weights and capacities**
- Petri Nets as **language acceptors**
- **Matrix representation** of Petri Nets
- **Message Sequence Charts**
- **Process algebras**