

Lecture Notes

**Esecurity: secure internet & evoting**

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# Email

esec  
12.04.10

(1)

Goal: (originating before 1982,  
ie. long before the Internet)

- transfer messages of varying size
- text messages  
not pictures, or even films
- easy, fast
- connects geographically distributed parties
- independent of sender & recipient location.

## Format:

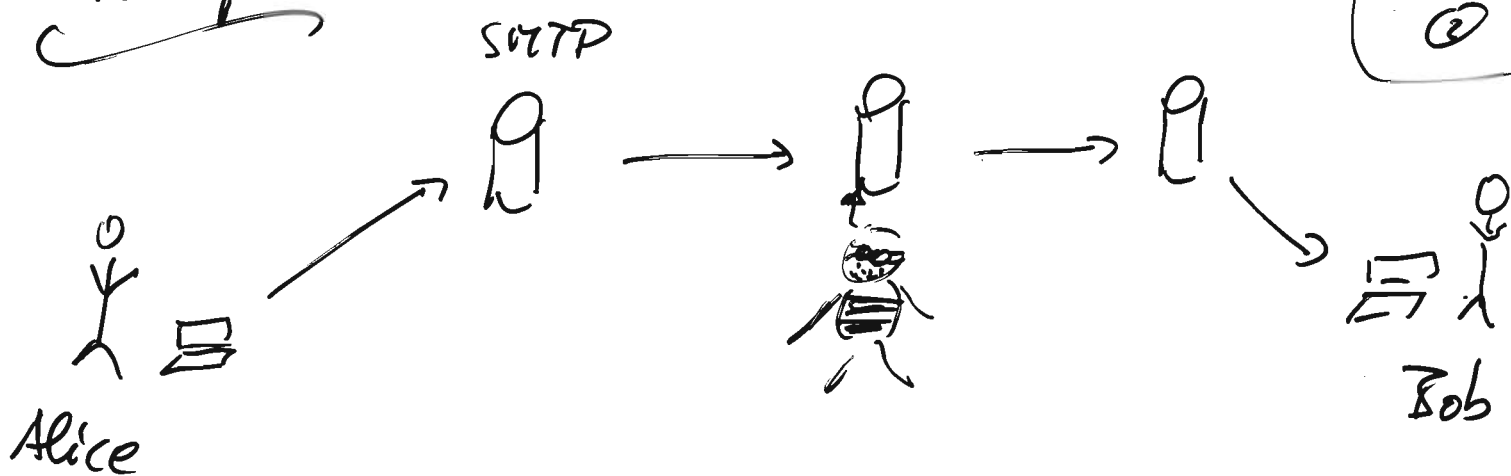
- pure text, electronic
- simple format:

<header> → <keyword>: <info>  
<blank line>  
<body>

Thunderbird: Ctrl+U  
shows raw mail text...

Transport

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②



Security? Goals now:

- identify sender (authenticate)
- encryption → identify receiver (confidential!)
- prevent changes of content (integrity)
- prevent even know existence of messages to be known (message flow confidentiality)
- signature → sender cannot deny the content. (non-repudiation)
- Proof of submission
- Proof of delivery
- Anonymity

```

Return-Path: <08ws-soti-admin@bit.uni-bonn.de>
X-Original-To: nuesken@math.upb.de
Delivered-To: nuesken@math.upb.de
[...]
Received: by postfix.iai.uni-bonn.de (Postfix, from userid 13020)
      id 94C365C834; Mon, 3 Nov 2008 21:10:04 +0100 (MET)
X-Sieve: cmu-sieve 2.0
X-IAI-Env-From: <08ws-soti-admin@bit.uni-bonn.de> : [131.220.8.1]
Received: from uran.iai.uni-bonn.de (uran.iai.uni-bonn.de [131.220.8.1])
      by postfix.iai.uni-bonn.de (Postfix) with ESMTP
      id 97F4F5C829; Mon, 3 Nov 2008 21:10:03 +0100 (MET)
      (envelope-from 08ws-soti-admin@bit.uni-bonn.de)
      (envelope-to VARIOUS) (2)
      (internal use: ta=0, tu=1, te=0, am=-, au=-)
Delivered-To: 08ws-soti@alias.informatik.uni-bonn.de
X-IAI-Env-From: <first.family@uni-bonn.de> : [80.136.68.129]
Received: from [192.168.178.46] (p50884481.dip.t-dialin.net [80.136.68.129])
      by postfix.iai.uni-bonn.de (Postfix) with ESMTP
      id A1CCC5C829; Mon, 3 Nov 2008 21:09:55 +0100 (MET)
      (envelope-from first.family@uni-bonn.de)
      (envelope-to VARIOUS) (2)
      (internal use: ta=1, tu=1, te=1, am=P, au=first.family)
Message-ID: <490F5A8B.6000205@informatik.uni-bonn.de>
Date: Mon, 03 Nov 2008 21:09:47 +0100
From: First Family <first.family@uni-bonn.de>
Reply-To: first.family@uni-bonn.de
User-Agent: Thunderbird 2.0.0.17 (Windows/20080914)
MIME-Version: 1.0
To: 08ws-soti@bit.uni-bonn.de
Subject: [08ws-soti] 1234567
X-Enigmail-Version: 0.95.7
Content-Type: text/plain; charset=UTF-8
Content-Transfer-Encoding: 8bit
Sender: 08ws-soti-admin@bit.uni-bonn.de
Errors-To: 08ws-soti-admin@bit.uni-bonn.de
X-BeenThere: 08ws-soti@bit.uni-bonn.de
X-Mailman-Version: 2.0.4
Precedence: bulk
[...List-Stuff...]
X-Virus-Scanned: by mailscan-system at math.uni-paderborn.de
X-Spam-Status: No, hits=0.2 tagged_above=-999.0 required=4.0 tests=AWL,
      BAYES_00, DNS_FROM_SECURITYSAGE, SPF_PASS, SUBJ_HAS_UNIQ_ID,
      UNIQUE_WORDS
X-Spam-Level:

-----BEGIN PGP MESSAGE-----
Charset: UTF-8
Version: GnuPG v1.4.9 (MingW32)
Comment: Using GnuPG with Mozilla - http://enigmail.mozdev.org

hQIOA8SRdzcl1dlqEaf/VqwmFWs1Y2rqD0AQgBjJAYVWshp6TnEFutXOEloM4q4z
CVtNAium3o2+6R3bToYgx7NIetmiQWsRm7o5QWmIeDKu6zu2ogvn275ik71vBAKk
0/M+IfU12WSjpmYDZm62R2iAjw1Qy6BbLbPeGXJ/AICm65mgajUT/mum8PA8ako6
EezCwYpbS3A0V0xHopKWDWtc9iUBaIsGR9xLozvcVyXXWMCJSV/BAHewoTFD8U57
vnMU0oSp/j8VjI+kp6koY86MJoNplcUUYG5j+IHnuJpfpIbxs2c5cNwYLFuvZrV
RpnjoDq/61ATmssidZEw5mF4/utOG913ftKoCdXpGaf9Fzul4wPGUFOzcATLX4Ef
Q+I+x60keFC4K+mIwefsZHdhbT/XtilkeoFCtaHtvWaqTuaSfxRnlaJshQzwHxL
[...]
aHvqZs9s5+264Q0yUgB8i7AVq6d64JL8lglh3vKEcDdFFUbslgEYjsQ0zFI4UK0i
H+xRNHEYaC8UN1EYbul0lxlMZxz3VQ8bneX7cWmuYggkYDM0XUWfX6OP3CKoCW0U
0mZbZWGzH+I12nzeRO9/TotHfF5enDO2yuEF3Fr6f1FDjlsZIFDq4jdrZy6ucMu0
o2AR6QwuWJQO37KIiJgIngcfA+SO+Mbdg803wuMH3ORVMNclejo5DYRlxw==
=suKP
-----END PGP MESSAGE-----

08ws-SotI mailing list
08ws-SotI@bit.uni-bonn.de
https://mailbox.iai.uni-bonn.de/mailman/listinfo.cgi/08ws-soti

```

header

DNS →

131. ? . ? . ?

← blank line

body

1055-  
esecurity

August 1982  
Simple Mail Transfer Protocol

RFC 821

# Send Mail Transfer Protocol

---

## Example of the SMTP Procedure

This SMTP example shows mail sent by Smith at host Alpha.ARPA, to Jones, Green, and Brown at host Beta.ARPA. Here we assume that host Alpha contacts host Beta directly.

S: MAIL FROM:<Smith@Alpha.ARPA>  
R: 250 OK

S: RCPT TO:<Jones@Beta.ARPA>  
R: 250 OK

S: RCPT TO:<Green@Beta.ARPA>  
R: 550 No such user here

S: RCPT TO:<Brown@Beta.ARPA>  
R: 250 OK

S: DATA  
R: 354 Start mail input; end with <CRLF>.<CRLF>  
S: Blah blah blah...  
S: ...etc. etc. etc.  
S: <CRLF>.<CRLF>  
R: 250 OK

headers  
+  
body of  
the email

The mail has now been accepted for Jones and Brown. Green did not have a mailbox at host Beta.

## Example 1

---

# A few technicalities

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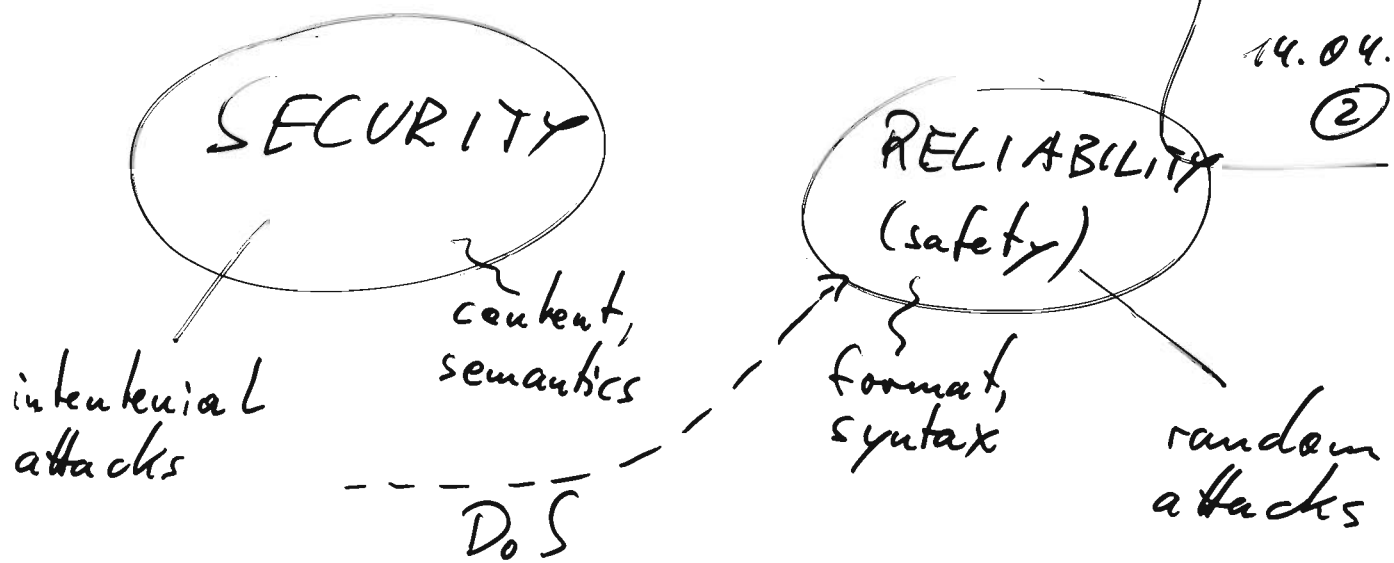
①

- every thing around around email must stay simple.  
(easy to use)
- want to receive any mail
- relay (or forward) mail
- address info MUST be included and legible

↳ DNS service supplies information about the topology  
(? Security? I )

↳ SMTP = Simple Mail Transfer Protocol  
specifies details





Attack (vs. Email)

Defence

DoS

SPAM

Phishing

Read mails

Send malware  
(virus, trojan, worm, ...)

Send mails from  
wrong faked sources

Send mails again

Changing content

SAFETY

Grey listing  
Filtering

Knowledge

Encryption

(Firewall)  
• Antivirus  
• Knowledge

Signatures (CRYPTO)

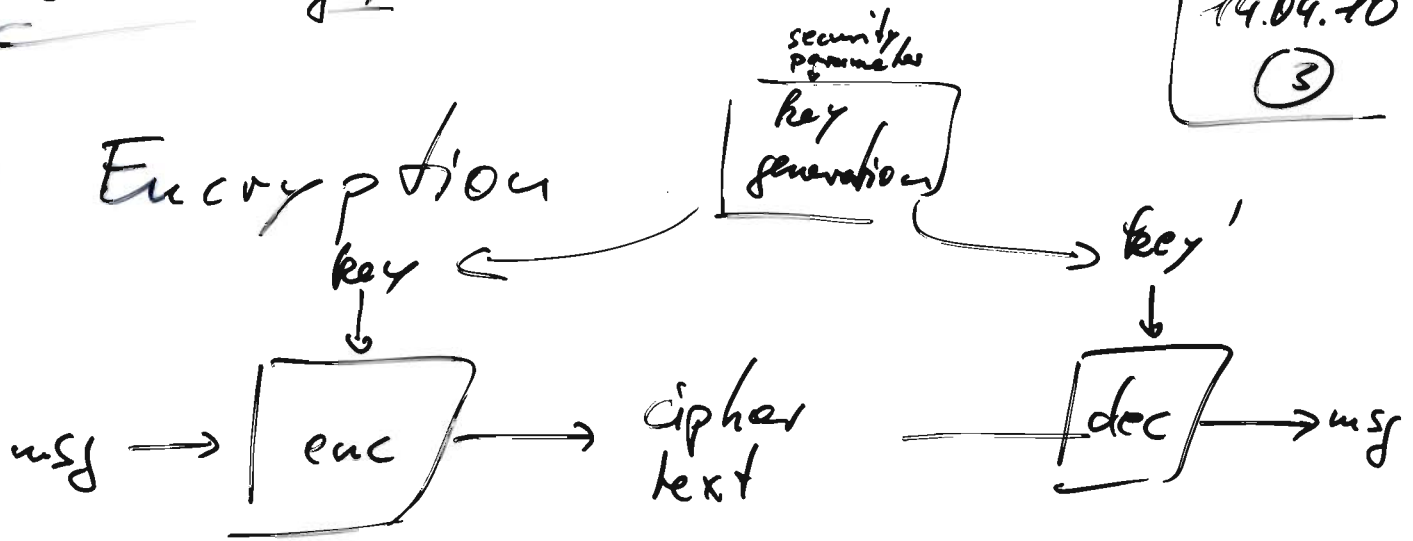
Signature (CRYPTO)



# Technology

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## ① Encryption



Symmetric case:  $key' = key$

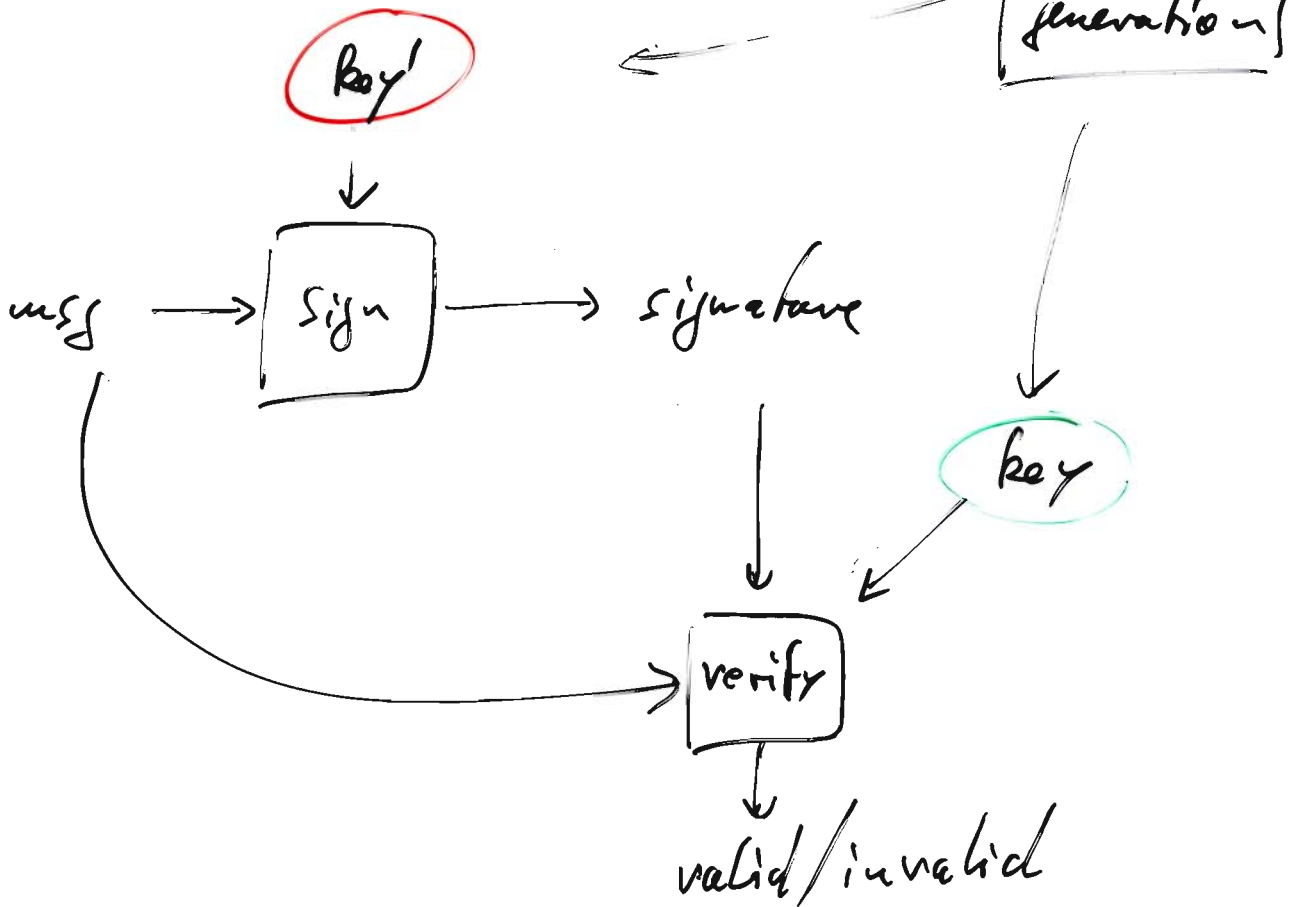
Public-key case:  $key$  and  $key'$  are somehow related, but computing  $key'$  from  $key$  is difficult.

Mostly used as hybrid. public key private key

- protects against disclosure, grants confidentiality.  
only owners of  $key'$  can decrypt (if ... ~~that~~ scheme is 'secure' and the problem it is based on is not broken).
- No protection against changes.

## ② Signatures

Public key case:



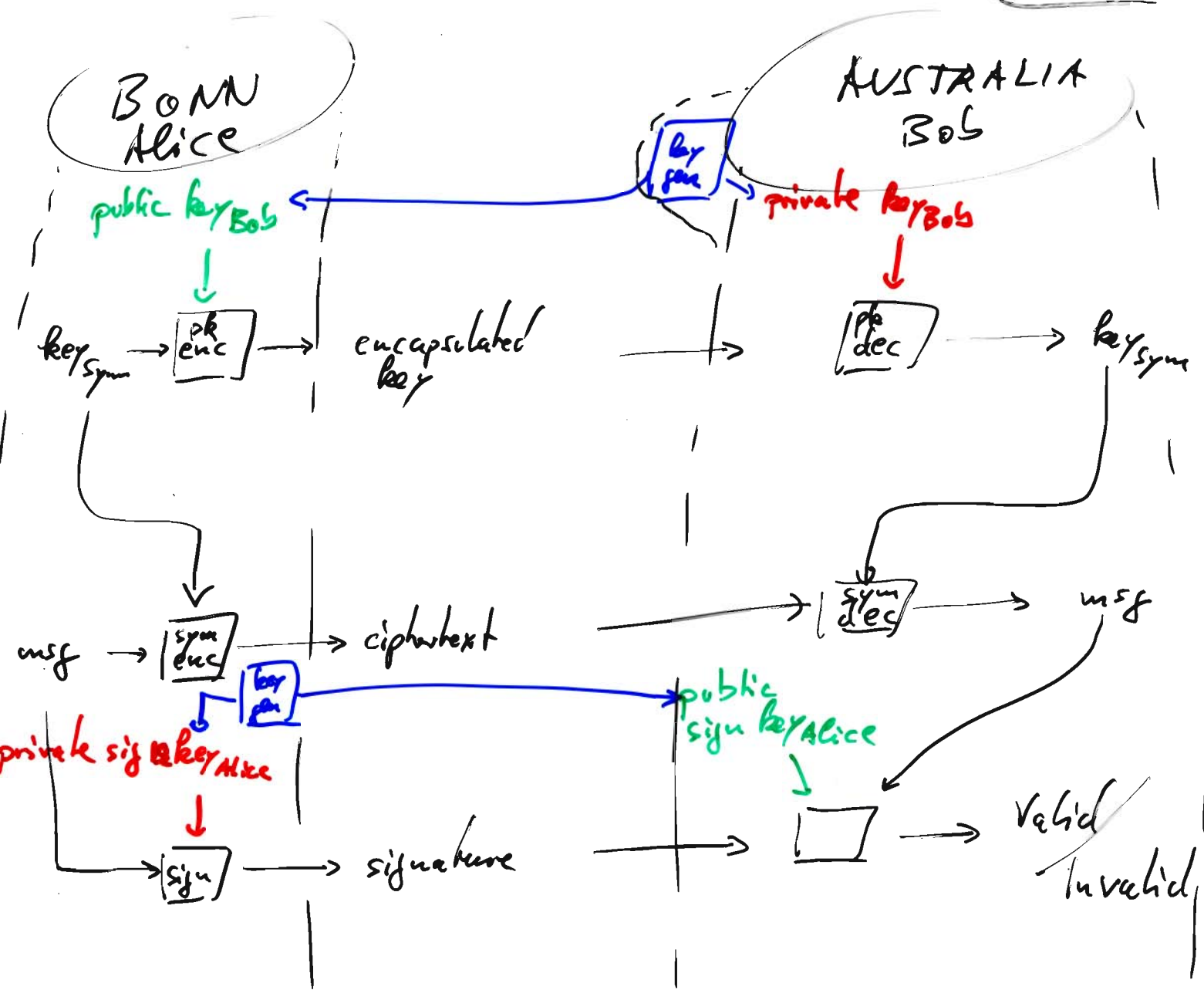
- protect against fake sender (identity)  
(or the scheme is broken)
- protect against manipulation (integrity)
- protect against repudiation (non-repudiation, authentic)

Symmetric case:  
Message Authentication Code.

t.b. done: ③ PKI

# Hybrid & authentic message transport

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①



How does Alice know that what she gets as  $public\ key_{Bob}$  actually belongs to Bob? → Confidentiality.

How does Bob know that what he gets as  $public\ sig\ key_{Alice}$  actually belongs to Alice? → Integrity, Authenticity, Identity of sender.

# Need certificates

Identity information  
( Name, Picture,  
Birthplace, ... )

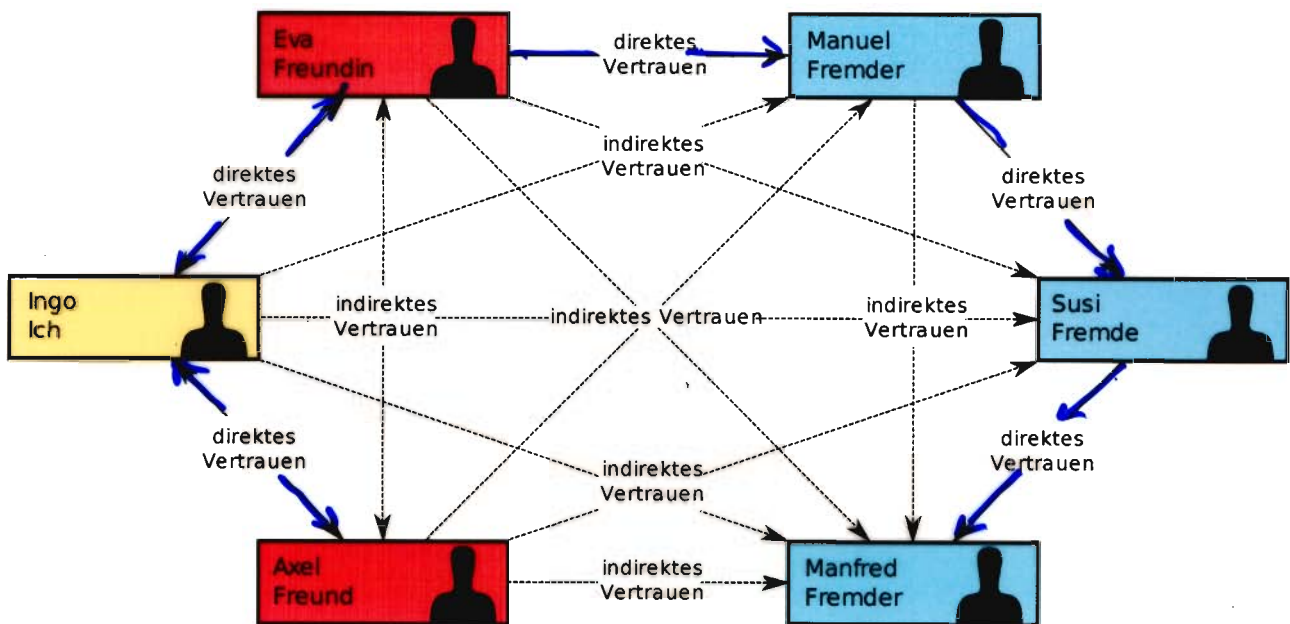
Public key

Public sign key



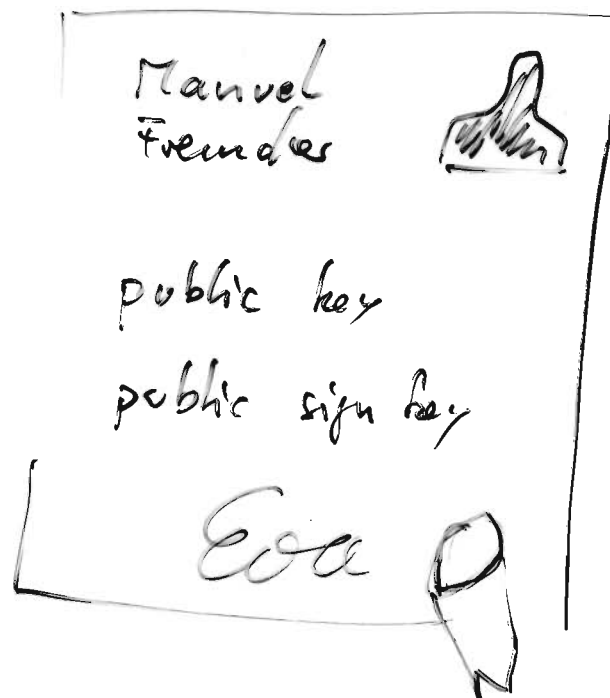
- signature of  
a trusted third  
party

Who signs and how do I know  
that the signer is actually who  
I suppose him to be?



Web of trust

For example: there is a certificate



→ Solution of PGP, GnuPG  
Open PGP standard

# Introduction:

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(3)

1991 Phil Zimmermann: Pretty Good Privacy  
(PGP) open source

Problem with US export restrictions  
"40 b.1"

Later: software is protected  
by US constitution  
"free speech".

1995 Printed source code of PGP  
in book

1997 Zimmermann sold PGP to McAfee  
closed source

1998 Open PGP standard  
& GNU PG {open source}

2002 McAfee sold PGP to PGP Corporation  
who continued the development  
again in open source.

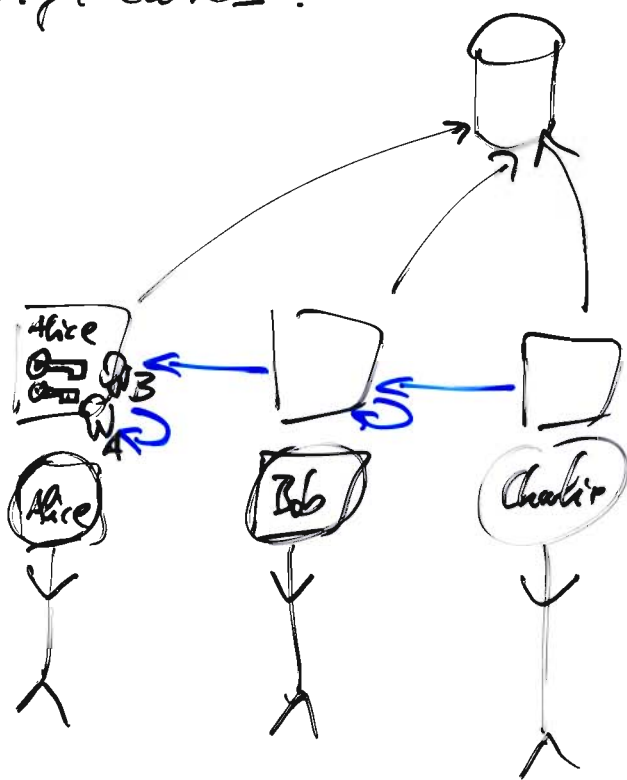
PGP, GnuPG use a keyring.

Such a keyring contains several certificates, and any user can sign and so attribute to any id-key relation he likes.

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Additionally there are key servers which simply collect lots of these certificates.



web of trust

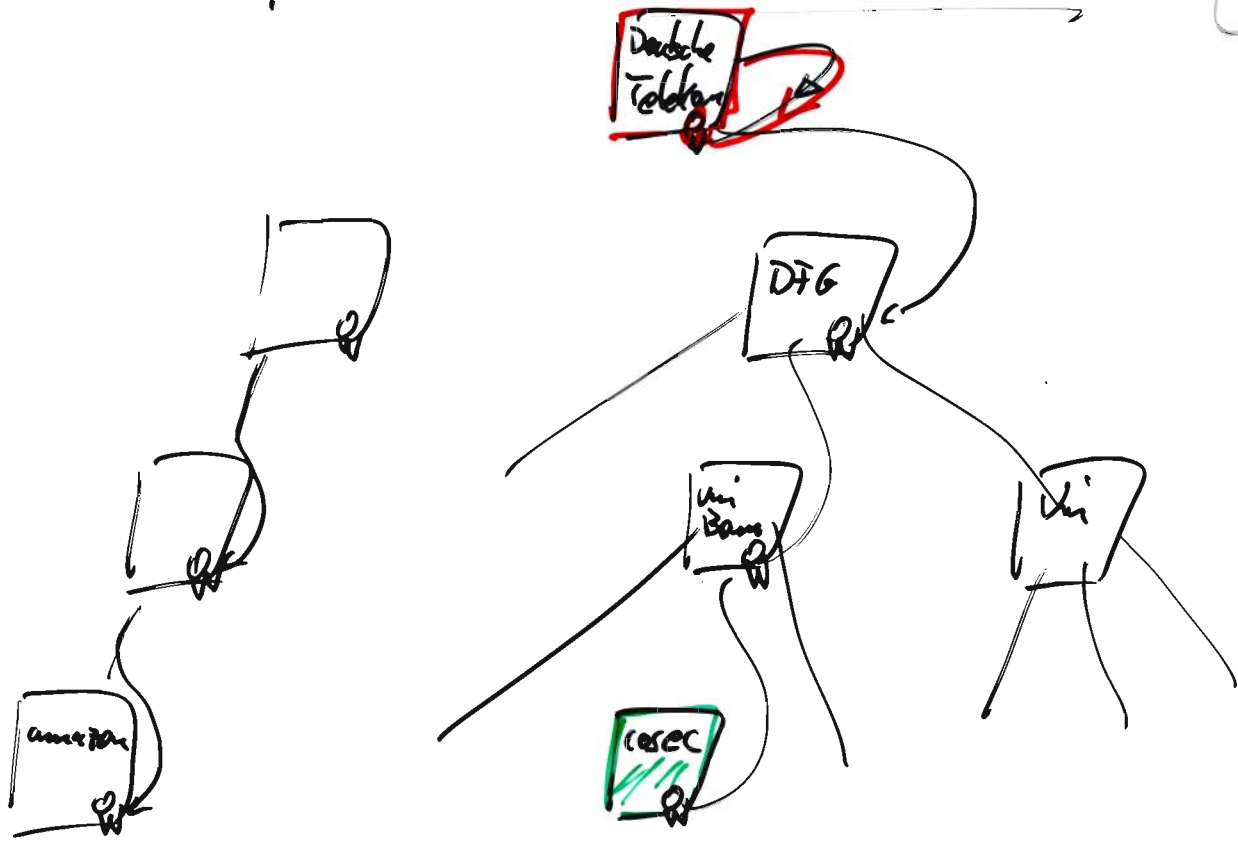
Other solutions?



Another solution for distributing certificates

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①



## hierarchical PKI.

All the trust is anchored in the root certification authority certificates.

You decide which ones are genuine.

The security of such a combined mechanism relies on

- trust in the Root CA certificates
- trust in the CAs
- security of all components (encryption, signatures)

# What is security?

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(3)

- A system is secure if you have to spend more money to break it than the benefit you have from a break.
- A system is secure if the time for breaking it is longer than the lifetime of the attacker.
- A family of systems with ~~a possibly~~ an arbitrarily large security parameter  $k$  is secure if the attack complexity (best possible runtime) is not bounded by any polynomial in  $k$ .

AND

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(2)

• security of their combination.

Example

RSA :

at least have 1024 bit

(80 bit security?)

keys :  $N = p \cdot q$ ,

$$e \cdot d \equiv 1 \pmod{(p-1)(q-1)}$$

public key  $(N, e)$

private key  $(N, d)$

$|N|, |e|, |d| \sim 1024$  bits.

message  $x \in \mathbb{Z} \cap [0, N-1]$ .

$\sim 1024$  bits.

AES : 256 bit key  $x$  (best key size)

$$y := \text{enc}_{\text{RSA}}(x) = x^e \bmod N$$

(200 bit security?)

Note:  $x$  is always short, only 25% of the possible length.

There mechanisms to extract  $x$  from  $(y, N, e)$  within seconds. ( $\uparrow$  Lattices)

NO bit security

What attackers do we consider?

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(7)

- It depends on whether we put on our asymptotic glasses or our fixed size glasses.

in the asymptotic view we always restrict the attacker to polynomial time

(and polynomial space),

in the fixed size view things are more complicated: for example for 80-bit security we allow  $2^{80}$  runtime.  
(Beware of the time unit!)

"resources of the attacker"

What attackers do we consider?

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(Beware of the time unit!)

"resources of the attacker"

What are its inputs?

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Problem specific issue!

Example: RSA.

- Attacker 1 gets input  $N, e, y$ .
- Attacker 2 gets input  $N, e, y$ , power trace of a computation using the secret exponent  $d$ .

~~As far as we know~~

we do not know an attacker 1 which is successful.

we do know an attacker 2 which is successful!

• What is the aim of the attacker?

For example:

• compute the plain text of an encrypted message

• decide whether a specific word is in the plain text of an encrypted message.

• compute the private key.

And it is enough to be successful "sometimes".

we want that -  
we call an attacker successful  
if he gives a correct answer  
with a non-negligibly higher  
probability  
than a randomly guessing algorithm.

Example | Inputs:  $N, e, y$ .  
Output: least significant bit of  $x$   
where  $y \equiv_N x^e$ .

If  $A$  has a success probability of 60%  
the calling  $A$  3-times and taking  
the majority gives us a success  
probability of 64% ( $> 60\%$ ).

Asymptotic classes:

Amplification

Repeating Majorizing over  $n$  executions of  $A$   
gives a success probability  $\frac{1 - c \cdot a^{-n}}{1 - c \cdot a^{-1}}$   
with  $c, a > 1$ .

If success prob of  $A$  is 60%.  
Actually, even  $50\% + \frac{1}{n^k}$  is enough



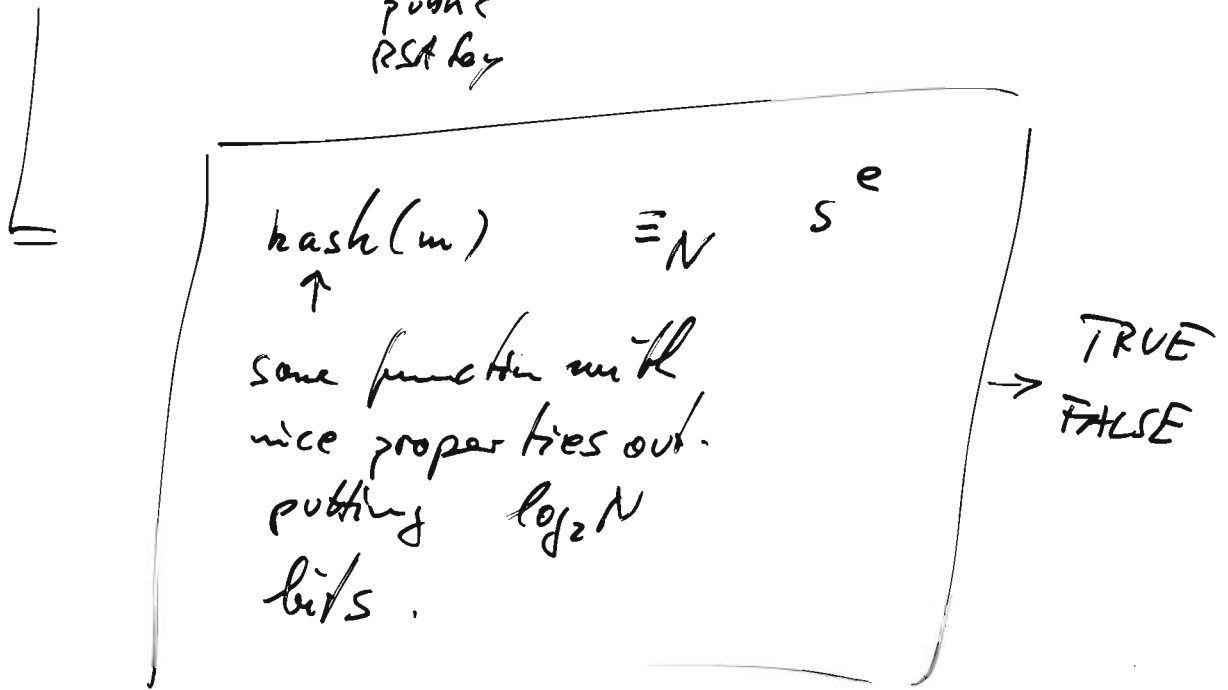
An example

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(4)

RSA - sign: algorithm designed to fit:

RSA-verify (  $m, s, \underbrace{(N, e)}_{\text{public RSA key}} \text{ )}$



Is this "secure"?

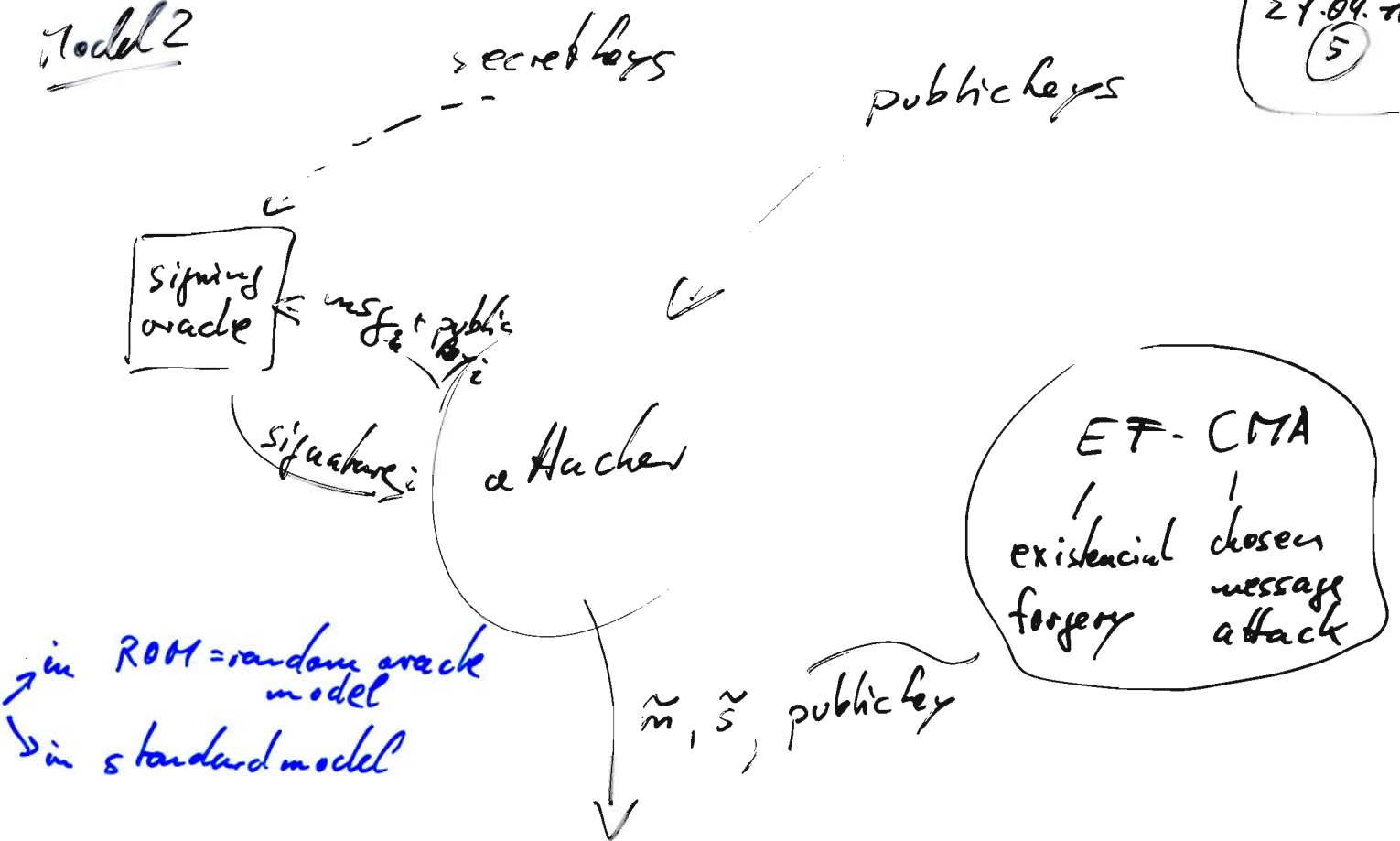
In which sense do you want to ask this question?

\* successful attacker shall output  
a forged message - signature pair.  
~~(Message Attack)~~  
given the public key of the sender,  
a list of earlier signed messages.

Model  
1

Model 2

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(5)



The attacker is successful if

$\tilde{m}, \text{publickey}$  was never asked to the oracle.

and  $\tilde{m}, \tilde{s}$  is a valid msg-signature pair corresponding to  $\text{publickey}$ .

and  $\text{publickey} \in \text{publickeys}$ .

with a success probability suitably larger than guessing.

Precisely: runtime  $\in \text{poly}$ ,  $\frac{1}{\text{success prob}} \in \text{poly}$ .

Assume that in RSA-verify  
the function hash is just  
the identity.

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⑥

RSA-verify

$$\boxed{\text{hash}(m) \equiv_N s^e}$$

Attacker<sub>1</sub>: output 0, 0, publicly.

Attacker<sub>2</sub>: choose  $s \in_{\mathbb{Z}_N} \mathbb{Z}_N$   $N < N$   
compute  $m = s^e \bmod N$ .  
~~output~~ output  $m, s, (N, e)$

Attacker<sub>3</sub>: • fix  $(N, e)$  and a message  $m$ .

• call the signing oracle twice:

$$\text{for } k \cdot m \rightarrow s_1$$

$$\text{for } k^{-1} \rightarrow s_2.$$

• compute  $s := s_1 \cdot s_2 \bmod N$

• output  $m, s, (N, e)$ .

Each of the three attackers proves that  
RSA-signature with hash=id is

NOT secure.

A function  $h^{(k)}: \{0,1\}^* \rightarrow \{0,1\}^k$  is called collision-resistant  
 i.e. there is no algorithm that outputs  $x_1, x_2 \in \{0,1\}^*$  such that

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 (7)

$$x_1 \neq x_2 \quad \wedge \quad h^{(k)}(x_1) = h^{(k)}(x_2)$$

and polynomial time w.r.t.  $k$ .  
 expected

Stupid solution: Input:  $k$   
 Output:  $x_1, x_2$

1.  $x_1$  is chosen randomly
2.  $x_2$  is chosen randomly,  
 say both with  $\approx k^2$  bits.
3. Return  $x_1, x_2$ .

Repeat this until you find collision.

$$\text{Expected Run time} = \frac{1}{\text{exit probability}} = 2^k.$$

$$\text{exit prob} = \text{prob}(h^{(k)}(x_1) = h^{(k)}(x_2), \dots)$$

$$\approx \text{prob}(k\text{-random bits} \\ = k \text{ other random bits}) \\ = 2^{-k}.$$

Better trivial solution

Input:  $k$

Output:  $x_1, x_2$  bitstrings  
collision for  $h$ .

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(2)

↑ Birthday  
attack

1. [Pick  $x_1, x_2, x_3, \dots$

2. Until  $\exists i, j : x_i \neq x_j \wedge h^k(x_i) = h^k(x_j)$

3. Return  $x_1, x_2$ .

Expected runtime:  $O(\sqrt{2^k}) = O(2^{k/2})$

Thus if SHA1, which is a hash function  
outputting 160 bits,

is as secure as possible ~~for~~  
then it would offer 80-bit security.

(Since the above trivial attack runs in  
time  $2^{80}$  executions of SHA1.)

Side remark: There are attacks on SHA1  
which claim run time  $2^{63}$ .

Thus we consider (the collision-resistance of)  
SHA1 broken.

A function  $h^{(k)} : \{0,1\}^* \rightarrow \{0,1\}^k$   
is called one-way

(esec  
28.4.10  
(2)

if it can be computed in polynomial time

and

there is no algorithm

$\{0,1\}^k$

that ~~outputs~~ given a possible hash value  $y$

outputs  $x \in \{0,1\}^*$  such that

$$h^{(k)}(x) = y$$

and is expected polynomial time wrt.  $k$

"  
length of  $y$ .

Assume that you sign messages

by (1) compute the hash value  $y = \text{hash}(m)$

(2) do some specific computation  
with  $y$  and a secret key...

Claim

If this scheme is (EF-CMA) secure  
then the hash function is collision-resistant.

Proof we have to show that  
if there is an algorithm for computing collisions  
in (expected) poly. time

Then there is an attacker to the EF-CMA-security

Attacker:

csec

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(3)

1. Call the algorithm for computing a collision:  $x_1, x_2$
2. Call the signing oracle for  $x_1$ :  $s_1$ .  
and a pk of your choice.
3. Output  $(x_2, s_1, pk)$ .

This runs in poly time and always outputs a valid, non-queried message-signature pair whenever the collision-algorithm was successful.

Thus the scheme is not secure in contradiction to the assumption.  $\square$

Claim 2

If the signature scheme is (EF-CMA) secure  
then the hash function is one-way

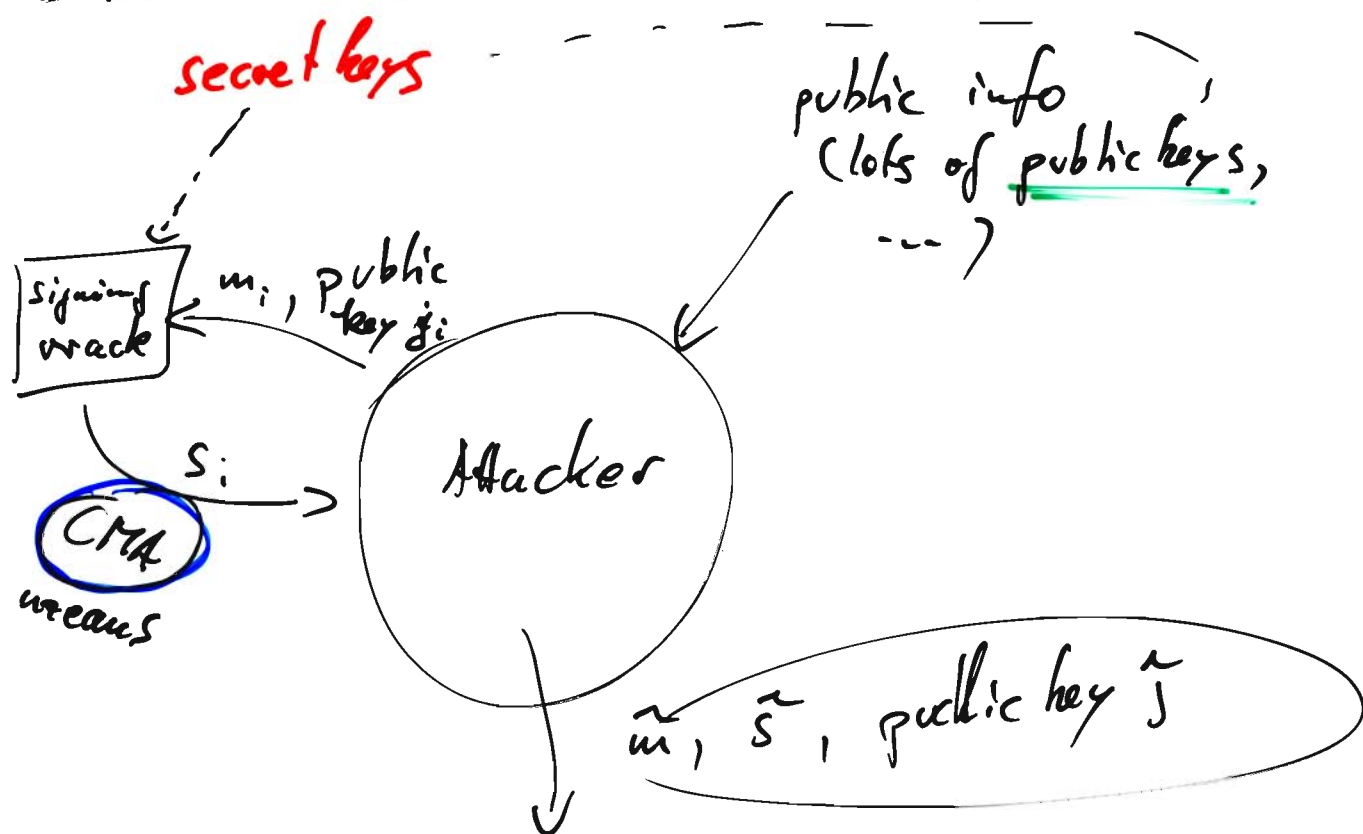
Pf: Exercise.  $\triangle$

Bottom line: The <sup>security</sup> model allows us to derive necessary conditions.



# Model for security of a signature scheme

4.8.10  
esec  
⑦



The attacker is successful if

- (1) it produces a validly signed message  $\hat{m}, \hat{s}$  for user  $\hat{j}$  that was never queried
- (2) within expected poly time (with small error) or (2') within poly time with

$$\text{succ} = \text{prob}(\textcircled{1}) > \frac{1}{n^a}$$

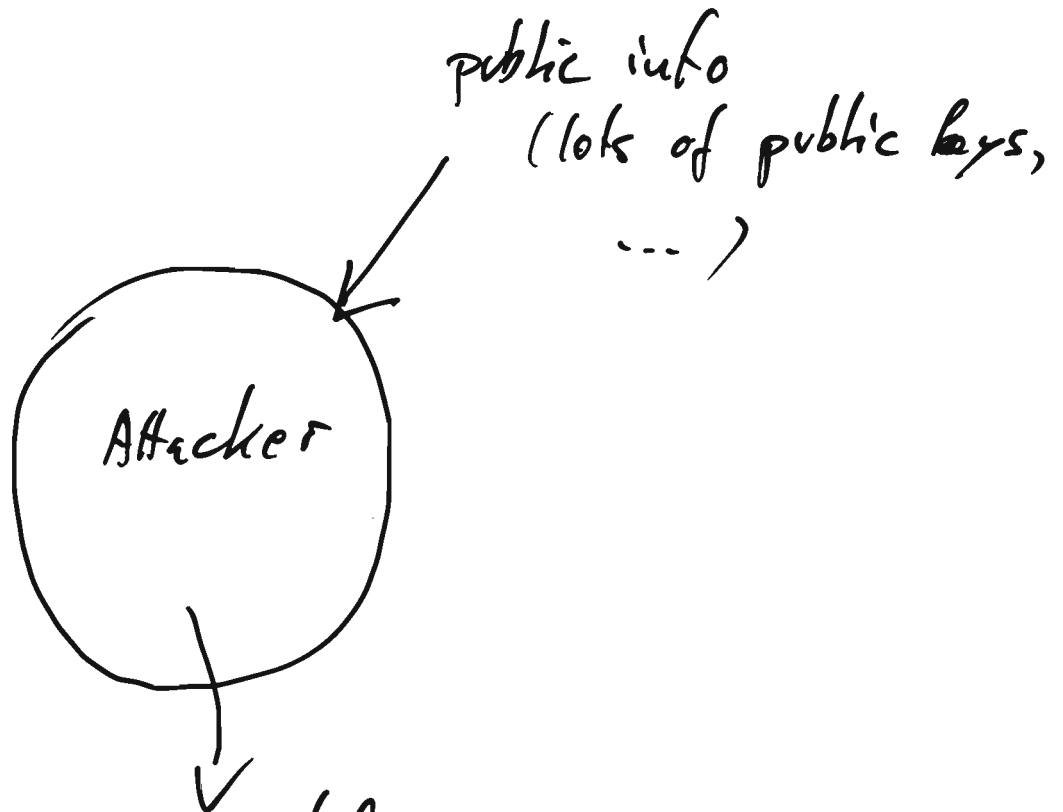
for some  $a$  and large  $n$ .

The scheme is EUFCMA secure in the standard model if there is no such attacker.

# A weaker model:

4.5.10  
esec  
(2)

NO  
Signing  
oracle  
key only  
attack  
KOA



UB

Unbreak-  
ability

secret key to one  
of the input public keys

in the RANDOM ORACLE MODEL  
(ROM)

That is: think of the hash  
function as a random function.

If you could define:  
my random fu:

Input:  $m \in \{0,1\}^*$   
Output:  $h \in \{0,1\}^{160}$

1. was  $m$  queried before?
2. If yes: answer the same  $h$ .
3. Otherwise choose  $h \in \{0,1\}^{160}$
4. and put  $(m, h)$  into some table

For example one has proved:

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(3)

## Theorem

RSA - signatures with a  
full domain hash function

is ~~EC~~ existentially unforgeable,  
under chosen-message attack

in the Random oracle model.

under certain number theory assumption.

EU

CMA  
secure

in ROM.

Want:

RSA - FDH

is EU-CMA secure

in the standard model

under suitable hypothesis.

BAD points

There exists a constructed scheme  
that is secure in ROM.

but with every specific function  
in place of the oracle it is

**INSECURE.**

# Connections Between different models?

csec  
4.5.10  
(4)

existential  
unforgeability, EUF



universal  
unforgeability, UUF



un-  
breakability, UB

○



○



⊙

strongest  
security  
notion.



○



○



○



•



○



○

KOA



NA CMA



CMA

key-  
only  
attack

non-  
adaptive  
chosen  
message  
attack

chosen  
message  
attack

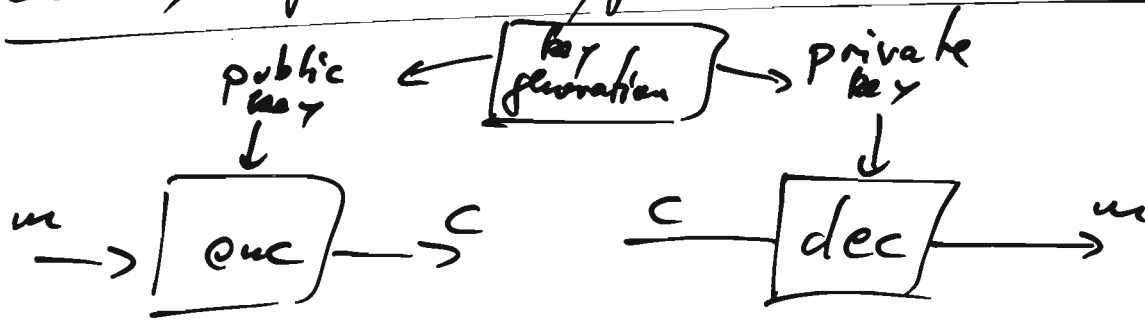
security in  
standard  
model



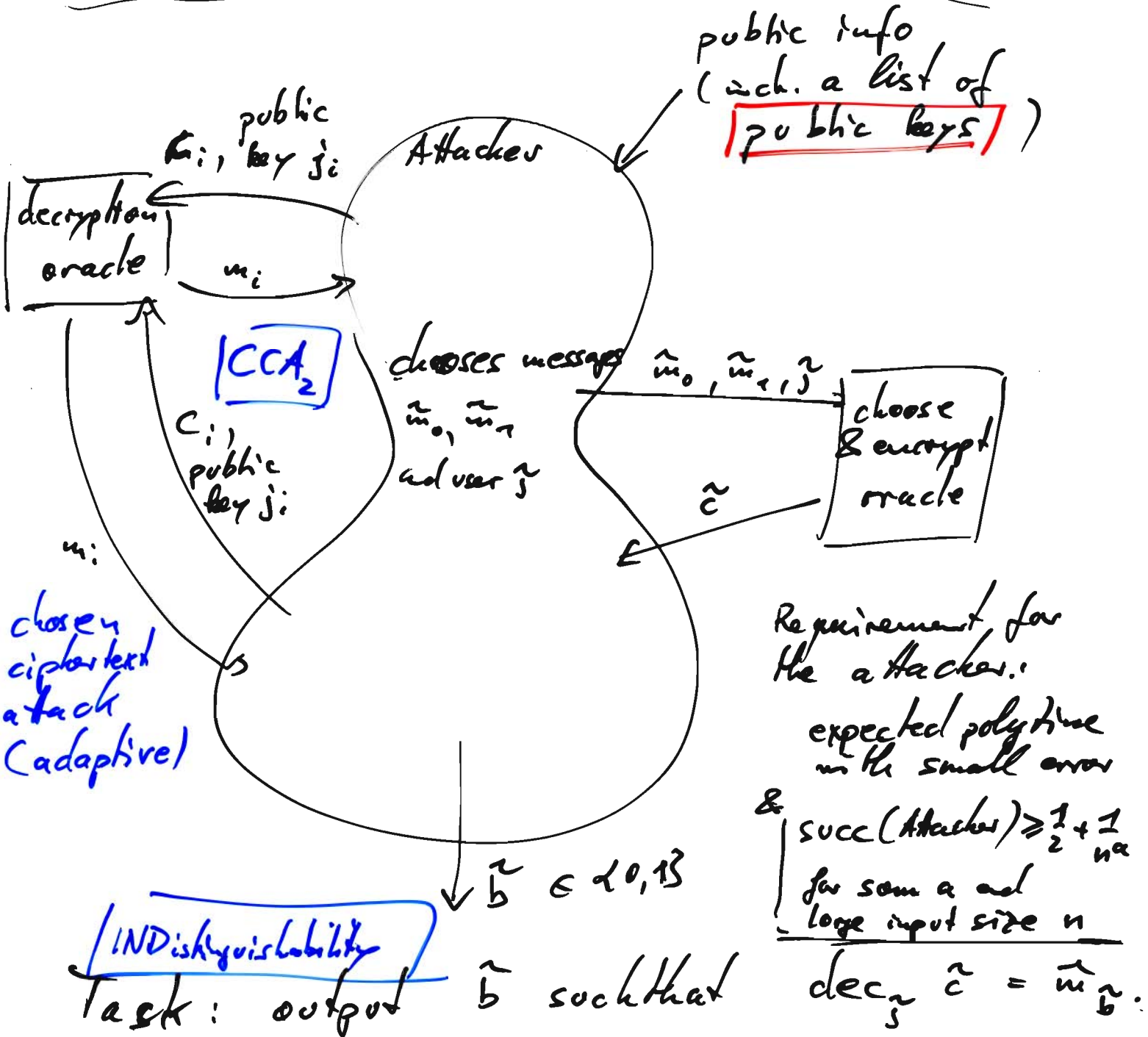
security  
in the random  
oracle  
model

# Security for encryption schemes

esec  
4.5.10  
5



g. RSA:  $\text{key gen} \rightarrow (N, e)$ ,  $\text{enc}_{(N, e)}(m) = m^e \bmod N$



Theorem RSA is insecure in this model.

Note that

IND-CCA<sub>1</sub>

Indistinguishability



NM-CCA<sub>2</sub>

Non malleability

(This models whether an attacker can intentionally manipulate the plaintext on the encrypted message.)

esec  
5.5.10

(7)

Note that any deterministic encryption schemes, i.e. where the encryption is a function of public key and message, is NOT IND-CCA<sub>2</sub> secure.

Proof Attacker does this:

1. Choose  $\tilde{m}_0, \tilde{m}_1$  arbitrary but different.
2. Send to the encryption oracle and obtain  $\tilde{c}$  as an encryption of one of the messages.

3.  $\tilde{c}_0 = \text{enc}(\tilde{m}_0), \tilde{c}_1 = \text{enc}(\tilde{m}_1)$

If  $\tilde{c}_0 = \tilde{c}$  then  $\hat{b} := 0,$

If  $\tilde{c}_1 = \tilde{c}$  then  $\hat{b} := 1.$

4. Return  $\hat{b}.$

Always successful!

Note

Even the El Gamal encryption  
(in schoolbook variant)

is not IND-CCA<sub>e</sub>-secure,  
even though it is randomized.

esec  
S.S.10  
②

Introduction El Gamal encryption

key generation:

Fix a group  $(G, +)$  with  
a generator  $P \in G$  of order  $\ell$ .

Pick  $\alpha \in_{\mathcal{R}} \mathbb{Z}_{\ell}$  (unpredictably).

Compute  $A := \alpha P$  in the group  $G$ .

Output: private key  $\alpha$ ,  
public key  $A$ .

encrypt:

Input: public key  $A$ , message  $M$

Output: ciphertext  $(Q, C)$

1. Pick  $\tau \in_{\mathcal{R}} \mathbb{Z}_{\ell}$  (unpredictably).

2. Compute  $Q := \tau P$ ,

$C := M + \tau A$ .

3. Return  $(Q, C)$

decrypt: Input: ciphertext  $(Q, C)$ , private key  $\alpha$

Output: message  $M$ .

1. Return  $C - \alpha Q$ .

Note that  $\text{dec}(Q + \alpha P, C + \alpha A) = \text{dec}(Q, C)$ .

This breaks INDistinguishability under CCA<sub>e</sub>/2.



But if you change the combination  
of  $17$  and  $\tau$   $A$  into  $C$   
then there is hope to get a  
secure scheme.

esec  
ss.10  
(3)

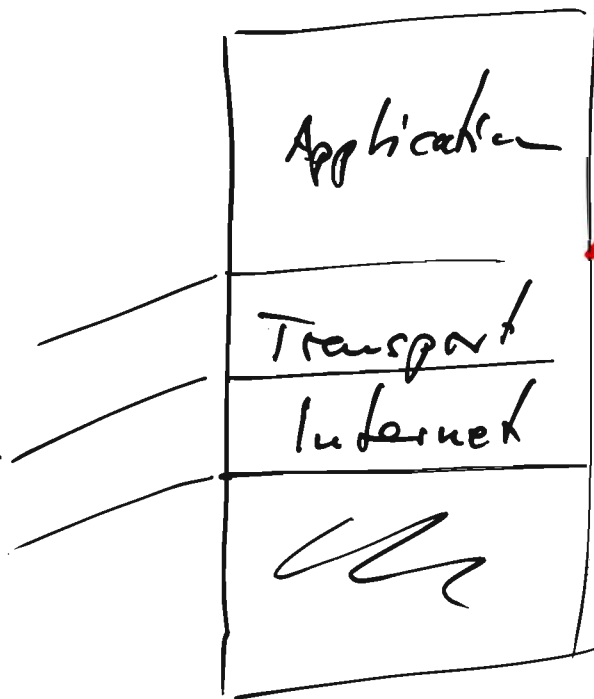
# Real world protocols

esec  
S.S. 10  
④

## OSI

7	Application
6	Presentation
5	Session
4	Transport
3	Network
2	Data link
1	Physical

## TCP/IP



SSH/SCP/SCP  
...

SSL/TLS

TCP, UDP, ICMP

IPsec

# Real world protocols

esec  
S.S. 10

④

## OSI

7	Application
6	Presentation
5	Session
4	Transport
3	Network
2	Data link
1	Physical

## TCP/IP



← SSH/SCP/SCP  
...

← SSL/TLS

TCP, UDP, ICMP

← IPsec  
IP

# IPSEC & IKE

MICHAEL NÜSKEN

25 June 2007

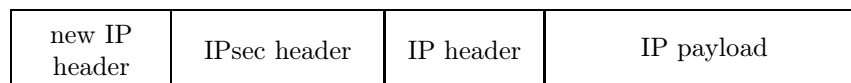
Before all: we are talking about a collection of protocols. Each partner of the exchange has to keep some information on the connection. This is in our context called the security association (SA). It contains specification about the algorithms that should be used for encryption and authentication, it contains keys for these, it may contain traffic selectors (filtering rules), and more. Each SA manages a simplex connection for one type of service. In each direction there will be an SA for the key exchange (IKE\_SA) and one for the encapsulating security payload or for the authentication header. So each partner has to maintain at least four SAs. Such an SA is selected by an identifier, the so-called security parameter index (SPI). It is chosen randomly but so that it is unique.

## 1. IPsec

The secure internet protocol modifies the internet protocol slightly. We have the choice between transport and tunnel mode. In tunnel mode, an IP packet



is wrapped in with a new IP header and an IPsec header to

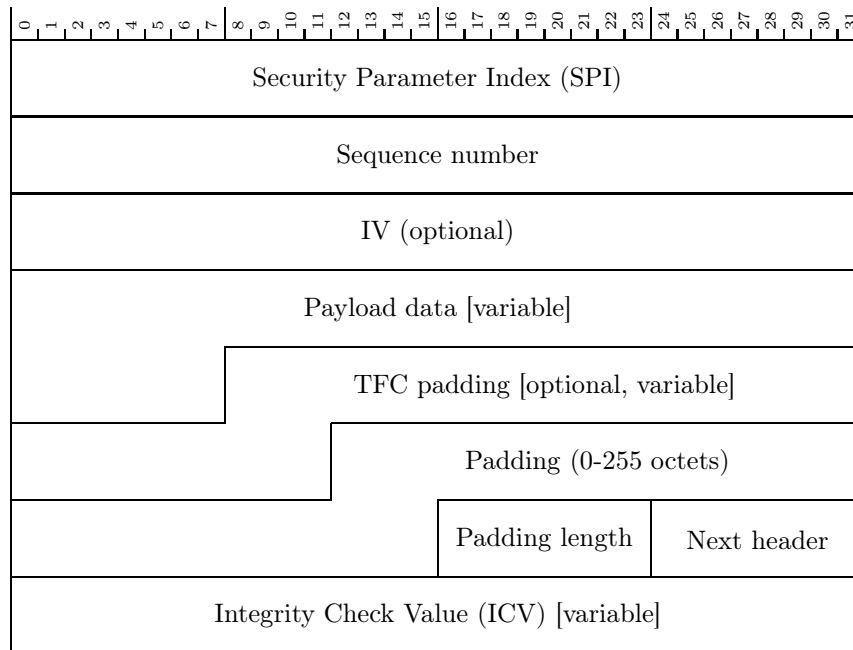


In transport mode, only the IPsec header is added:



There are two types of IPsec headers: the encapsulating security payload (ESP) and the authentication header (AH).

**1.1. IPsec encapsulating security payload.** The ESP specifies that and how its payload is encrypted and (optionally) authenticated. Actually, this ‘header’ is split into a part before and one after the data:

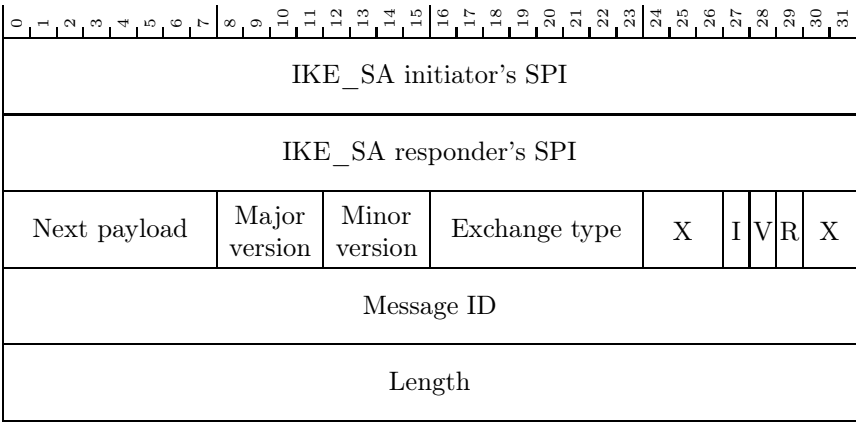


The security parameter index identifies the SA and thus all necessary algorithms and key material. To create the secured packet from the original one, it is first padded. Padding is used to enlarge the data length to a multiple of a block size that might be associated with the encryption. Traffic flow confidentiality (TFC) padding can be used to disguise the real size of the packet. Then the data is encrypted; in tunnel mode including the old IP header. To be precise, all the information from Payload data to Next header is encrypted. Next, a message authentication code is calculated for this encrypted text and security parameter index, sequence number, initialization vector (IV) and possibly further padding; actually the message authentication code covers the entire packet but the header and the integrity check value plus the extended sequence number and integrity check padding if any.

**1.2. IPsec authentication header.** The AH authenticates its payload and also parts of the IP header. (Yes, this does violate the hierarchy.)

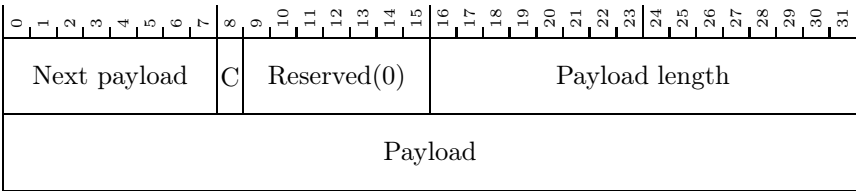
## 2. Internet key exchange (version 2)

Any message in the internet key exchange starts with a header of the form



Clearly, the version is 2.0 with the present drafts (major version: 2, minor version: 0). The flags X are reserved, the I(nitiator) bit is set whenever the message comes from the initiator of the SA, the V(ersion) bit is set if the transmitter can support a higher major version, the R(esponse) bit is set if this message is a response to a message with this Message ID. The header is usually followed by some payloads like

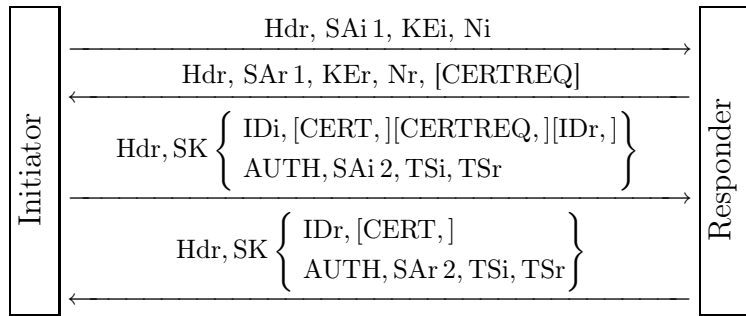
Exchange type	Value
Reserved	0-33
IKE_SA_INIT	34
IKE_AUTH	35
CREATE_CHILD_SA	36
INFORMATIONAL	37
Reserved to IANA	38-239
Reserved for private use	240-255



The C(ritical) bit indicates that the payload is critical. In case the recipient does not support a critical payload it must reject the entire message. A non-critical payload can be simply skipped. All the payloads defined in RFC4306 are to be handled as critical ones whatever the C bit says.

Next payload	Notation	Value
None		0
RESERVED		1-32
Security Association	SA	33
Key Exchange	KE	34
Identification - Initiator	IDi	35
Identification - Responder	IDr	36
Certificate	CERT	37
Certificate Request	CERTREQ	38
Authentication	AUTH	39
Nonce	Ni, Nr	40
Notify	N	41
Delete	D	42
Vendor ID	V	43
Traffic Selector - Initiator	TSi	44
Traffic Selector - Responder	TSr	45
Encrypted	E	46
Configuration	CP	47
Extensible Authentication	EAP	48
Reserved to IANA		49-127
Private use		128-255

## 2.1. Initial exchange.



### PROTOCOL 2.1. IKE\_SA\_INIT.

1. Prepare SAi1, the four lists of supported cryptographic algorithms for Diffie-Hellman key exchange (groups), for the pseudo random function used to derive keys, for encryption, and for authentication. Guess the group for Diffie-Hellman and compute  $KEi = g^a$ .

Choose a nonce Ni.

2. Choose SAr1 from SAi1 unless no variant is supported.

Hdr, SAi 1, KEi, Ni →

Compute  $K_{Er} = g^b$  if the group was guessed correctly. (Otherwise send:

Hdr, N(INVALID\_KE\_PAYLOAD, group)

.)

Choose a nonce Nr.

Hdr, SA<sub>r</sub> 1, K<sub>Er</sub>, Nr,

[CERTREQ]

3. Both parties now derive the session keys. We assume that *prf* is the selected pseudo random function which gets a key and a bit string as input.

$SKEYSEED = \text{prf}(N_i | N_r, g^{ab}),$

$SK\_d | SK\_ai | SK\_ar | SK\_ei | SK\_er | SK\_pi | SK\_pr$   
 $= \text{prf}+(SKEYSEED, N_i | N_r | SPI_i | SPI_r)$

where  $\text{prf}+(K, S) = T_1 | T_2 | T_3 | \dots$ , and  $T_1 = \text{prf}(K, S | 0x01)$ ,  $T_i = \text{prf}(K, T_{i-1} | S | i)$  for  $i > 1$ . SK\_d is used for the derivation of keys in a child SA. SK\_ai and SK\_ei are used for authenticating and encrypting messages sent by the initiator, SK\_ar and SK\_er for messages sent by the responder.

4. The initiator send its identity ID<sub>i</sub>, optionally one or more certificates CERT, a certificate request CERTREQ (possibly including a list of trusted CAs), and optionally the responders identity ID<sub>r</sub> (it may be that the responder serves multiple identities 'behind' it).

Further she computes an authentication AUTH (using the key from the first CERT payload) for the entire first message concatenated with the responder's nonce Nr and the value  $\text{prf}(SK\_pi, ID_i)$ . The authentication method can be RSA digital signature (1), shared key message integrity code (2), or DSS digital signature (3).

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Next payload									C	Reserved(0)									Payload length												
Auth method									Reserved																						
Authentication data																															

The initiator starts to negotiate a child SA in SA<sub>i</sub> 2 with proposed traffic selectors TS<sub>i</sub>, TS<sub>r</sub>.

Hdr, SK  $\left\{ \begin{array}{l} ID_i, [CERT, ] \\ [CERTREQ, ] \\ [ID_r, ] \\ AUTH, SA_i 2, \\ TS_i, TS_r \end{array} \right\}$



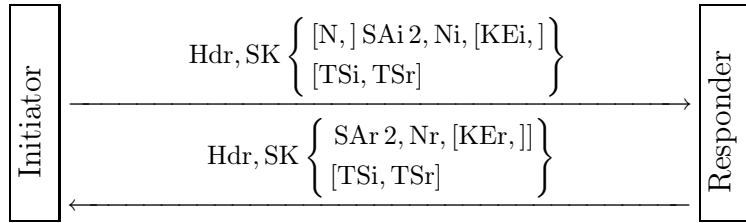
5. The responder sends its identity  $ID_r$ , certificate(s).  
 He computes an authentication  $AUTH$  for the entire second message concatenated with the initiator's nonce  $N_i$  and the value  $\text{prf}(SK_{pr}, ID_r)$ .  
 Further he supplies the answer  $SA_r 2$  to the child SA creation and sends the accepted traffic selectors  $TS_i, TS_r$ .

$$\xleftarrow{\text{Hdr, SK} \left\{ \begin{array}{l} ID_r, [CERT, ] \\ AUTH, SA_r 2, \\ TS_i, TS_r \end{array} \right\}}$$

If this initial exchange is completed successfully the  $IKE\_SA$  and a  $CHILD\_SA$  are ready for use. Keying material for the childs is generated similar to the  $IKE\_SA$  keys:

$$KEYMAT = \text{prf}+(SK\_d, N_i | N_r)$$

**2.2. Creating additional child SAs.** Further childs can be created under this  $IKE\_SA$  using a  $CREATE\_CHILD\_SA$  exchange:



In case a  $CHILD\_SA$  shall be rekeyed the notification payload  $N$  of type  $REKEY\_SA$  specifies which SA is rekeyed. This can be used to established additional SAs as well as to rekey ages ones. Create new ones and afterwards delete the old ones. Also the  $IKE\_SA$  can be rekeyed similarly.

In a  $CREATE\_CHILD\_SA$  exchange including an optional Diffie-Hellman exchange new keying material uses also the new Diffie-Hellman key  $g^{ir}$ , it is concatenated left to the nonces. (Though the Diffie-Hellman key exchange is optional, it is recommended to either used it or at least to limit the number of uses of the original key.)

**2.3. Denial of Service.** If the server has a lot of half open connections (ie. the first message arrived, the second was sent but the third message is pending) it may choose to send a cookie first. (In order to defeat a denial of service attack.) It is suggested to use a stateless cookie consisting of a version identifier and a hash value of the initiator's nonce  $N_i$ , her IP  $IP_i$ , her security parameter index  $SPI_i$  and some secret:

$$\text{Cookie} = \text{verID} | \text{hash}(N_i, IP_i, SPI_i, \text{secret}_{\text{verID}})$$



can be encoded in various widely used formats. Note that it can also carry revocation lists.

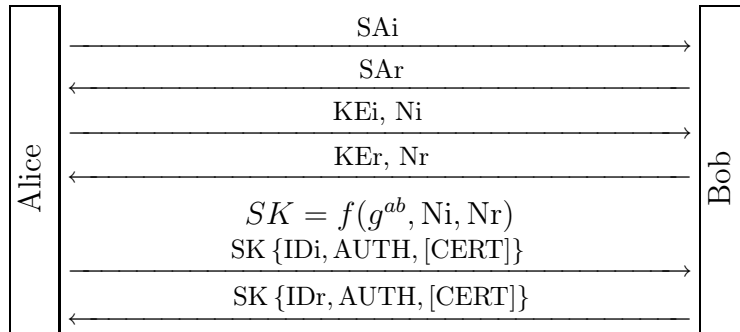
### 3. IKE version 1

The version 1 of the internet key exchange distinguishes between a main mode and an aggressive mode. Further it allows four variants in each mode depending on the desired type of authentication. Authentication can be based on

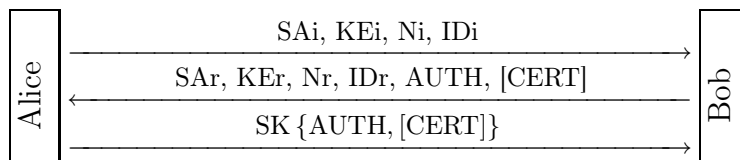
- public signature keys,
- public encryption keys, original protocol,
- public encryption keys, revised protocol, or
- a pre-shared secret.

We only give the bare protocol summaries here, using notation similar to the one used for version 1. (They are not based on RFC240x but on the book ?.)

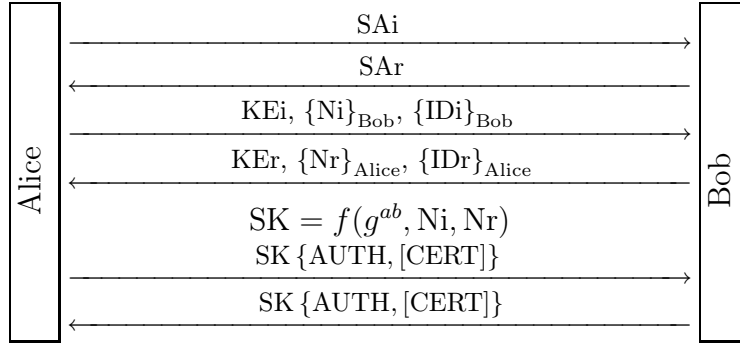
#### 3.1. Main mode, public signature keys.



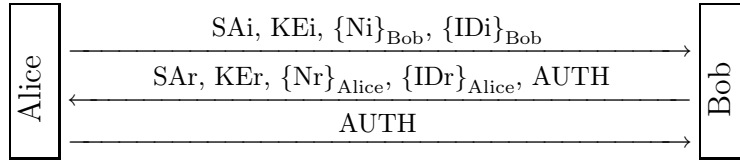
#### 3.2. Aggressive mode, public signature keys.



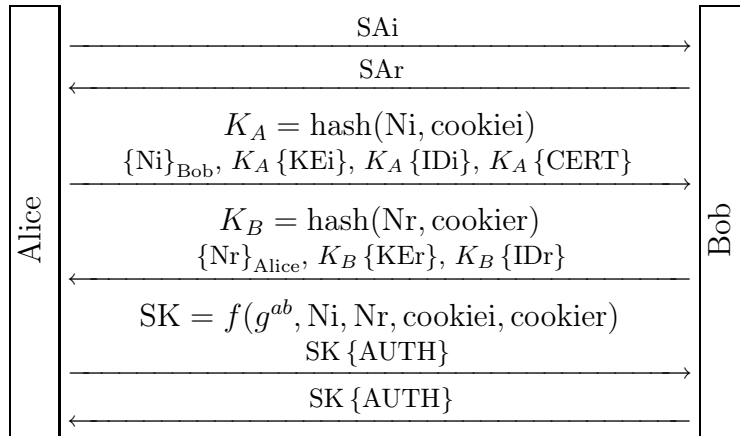
### 3.3. Main mode, public encryption keys, original protocol.

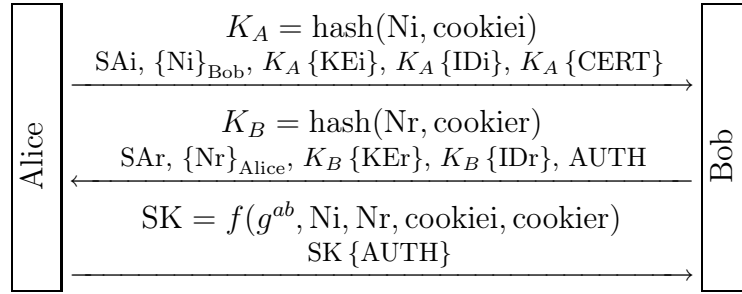
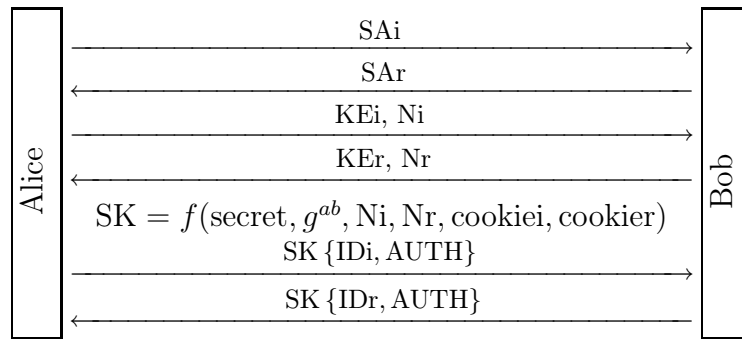
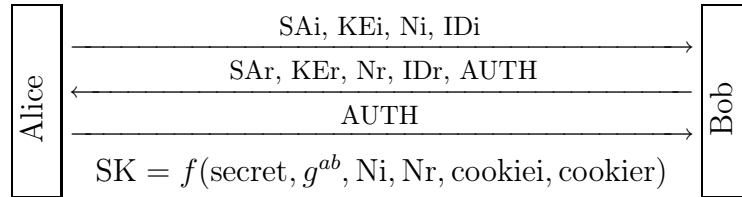


### 3.4. Aggressive mode, public encryption keys, original protocol.



### 3.5. Main mode, public encryption keys, revised protocol.



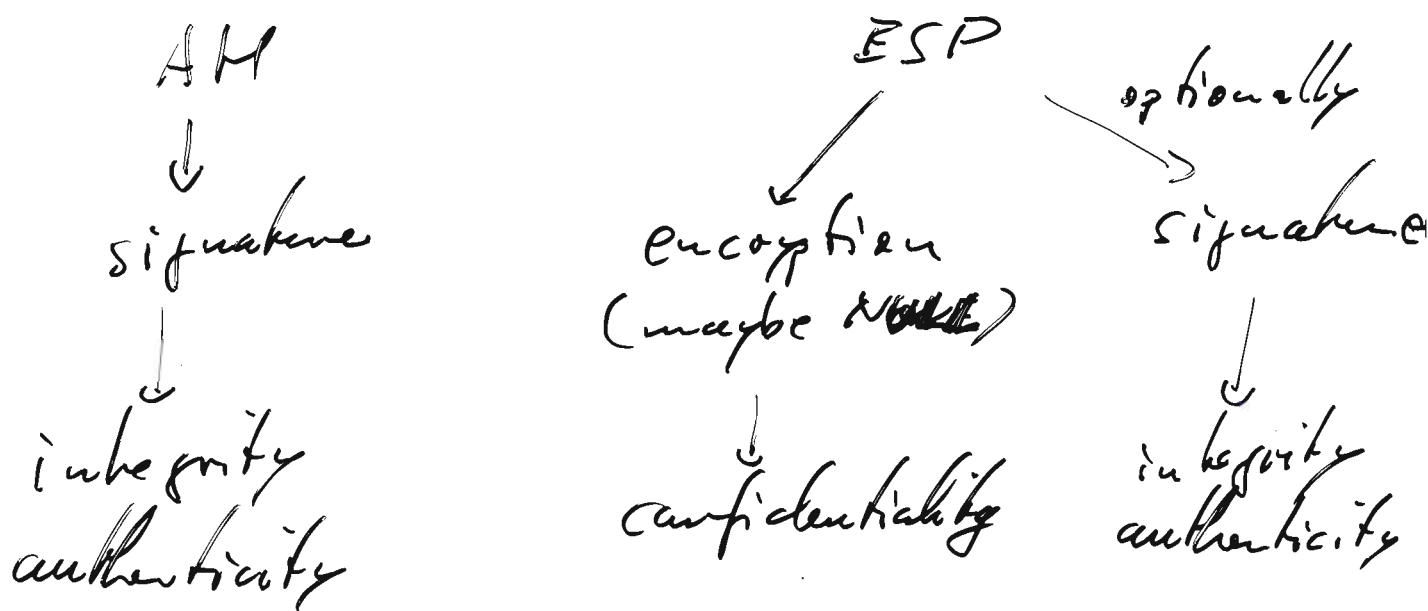
**3.6. Aggressive mode, public encryption keys, original protocol.****3.7. Main mode, pre-shared secret.****3.8. Aggressive mode, pre-shared secret.**

# IPsec

esec  
12.5.10  
①

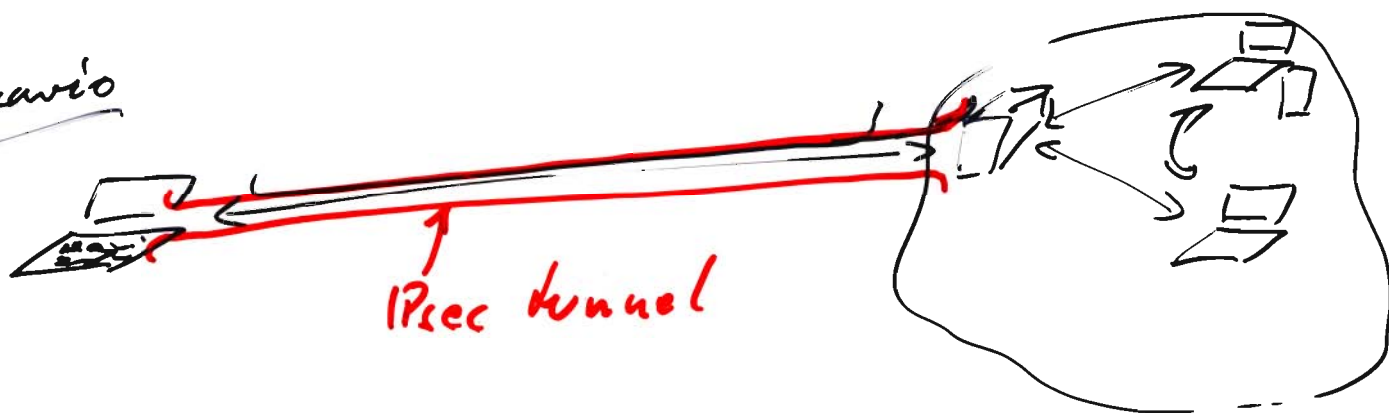
AH - authentication header

ESP - encapsulating security payload



Remark  
AH authenticates ~~signs~~ some fields of the IP header!  
Pro: we would to authenticate that info.  
Con: it mixes the hierarchy.

Scenario



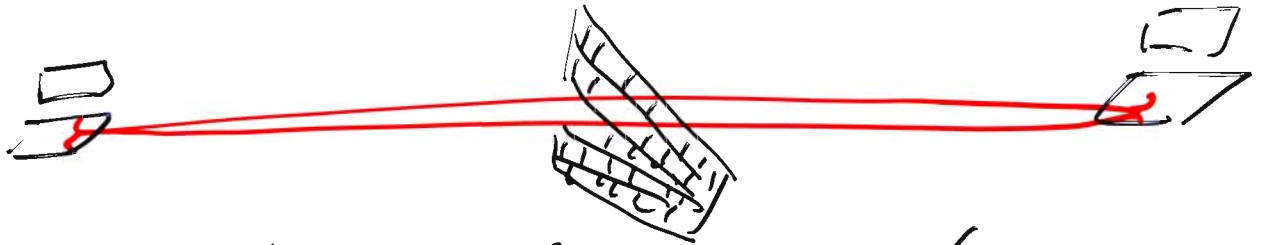
## Problematic issue

15 sec  
12.5.10  
(2)

## NAT network address translation

(This is basically a workaround to increase the number of addressable devices via IP, which has 'only' 32 bit addresses. This is solved by IPv6 which has 128 bit addresses and somehow it also has IPsec 'quasi' built-in.)

## Fire walls



Encryption hides information about higher level protocol data, like TCP ports.

so the firewall filters packets according to that info.

# History of IKE

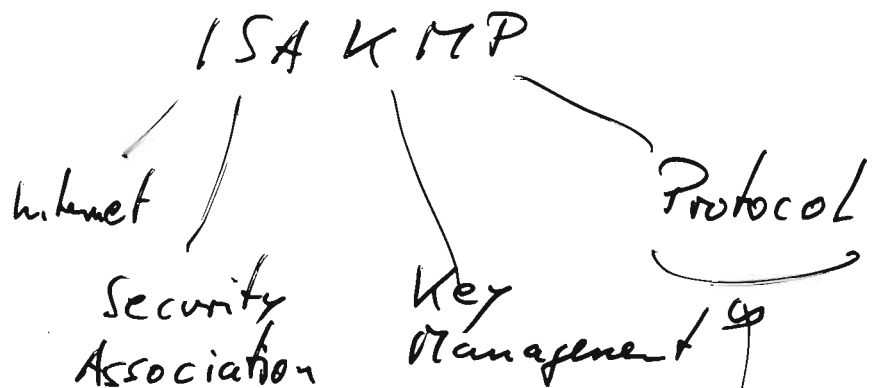
esec  
12.5.10  
(3)

PHOTURIS



SKIP

NSA proposed



Not true,  
only framework.

- only framework
- ruled out both candidates

→ IETF could take up development

→ OAKLEY, SCHNETZLE ... (new drafts)

IKEv1 puts ↓, ↓ into ISAKMP.

- Pros: Something working was there.
- Cons:
- Development took much too long ... ⇒ IPv6 delayed.
  - No clear design
  - Too many variants.
  - Documentation was awful: ≥ 150 pages & difficult to read. ≥ 3 RFC



IKEv2 learned many lessons  
from that:

esec  
12.5.10  
(4)

- clear, simple rules.
- any request gets a response.
- initial exchange: 1 option  
(rather than 8),
- create child SA: 4 messages  
2 messages
- all functionalities of IKEv1 is  
still there.

→ easier analysis

# Security questions

csec

12.5.10

(5)

⑥ Secure? → defer this.

① Session key agreement

- How long? Random? Unpredictable?

Too small! The unpredictability must leave more cases to the attacker than he can try out.

(Linux bug in crypto library caused the pseudo random generator to be initialized with out of  $3 \cdot 2^{15}$  cases.)

- Do both parties contribute to it?

- Man in the middle? Psec: No!  
Secure!

② Perfect forward security

- (Zeagle boys) Can an attacker decrypt given the long-term secrets? after termination of the connection?

Escrow forage

- ... during the connection?

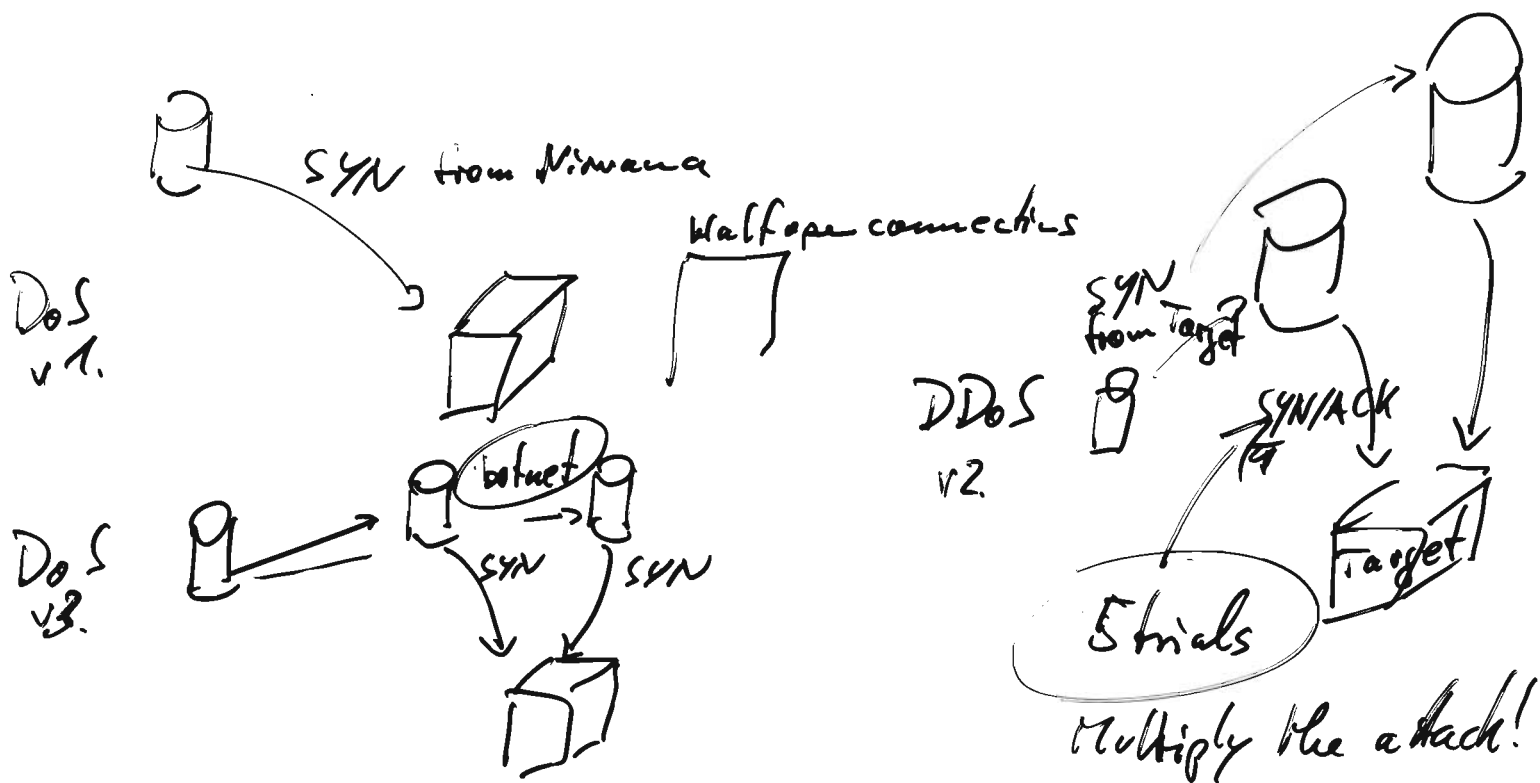
News: no class tomorrow,  
no classes next week.

esec  
18.5.10  
⑦

→ Time for a project!  
See the exercise sheet.

## Security questions

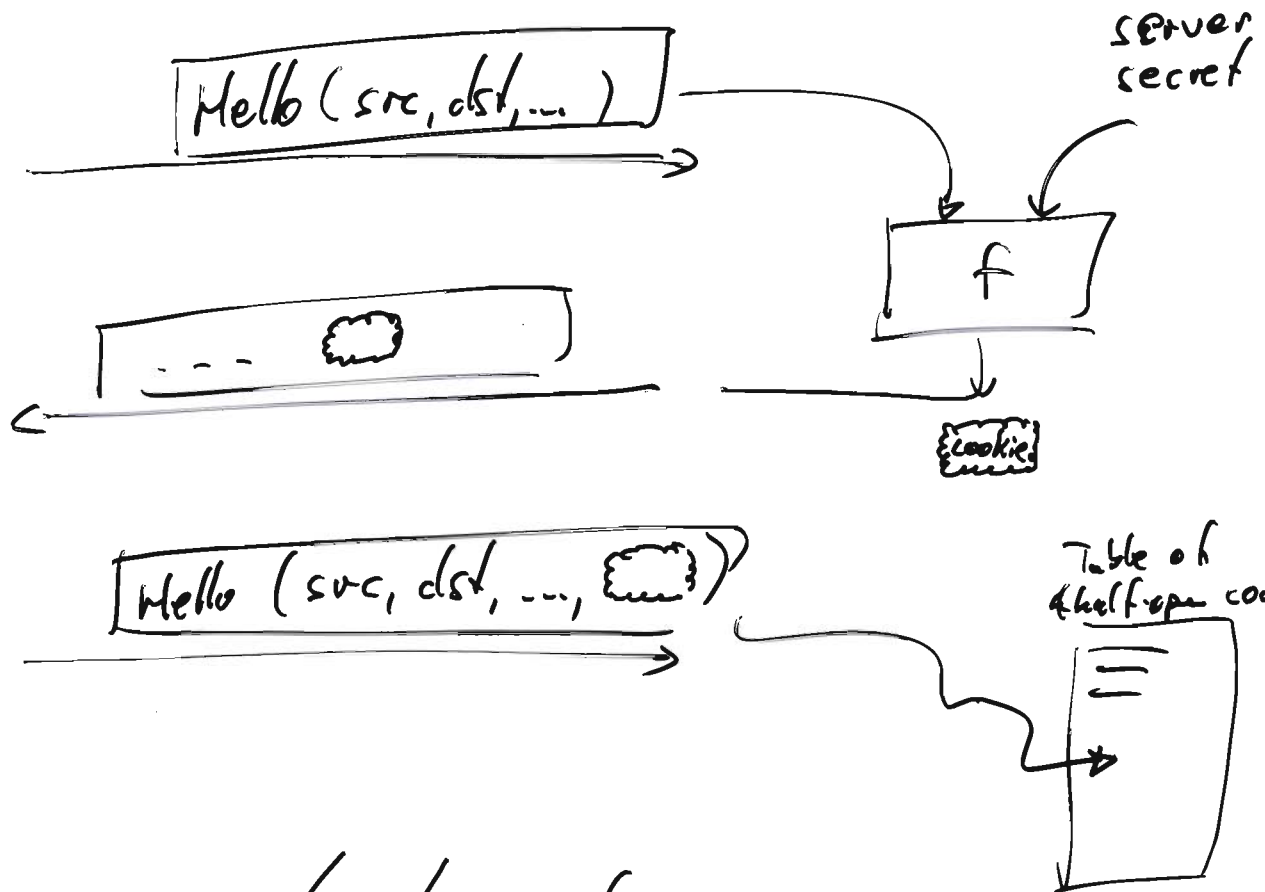
- ② Secure?
- ① Session key agreement.
- ② Perfect forward security  
Escrow foilage
- ③ Denial of Service



## 2 solutions

esec  
18.5.10  
(2)

- Increase table sizes.
- Use stateless cookies

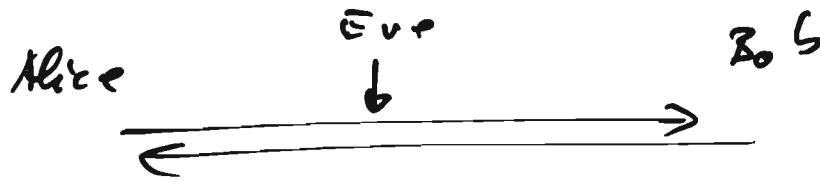


This construct makes  
the ~~servers~~ (responders) ~~&~~  
much more resilient  
DoS attacks.

The cookie must be unpredictable!

#### (4) Endpoint identifier hiding

- Does an eavesdropper get information about the identities?



Unless encryption is broken Eve won't be able to see anything.

- Can an active attacker collect identity info?

In IPsec we can protect against revealing the server identity Dr.

Note: It is impossible to protect both identities.

It's a design decision who is protected.

#### (5) Live partner reassurance

Replay attack possible?

→ Protection is done using Nonces (number to be used once)  
Use the Nonces to determine the key material.  
Then a replay will be not be possible because there is different session key material.

## ⑥ Plausible deniability

csec  
18.5.10  
④

Does the protocol log  
prove that

- Alice talked? → No in IPsec
- Bob talked? → No in IPsec
- Alice or Bob talked? → Yes!
- Alice talked to Bob? } No.
- Bob talked to Alice? }
- One of the last two? Yes.

## ⑦ Stream protection

• How is the logical data stream  
protected?

- confidentiality?

IPsec: Yes (optional!)

- authenticity?

IPsec: only partially!

- integrity?

IPsec: yes.

## ⑧ Negotiating parameters

Pros:

flexibility  
vs. attacks unknown  
at publication time...

esec  
18.5.10  
(5)

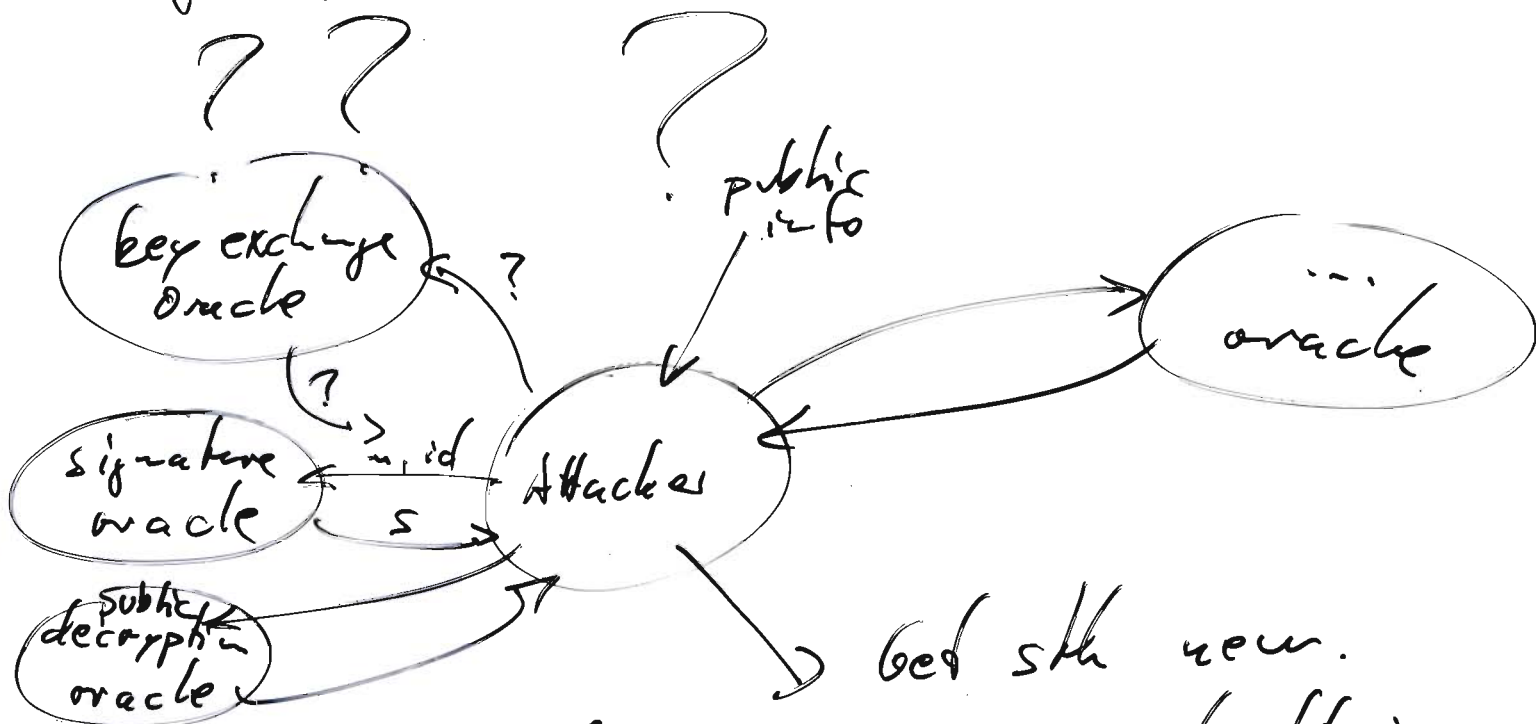
Cons:

- System admins might not know what they do.
- Attackers might use the negotiation to "downgrade".

↳ Solved by the V-bit in IPsec  
"upgrade" - bit.

1.6.10  
(1)

Def of Secure Protocol

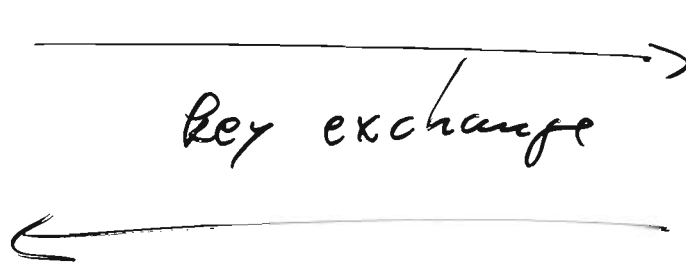


Get sth new.  
I have never seen such def. in use.

# A secure connection (without a definition of security, at present)

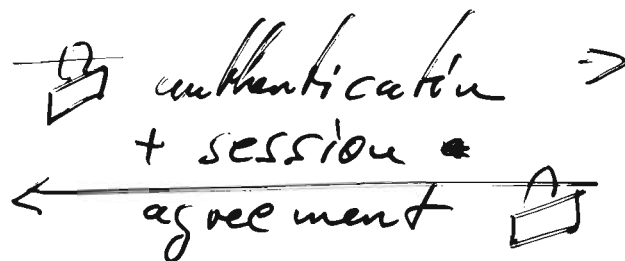
esec  
1.6.10

②

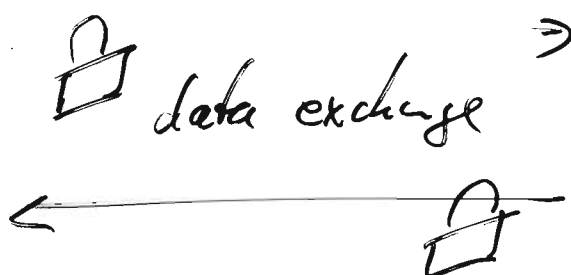


- Diffie-Hellman  
need:
- "secure" group
  - good (pseudo) random generator

↑ Debian  
buggy implementation



- need:
- public-key signatures
  - PKI (public key infrastructure)
  - social problems



- need FAST things!
- fast encryption  
( $\Rightarrow$  symmetric)
  - fast authentication  
+ integrity protection  
( $\Rightarrow$  symmetric)



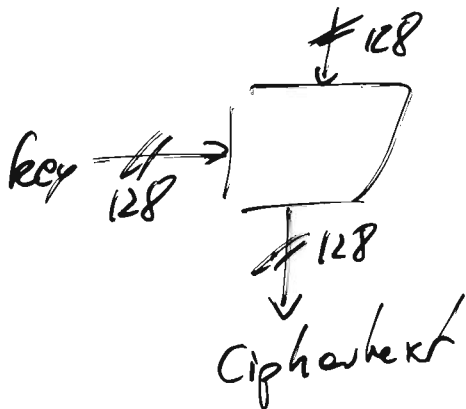
SSL & Discussion

esec  
8.6.10  
②

SSL & Discussion

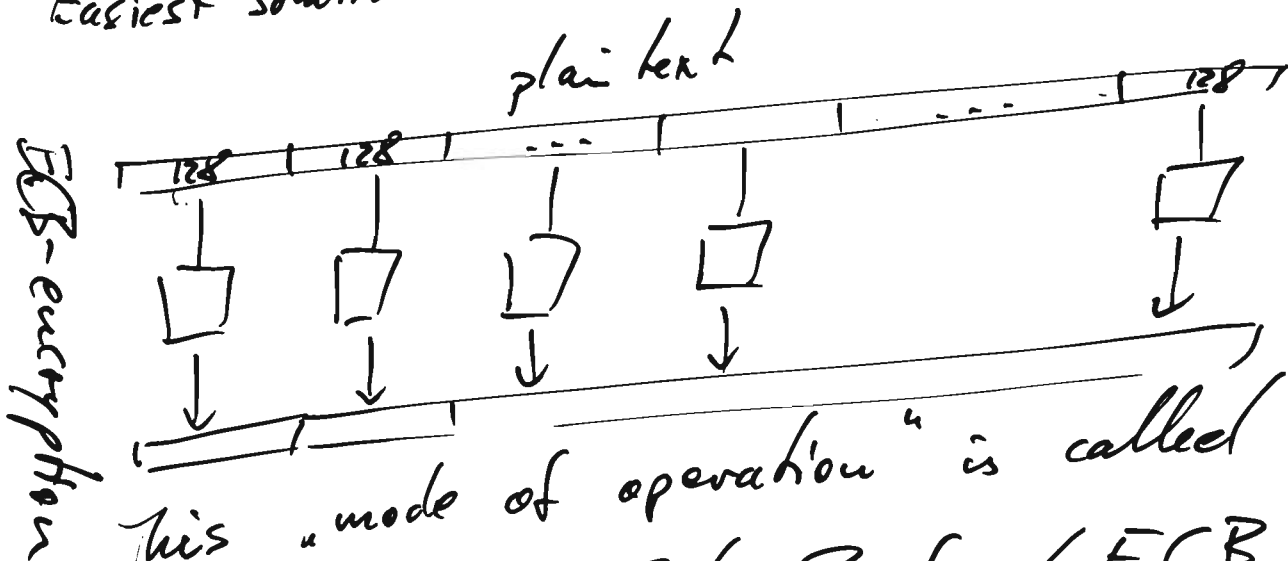
Fast symmetric encryption

We have several block ciphers, e.g. AES:



How to encrypt longer data?

Easiest solution: use it blockwise.



This "mode of operation" is called

Electronic Code Book (ECB).

Problem: Large patterns remain visible.

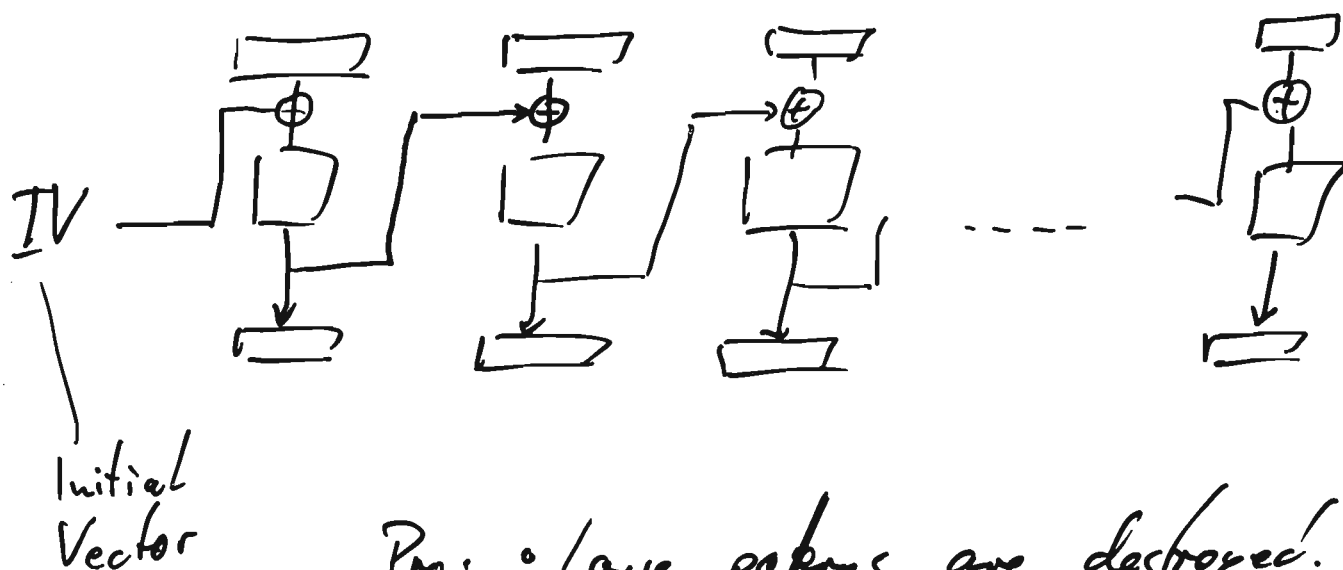
Next best solutions:

CBC - mode

chaining  
block  
cipher

esec  
8.6.10

(2)



Pro: • Large packets are destroyed.

• One plaintext has various different cipher text (acc. to the IV).

Con: • if one block is bad more than one blocks are affected.

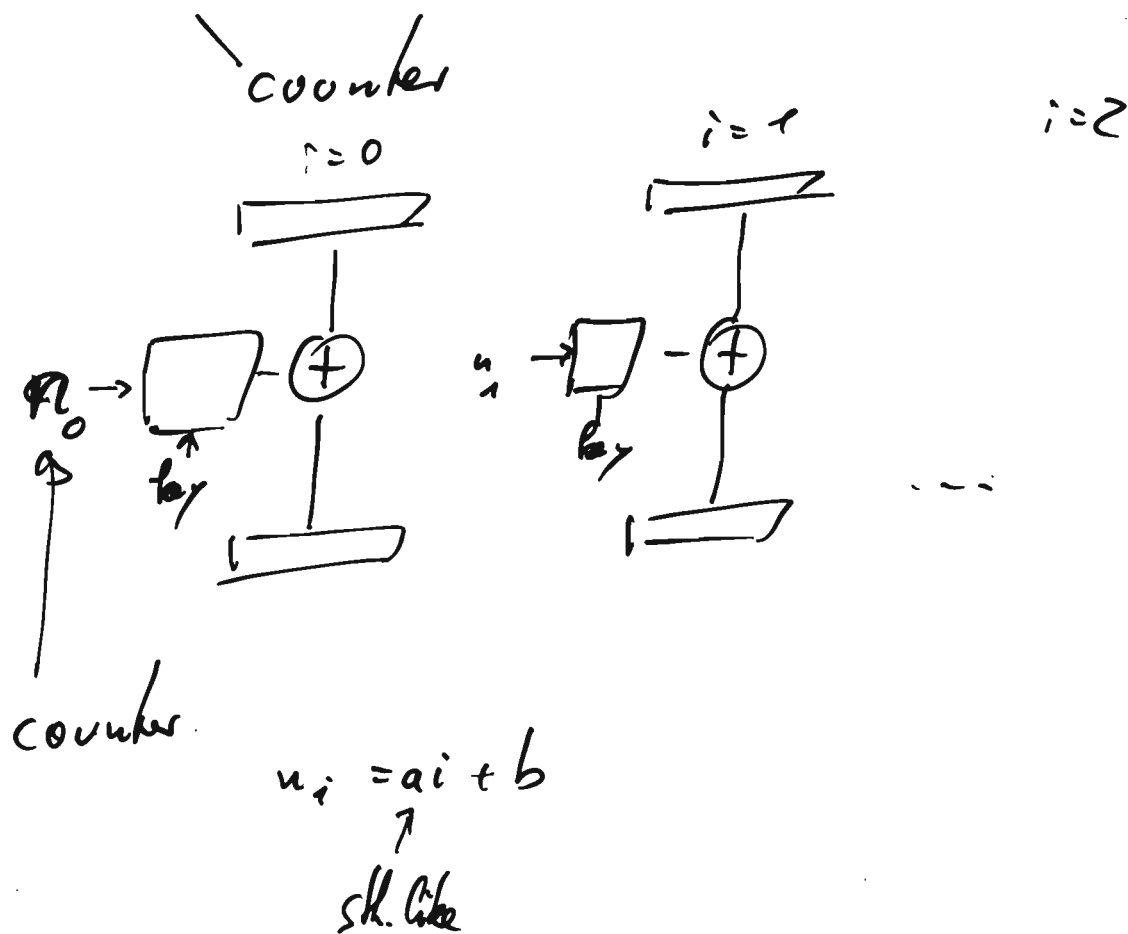
Pro: • but only two blocks are bad if one block is damaged  
→ self-synchronizing.

Con: • Problem with asynchronous transfers.

Another solution:  
CTR - mode

es ec  
8.6.10

(3)



Pro & Cons : Homework.

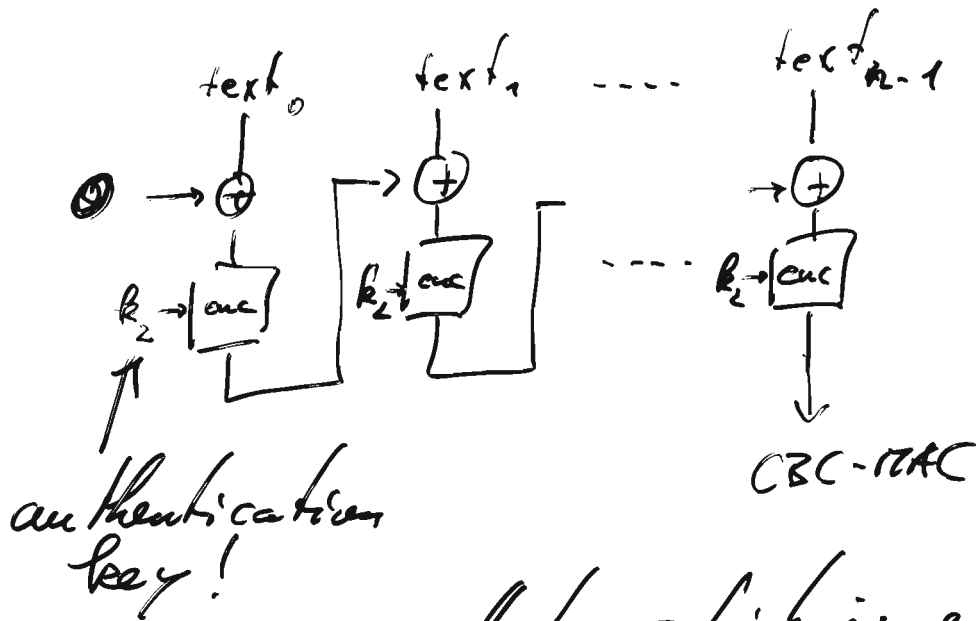
# Authentication?

csec  
15.6.10

①

## Solution 1

### CBC-MAC



Note: . an attacker, which is a third party, can neither generate nor check the CBC-MAC value because it depends on a key.

- . if any plaintext block is changed then usually the entire CBC-MAC changes.

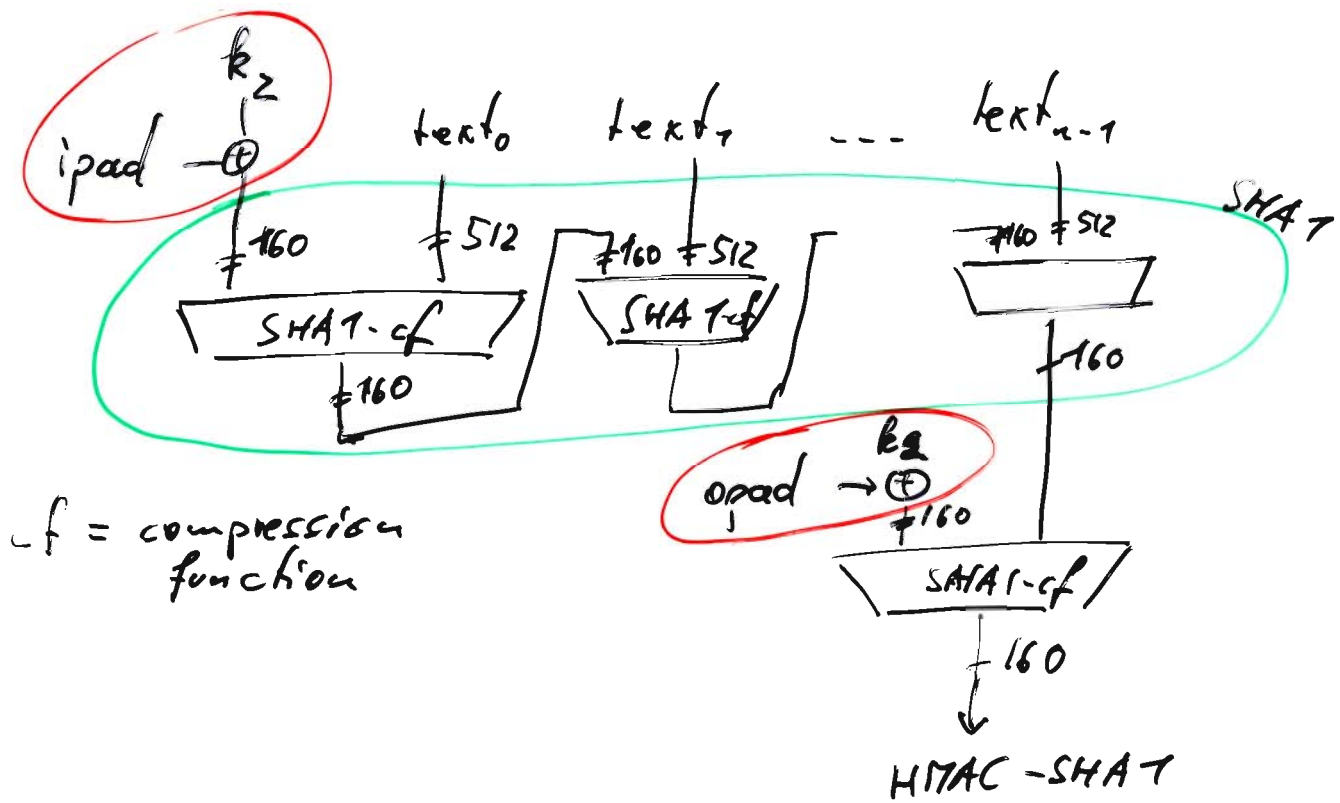
Need a kind of collision resistance.

(Block ciphers do not offer this from their basic definition.)

## Solution 2

esec  
15.6.10  
(2)

### HMAC - SHA1



Fact One can (almost) prove that this construction is secure if the used hash function is good.

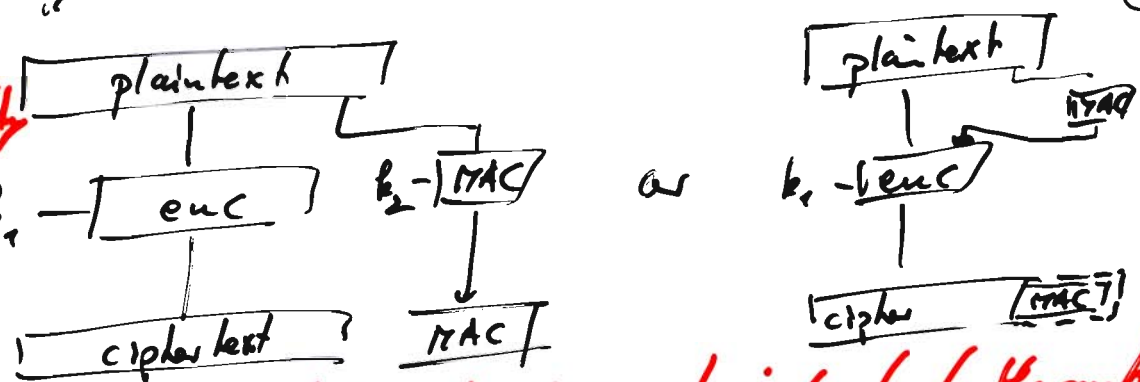
### HORTON'S principle

A signature or authentication value must depend on the meaning of the plain text.

→ order of encryption and authentication

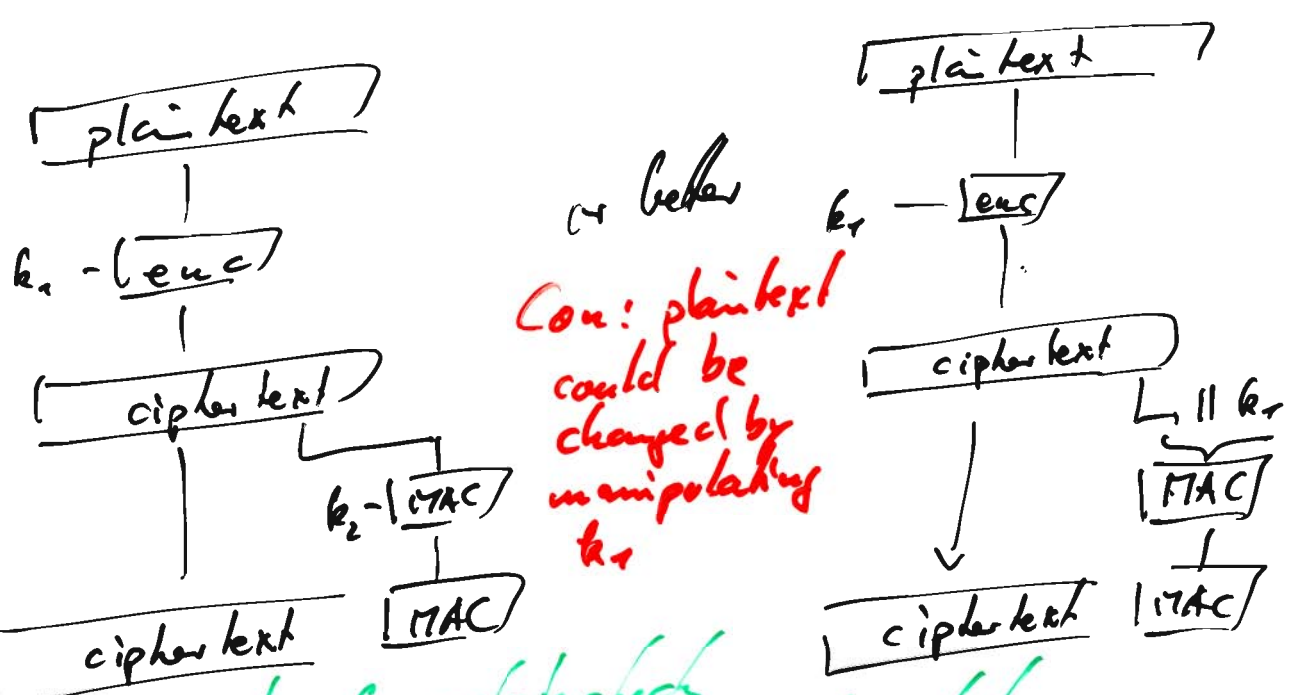
# ~~AtE~~ AtE "Authenticate then encrypt"

Con: security may be violated even if both enc and MAC are good.



Con: recipient has to decrypt and check the auth. before noting a bad packet.

# EtA "Encrypt then authenticate"



Con: plaintext could be changed by manipulating k1

Pro: recipient only needs to check authentication to identify bad packet.

Is sec? EtA as on the left

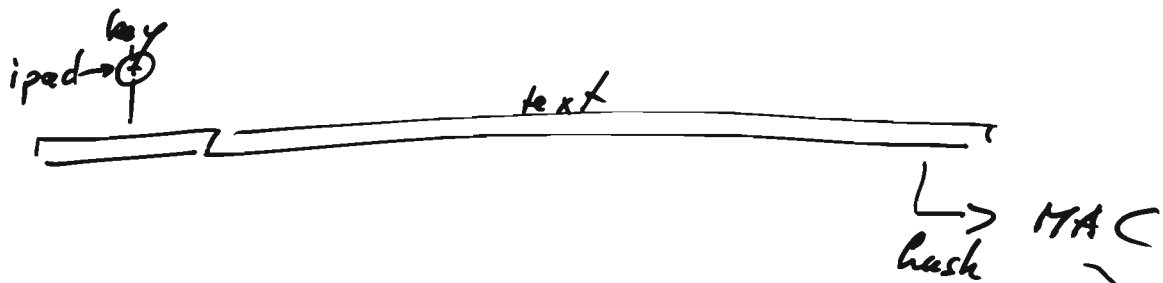
but  $k_1$ ,  $k_2$  and  $k_2$  are both produced from the same seed and thus somehow related.

MAC key  
↓

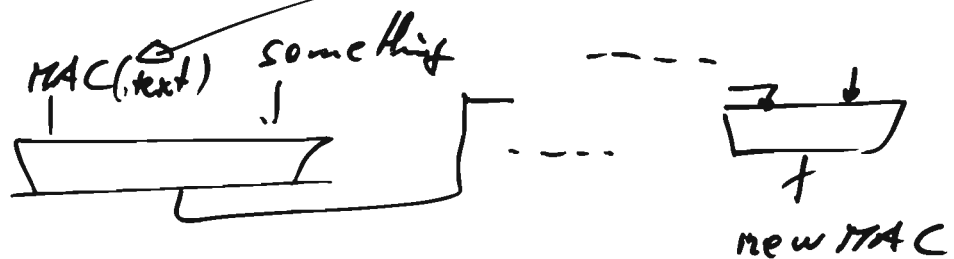
Why do we need a key involved in MAC computation at the least at the beginning and at the end?

CSEC  
15.6.10  
(4)

- ① Can we omit the key at the end?  
No: EXTENSION Attack:

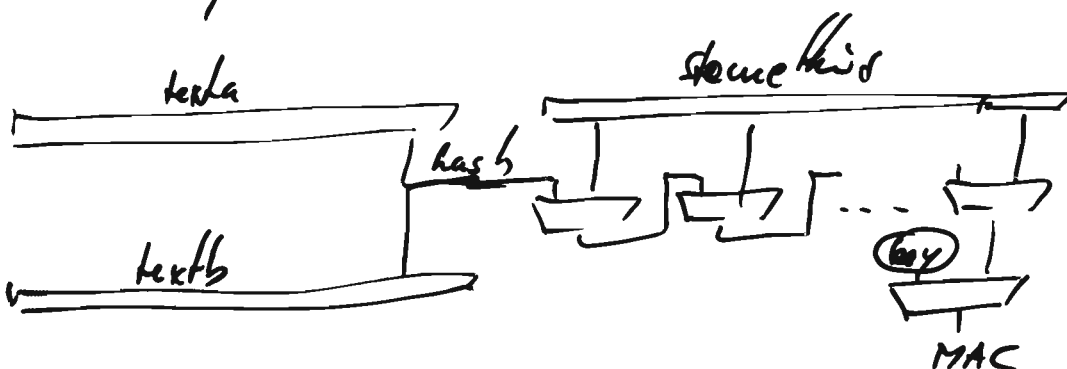


So to compute the 'MAC' for  
text || something  
we just need to compute



- ② Can we omit the key at the beginning?

Assume you have a collision for the  
hash function:  $text_a$   $text_b$



Security for (keyed) MAC

esec  
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⑤

An attacker is successful if  
he can find a collision  
for the MAC without knowing the key

That - due to the ignorance of the key -  
is much more difficult than finding  
a collision for the hash function.

③ Why ~~don't~~<sup>do</sup> we use the same key  
in the beginning and the end?

If half of the bits of the key were  
used in the beginning and the other  
half at the end

then we could split the attack  
versus the two parts of the key  
and so get down a factor

brute force time  $2^{160}$

down to

brute force time  $2 \cdot 2^{80}$

for a 160-bit key.

Ex: Look at  
AES-XCBC-MAC-96



## Lesson learned (AtE vs. EtA)

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④

└ It needs extreme care  
└ to combine crypto primitives!

Further keep in mind

- Kerckhoffs' principle
- Morton's principle

# Elections

esec  
16.6.10  
(2)

~~A democratic election is~~

The aim of an election is  
a decision

that expresses the opinion of the voters.

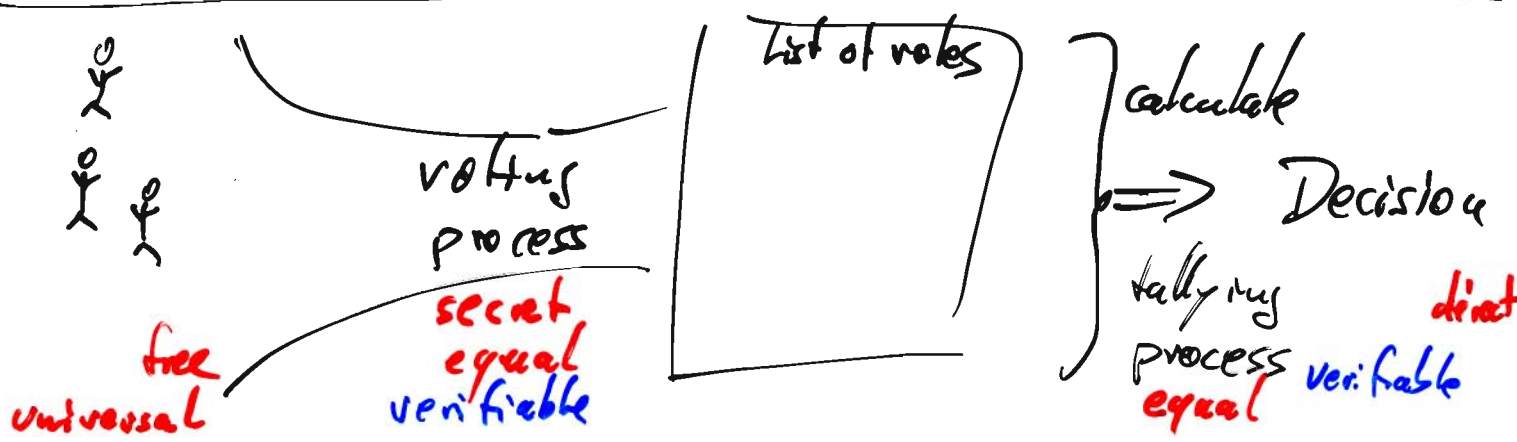
We also need that the election is

- free
- fair

The German constitution requires:

- free ✓
- equal ✓
- secret ✓
- universal ✓
- direct ✓

(no restriction by race,  
gender, belief,  
social status, ...)



Further properties desirable properties: esec  
16.6.10  
(3)

- publicly verifiable
- $\rightarrow$  tallying correct
- $\rightarrow$  no certain voter is considered.

Voting process:

- Voter goes to a voting place.
- Officials check whether the voter is the list and allowed to vote. They check the identity of the voter before doing that. Then they mark the voter as "has voted".
- The voter gets the ballot (Tutkipeche), goes to a secret, marks his choice, and puts the closed ballot into the voting booth.

Target voting machines.

We do not talk about them!

We talk about

electronic voting

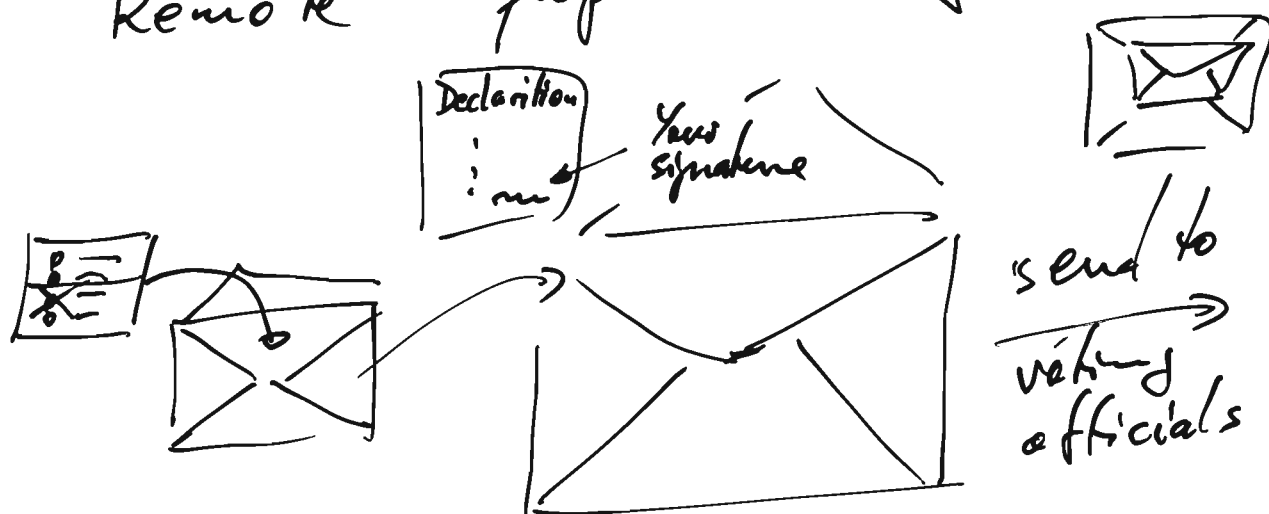
electronic elections

? remote cryptographic elections?

---

Classification of schemes:

Remote paper voting:



Classification of schemes

- Hidden votes : anonymous-submission of vote
- Hidden vote : encrypted submission of vote
- both.

Oldest candidate: Chaum (1981)

esec  
22.6.10

(2)

## Announcement stage

- Chaum's decryption mixnet and its RSA public parameters.
- Each voter is associated with a digital signature.

## Registration stage

- (1) Token generation:
- Each eligible voter  $V_j$  generates a random RSA key pair:  $K_{V_j}$  public key and  $K_{V_j}^{-1}$  private key.
- Let  $\text{token}_j \leftarrow K_{V_j}$ .

- (2) The voter  $V_j$  sends an encrypted version of his  $\text{token}_j$  to some official server

together with a signature:

$$E_{K_{\text{mix}}} (\text{token}_j \parallel r_j)$$

random value

and a signature on this.

The server  $M_{ix_1}^R$  checks the signature and whether it corresponds to an eligible voter that has not voted, yet!

csec  
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(3)

If so it sends a receipt to the voter and it sends a partial decryption

$$D_{K_1^R} \left( \underbrace{E_K(\text{token}_j \parallel r_j)}_R \right)$$

to  $M_{ix_2}^R$ .

$$R = E_{K_1^R} \left( E_{K_2^R} \left( \dots E_{K_{i-1}^R} \left( E_{K_i^R}(\text{token}_j \parallel r_j) \right) \right) \right)$$

$M_{ix_2}^R$  in turn decrypts this and sends

$$D_{K_2^R} \left( D_{K_1^R} \left( E_K(\text{token}_j \parallel r_j) \right) \right)$$

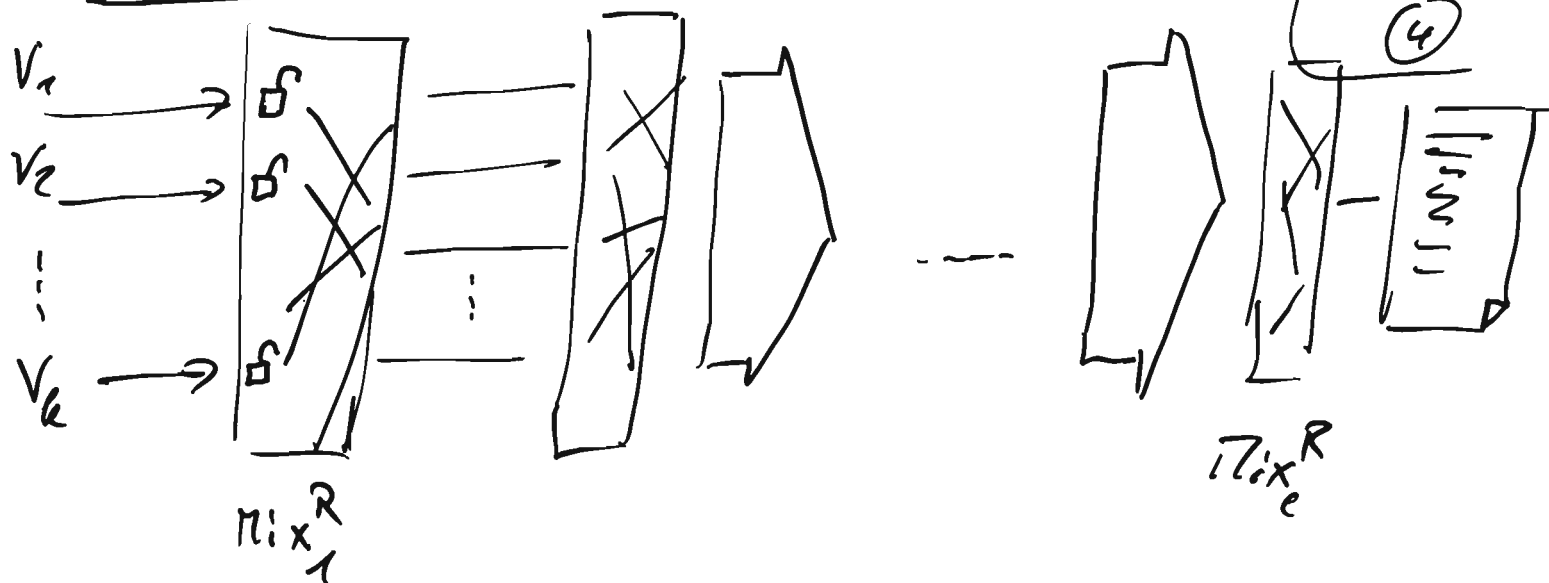
to the next mix.

⋮  
The last mix server obtains

$\text{token}_j$

and publishes this on 'bulletin board' in a sorted order

# Decryption Mixnet



Important! We use a randomised encryption!

$Mix_i^R$  has a key  $K_i^R, K_i^{R^{-1}}$ .

and the encryption will add randomness!

$$\begin{aligned}
 & E_{K_e^R} ( \text{token}_j, r_j^e ) \\
 & \quad \quad \quad \uparrow \text{random bits} \\
 & E_{K_{e-1}^R} ( \quad, r_j^{e-1} ) \\
 & \quad \quad \quad \vdots \\
 & E_{K_1^R} ( \quad, r_j^1 )
 \end{aligned}$$

The randomness ensures that an attacker cannot use the decrypted stuff and just encrypt it to

see which voter submitted this stuff.

esec  
27.6.10  
(5)

For example we could use  
RSA + AES:

$$\underbrace{RSA_{K_i}(r), AES_r(msg)}_{!!} \rightarrow E_{K_j}(msg, r)$$

Actually, the property that is needed here is Indistinguishability under Adaptive Chosen Ciphertext Attack (IND-CCA2).

Verification stage

The Voter  $V_j$  verifies that its token arrives on the Bulletin board.

We have now achieved that each voter has a key pair whose public key is on the bulletin board.



## Voting stage

The voter  $V_j$  encrypts her vote  $v_j$  as

$$\underbrace{E_{K_{e1}}^v(\text{token}_j \parallel D_{K_j}^{-1}(v_j \parallel 0^k))}_{E_{K_{e1}}^v(\text{token}_j \parallel D_{K_j}^{-1}(v_j \parallel 0^k))}, r'_{j,e1})$$
$$\vdots$$
$$E_{K_{e1}}^v(\text{---}, r'_{j,1})$$

and submits this to the voting decryption mix net. ~~The first~~ together with a signature.

The first checks the signature and id of the voter, decrypts one step and sends a bunch to the next mix.

The last mix just puts the now anonymized texts

$$\text{token}_j \parallel D_{K_j}^{-1}(v_j \parallel 0^k)$$

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(6)

on another bulletin board list  
(in sorted order).

evec  
22.6.10  
(7)

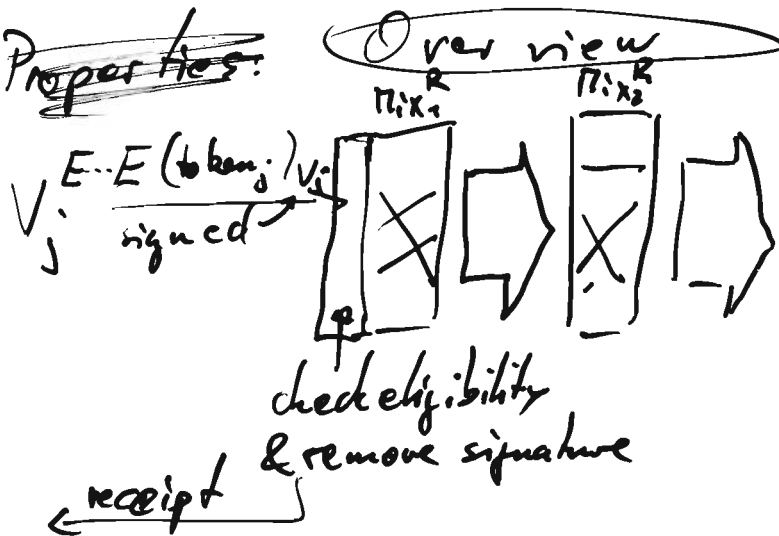
This list now proves that a voter  
in possession of the secret key corresponding  
to the token, which is listed on the  
first bulletin board has submitted  
that vote.

## Tallying stage

Decrypt and count all votes.

23.6.10  
(1)

Properties:



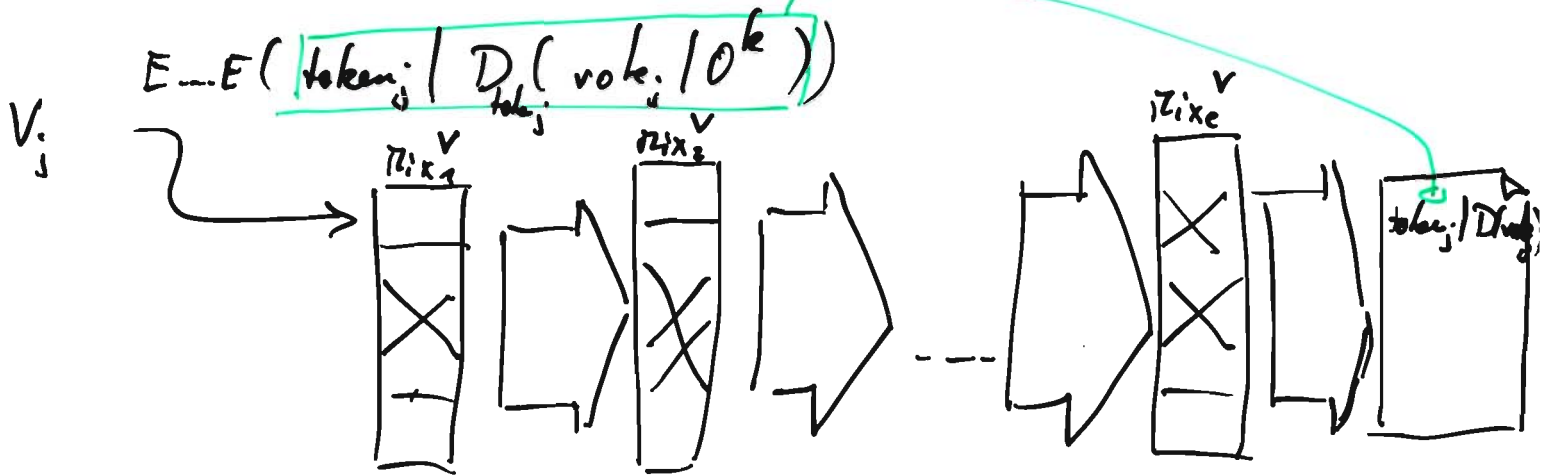
Registration stage



~~$V_j \text{ token } D(\text{vote}_j / 0^k)$~~

## Voting stage

esec  
23.6.10  
(2)



Properties: general, direct, free, fair and secret.

eligibility  
check

part of the  
tallying process  
and happens after  
the system has  
done its work

Yes, provided  
the secret counter  
part of the token  
remains secret.

**BUT:**

The key pair of the voter is a receipt  
for his vote.

The coercer can thus force a voter to any  
behaviour and afterwards require the key pair  
as a proof.

Eligibility "one man - one vote"

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(3)

True here.

Anonymity

It is as long as the private key of the voter is not compromised.

But we cannot count on this.

So: NO.

Verifiability

Individual: Yes.

General: Yes, a part from:

"Mix could invalidate votes, though this would be

Repaired by having every Mix prove that its output corresponds to its input.

detected by the voter's verification...

Receipt-freeness  $\Rightarrow$  Anonymity

Here: NO.

Robustness

→ Use a variation of the Mixnet that allows to restrict to, say, five in ten...  
(use secret sharing...)

Scalability ✓

## 1. El Gamal encryption and gimmicks

ALGORITHM 1.1. El Gamal parameter generation.

Input: Security parameters  $k, \ell$ .

Output: Group  $G$ , a prime  $q$ , and a generator  $P \in G$  of order  $q$ .

1. Select a random  $k$ -bit prime  $q$ .
2. Select an  $\ell$ -bit prime  $p$  with  $p \equiv_q 1$  and letting  $G = \mathbb{Z}_p^\times$  with multiplication. Note that  $\#G = p - 1$  and by construction  $q \mid p - 1$ .
3. Pick a random element  $P$  of order  $q$  in  $G$ . (Pick an arbitrary random element  $R$  of  $G$  and consider  $P = R^{\frac{\#G}{q}}$ . If  $P$  is the neutral element of  $G$  then retry. Otherwise  $P$  has order  $q$ .)
4. Return  $(G, q, P)$ .

Note, as of present knowledge, to achieve 80-bit security we need

$$\text{bitlength}(p) = k \approx 1024$$

when choosing  $\mathbb{Z}_p$  or a subgroup of  $\mathbb{Z}_p$ . ElGamal originally proposed to use this with  $q = p - 1$ . Schnorr and DSA improved this by choosing an element of prime order  $q$ , with  $\text{bitlength}(q) = \ell \approx 160$ . However, all this was before the advent of elliptic curves: with elliptic curves

$$\text{bitlength}(p) = k \approx 160$$

suffices.

ALGORITHM 1.2. El Gamal parameter generation.

Input: Security parameters  $k$ .

Output: Group  $G$ , a prime  $q$ , and a generator  $P \in G$  of order  $q$ .

1. Select a random  $k$ -bit prime  $p$ .
2. Repeat 3–8
3. Select a point  $P = (x_P, y_P) \xleftarrow{\text{red}} \mathbb{F}_p \times \mathbb{F}_p$ .
4. Select a value  $a \xleftarrow{\text{red}} \mathbb{F}_p^\times$ .
5. Set  $b = y_P^2 - (x_P^3 + ax_P)$ .
6. If  $4a^3 + 27b^2 = 0$  in  $\mathbb{F}_p$  then try again.
7. Let  $G$  be the elliptic curve given by

$$y^2 = x^3 + ax + b$$

over  $\mathbb{F}_p$ . [Its points are all solutions  $(x, y)$  of the equation and a further special point  $\mathcal{O}$  at infinity. In particular,  $P$  is a point.

Addition of two points  $Q_1$  and  $Q_2$  is essentially defined as follows: consider the line through the points and find the third point  $Q_3$  of intersection with the curve. Define  $Q_1 + Q_2 := -Q_3$  by mirroring at the  $x$ -axis.]

8. Determine  $q = \#G$ .
9. Until  $q$  prime
10. Return  $(G, q, P)$ .

Notice that we only need to store  $x$  and the “sign” of  $y$  to identify a point.

ALGORITHM 1.3. El Gamal key pair generation.

Input: El Gamal parameters  $(G, q, P)$ .

Output: A key pair with private key  $x \in \mathbb{Z}_q$  and public key  $X \in G$ .

1. Choose  $x \xleftarrow{\text{red}} \mathbb{Z}_q^\times$ .
2. Let  $X \leftarrow xP$ .
3. Return  $(x, X)$

ALGORITHM 1.4. Homomorphic El Gamal encryption.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The recipient’s public key  $X \in G$  and the message  $M \in G$ .

Output: The ciphertext  $\text{enc}_X(m)$ .

1. Pick a unpredictable temporary private key  $t \xleftarrow{\text{red}} \mathbb{Z}_q$ .
2. Return  $(tP, M + tX)$

ALGORITHM 1.5. Homomorphic El Gamal decryption.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The recipient’s private key  $x \in \mathbb{Z}_q$ , the ciphertext  $(T, Y) \in G \times G$ .

Output: The plaintext  $\text{dec}_x(T, Y)$ .

1. Return  $Y - xT$

It is easy to check that decrypting returns the original plaintext: Let  $(T, Y)$  be a ciphertext of the message  $M$  for the recipient with public key  $X$ , ie.  $T = tP$

and  $Y = M + tX$ . Note that the public key  $X$  is given by the private key  $x$  as  $X = xP$ . Now, the decryption routine returns

$$Y - xT = M + tX - xT = M + txP - xtP = M.$$

Thus the ElGamal scheme works correctly.

Observe that we have

$$\text{dec}_x(m_1 \text{enc}_X(M_1) + m_2 \text{enc}_X(M_2)) = m_1 M_1 + m_2 M_2.$$

This property is called *homomorphic*: we can combine stuff in the encrypted form and after decryption we obtain the corresponding combination of the plaintexts. (In general, it is not necessary that the combination is given by the group operation. Any sort of easily computable combination would do.) As a special case we obtain the reencryption by simply adding an encryption of the neutral element of  $G$ , ie.  $\text{reenc}_x(M) = \text{enc}_x(M) + \text{enc}_x(\mathcal{O})$ .

ALGORITHM 1.6. El Gamal reencryption.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The recipient's public key  $X \in G$  and a ciphertext  $(T, Y) \in G \times G$ .

Output: A ciphertext  $\text{enc}_X(m)$ .

1. Pick a unpredictable temporary private key  $t' \in \mathbb{Z}_q$ .
2. Return  $(t'P + T, t'X + Y)$

By the homomorphism property the decryption is  $M + \mathcal{O} = M$  again.

## 2. Non-malleability

The highest security level for encryptions requires that an attacker cannot manipulate messages in a predictable way (non-malleability) under adaptive chosen-ciphertext attacks (NM-CCA2). This is equivalent to the weaker model that an attacker cannot distinguish two self-chosen messages after encryption under adaptive chosen-ciphertext attacks (IND-CCA2). However, it is obvious that the attacker can use the homomorphism property to decrypt without asking the forbidden ciphertext: just add the encryption of a known message  $M_2$ , get the decryption from the oracle, and finally subtract  $M_2$ . Thus the attacker gets the decryption, can thus easily determine which of his two self-chosen messages was encrypted, and thus wins the game.

To spoil this attack various proposals have been made. One consists in signing the ciphertext with a Schnorr signature:

ALGORITHM 2.1. Non-malleable El Gamal encryption.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The recipient's public key  $X \in G$ , the message  $M \in G$ .

Output: The ciphertext  $\text{nmenc}_X(m)$ .

1. Pick two random temporary keys  $t, u \xleftarrow{\text{random}} \mathbb{Z}_q$ .
2. Encrypt  $(T, Y) \leftarrow (tP, M + tX)$ .
3. Compute a challenge  $c \leftarrow \mathbb{Z}_q(\text{hash}(uP, T, Y)) \in \mathbb{Z}_q$ .
4. Compute the response  $r \leftarrow u + ct$  in  $\mathbb{Z}_q$ .
5. Return  $(T, Y, c, r)$

ALGORITHM 2.2. Non-malleable El Gamal decryption.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The recipient's private key  $x \in \mathbb{Z}_q$ , the ciphertext  $(T, Y, c, r) \in G \times G \times \mathbb{Z}_q \times \mathbb{Z}_q$ .

Output: The plaintext  $\text{nmdec}_x(T, Y, c, r)$ .

1. Compute  $U \leftarrow rP - cT$  and  $c' \leftarrow \mathbb{Z}_q(\text{hash}(U, T, Y)) \in \mathbb{Z}_q$ .
2. If  $c' \neq c$  then Return Failure
3. Return  $Y - xT$

Notice that Algorithm 2.1 step 3–4 and the verification  $c' \stackrel{?}{=} c$  in Algorithm 2.2 form a non-interactive proof of knowledge for the discrete logarithm  $t$  of  $T$  with respect to  $P$ .

Actually, the attacker's task would be to — say — reencrypt  $(T, Y, c, r)$ . He can of course easily present  $(T', Y')$  with the same plain text. However, constructing  $(c', r')$  as well would be a proof of knowledge of the discrete logarithm of  $T'$  with respect to  $P$ , and thus (as the attacker chooses  $T' - T$ ) of the discrete logarithm of  $T$  with respect to  $P$ . So either the attacker is the sender or he can break the DLP. But we assume he cannot. This reasoning however neglects possible effects of the choice of  $c$  as the value of a hash function.



### 3. A zero-knowledge argument

PROTOCOL 3.1. Interactive zero-knowledge proof of equality of discrete logarithms.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $P, T, X, Y \in G$ .

Private input to the prover: The discrete logarithm  $t$  of  $T$  wrt.  $P$  and of  $Y$  wrt.  $X$ , ie.  $t \in \mathbb{Z}_q$  such that  $T = tP$  and  $Y = tX$ .

1. The prover chooses a temporary private key  $u \xleftarrow{\text{red}} \mathbb{Z}_q$  and computes  $U \leftarrow uP$  and  $V \leftarrow uX$  in  $G$ . She sends  $U$  and  $V$  to the verifier.  $\xrightarrow{(U, V)}$
2. The verifier chooses a challenge  $c \xleftarrow{\text{red}} \mathbb{Z}_q$  and sends it to the prover.  $\xleftarrow{c}$
3. The prover computes the response  $r \leftarrow u + ct$  and sends it to the verifier.  $\xrightarrow{r}$
4. The verifier checks that  $rP = U + cT$  and  $rX = V + cY$ .

An interactive zero-knowledge proof is a protocol with the properties

**(computational) completeness** If both parties, Paula and Victor, are honest the verifier (almost) always accepts.

**(computational) soundness** If the prover Patrick cheats the (honest) verifier Victor almost never accepts.

**(computational) zero-knowledge** Even if the verifier Vlad cheats he can still not learn anything. That is, whatever the verifier Vlad can compute after a conversation he can also compute without a conversation.

This is usually established by the existence of a simulator which produces a transcript that looks like a conversation and the probabilities for the transcripts are (almost) the same as the probabilities for the conversations.

Actually, in the following we restrict mainly to the case of a *semi-honest* verifier: Vlad is allowed to learn from the protocol but otherwise follows exactly the honest verifier Victor's algorithm. The semi-honest Vlad definitely does not choose  $c$  depending on  $(U, V)$ .

We assume that all parties are randomized polynomial time bounded. Each computation may fail with negligible probability.

## completeness

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⊕

If the prover's claim holds  
(i.e. the prover is honest)  
and the verifier follows his algorithms  
(i.e. the verifier is honest)

then the verifier always accepts.

Proof:

$$\begin{aligned} U + cT &= uP + ctP \\ &= (u+ct)P = rP. \\ V + cY &= vX + ctX \\ &= (u+ct)X = rX. \quad \checkmark \quad \square \end{aligned}$$

## soundness

Assume the prover cheats  
 $u \neq t, T \neq tP, Y = t'X \neq tX$ .

Next, the prover has to choose  $U$  and  $V$ ,

say  $U = uP, V = u'X$ .

Only now — after  $U, V$  are fixed — the  
verifier sends his challenge  $c$ .

Now  $U + cT = (u + ct)P$

and so the prover has to  $r = u + ct$  to satisfy  
the verifier's first check.

But

$$V + cY = (u' + ct')X$$

The verifier's second check is true

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(2)

iff  $u' + ct' = r$

Or: both checks are true iff

$$r = u + ct$$

$$r = u' + ct'$$

If the prover can satisfy this for only two different values of  $c$

then  $u = u'$  and  $t = t'$ .

$$\begin{cases} r_1 = u + c_1 t \\ r_1 = u' + c_1 t' \end{cases}$$

$$r_2 = u + c_2 t$$

$$r_2 = u' + c_2 t'$$

$$r_2 = u' + c_2 t'$$

$(c_1, r_1)$

$(c_2, r_2)$

These are two points on both lines and thus the lines must coincide, i.e.  $u = u'$ ,  $t = t'$ .

Thus

prob (cheating Paula convinces Victor)

$$= \frac{1}{9} (2^{-160}),$$

this is exponentially small.

□

(computational) honest-verifier zero-knowledge:

what can the verifier  $V$  could compute

after a conversation  $\langle P, V \rangle$

he could also compute

after a simulation  $SAT$

where

$SAT$

inputs:  $P, T, X, Y$  (public input  
and private input  
the verifier)

Output: A protocol of ~~something~~ that  
looks like a protocol of a  
conversation.

- 1.
2.  $c \xleftarrow{R} \mathbb{Z}_q.$
3.  $r \xleftarrow{R} \mathbb{Z}_q.$
4.  $U \leftarrow rP - cT,$   
 $V \leftarrow rX - cY.$

5.

6. Return  $((U, V), c, r)$

Notice that  $SAT$ 's output would always  
pass the honest verifier VICTOR's checks:

$$rP = U + cT,$$

$$rX = V + cY.$$

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(3)

Actually, we have to consider  
the distribution of possible outputs:

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(4)

$$\text{prob}(\langle P, V \rangle = (U_0, V_0, c_0, r_0)) = \frac{1}{9^2}$$

$$\text{prob}(SAM = (U_0, V_0, c_0, r_0)) = \frac{1}{9^2}$$

for a given  $(U_0, V_0)$   $c_0, r_0$  fulfilling the  
verifier's checks, ie.  $r_0 P = U_0 + c_0 T$ ,  
 $r_0 X = V_0 + c_0 Y$ . □

General zero-knowledge:

Problem a dishonest verifier  $V'$   
may choose  $c$  non-uniform  
or worse - depending on  $U, V$ .

As long as  $V'$  chooses  $c$  independent  
of  $U, V$  we can just replace the choice  
in the simulator. But if the choice  
depends on  $U, V$  then things become  
tricky.

We make it non-interactive by the Fiat & Shamir (1986) heuristic: replace the random challenge sent by the verifier with a deterministic computation whose outcome is unpredictable to the prover even if she messes around with the entire variables at her disposal. (Actually, one can always transform a proof of knowledge into one where the verifier only sends random bits. But we have that already.) We obtain:

PROTOCOL 3.2. Non-interactive zero-knowledge proof of equality of discrete logarithms.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $P, T, X, Y \in G$ .

Private input to the prover: The discrete logarithm  $t$  of  $T$  wrt.  $P$  and of  $V$  wrt.  $U$ , ie.  $t \in \mathbb{Z}_q$  such that  $T = tP$  and  $Y = tX$ .

1. The prover chooses a temporary private key  $u \xleftarrow{\text{red}} \mathbb{Z}_q$  and computes  $U \leftarrow uP$  and  $V \leftarrow uX$  in  $G$ . She sends  $U$  and  $X$  to the verifier.  $\xrightarrow{(U, V)}$
2. The prover computes a challenge  $c \leftarrow \mathbb{Z}_q(\text{hash}(T, Y, U, V))$  and sends it to the verifier.  $\xrightarrow{c}$
3. The prover computes the response  $r \leftarrow u + ct$  and sends it to the verifier.  $\xrightarrow{r}$
4. The verifier checks that  $rP = U + cT$ ,  $rX = V + cY$  and  $c = \mathbb{Z}_q(\text{hash}(T, Y, U, V))$ .

We can further simplify this by dropping  $(U, V)$  from the messages since they can be reconstructed from  $c$  and  $r$  easily, a computation that the verifier must perform anyways. Thus in the last step the verifier only checks

$$c = \mathbb{Z}_q(\text{hash}(T, Y, rP - cT, rX - cY)).$$

## 4. A proof of knowledge

PROTOCOL 4.1. Interactive proof of knowledge of a discrete logarithm.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $P, T \in G$ .

Private input to the prover: The discrete logarithm of  $T$   
wrt.  $P$ , ie.  $t \in \mathbb{Z}_q$  such that  $T = tP$ .

1. The prover chooses a temporary private key  $u \xleftarrow{\text{red}} \mathbb{Z}_q$   
and computes  $U \leftarrow uP$  in  $G$ . She sends  $U$  to the  
verifier.  $\xrightarrow{\quad U \quad}$
2. The verifier chooses a challenge  $c \xleftarrow{\text{red}} \mathbb{Z}_q$  and sends  
it to the prover.  $\xleftarrow{\quad c \quad}$
3. The prover computes the response  $r \leftarrow u + ct$  and  
sends it to the verifier.  $\xrightarrow{\quad r \quad}$
4. The verifier checks that  $rP = U + cT$ .

A proof of knowledge is an interactive zero-knowledge protocol with the additional property

**proof of knowledge** A cheating verifier that can talk to the same(!) prover several times can extract the knowledge from the conversations. Here, same prover means that the prover is using the same random bits again.

Protocol 4.1 is a proof of knowledge.

esec  
7.7.10

①

Pf Define the knowledge extractor as follows:

Paula

KnightEgon

$$u \in_R \mathbb{Z}_q$$

$$U = uP$$

$$\xrightarrow{U}$$

$$\xleftarrow{c_1}$$

$$c_1, c_2 \in_R \mathbb{Z}_q$$

$$c_1 \neq c_2$$

$$r_1 \leftarrow u + c_1 t$$

$$\xrightarrow{r_1}$$

$$\xleftarrow{\text{reset}}$$

same  $u$ ,  
same  $U$ .

$$\xrightarrow{U}$$

$$\xleftarrow{c_2}$$

$$r_2 \leftarrow u + c_2 t$$

$$\xrightarrow{r_2}$$

$$r_1 P \stackrel{?}{=} U + c_1 T$$

$$r_2 P \stackrel{?}{=} U + c_2 T$$

Thus we know:

$$r_1 = u + c_1 t \quad \in \mathbb{Z}_q$$

$$r_2 = u + c_2 t \quad \in \mathbb{Z}_q$$

and

$$r_2 - r_1 = \underbrace{(c_2 - c_1)}_{\neq 0} t \quad \in \mathbb{Z}_q$$

and

$$\frac{r_2 - r_1}{c_2 - c_1} = t \quad \in \mathbb{Z}_q$$

Output:  $t$ .

□



Again, we make it non-interactive by the Fiat & Shamir (1986) heuristic. We obtain:

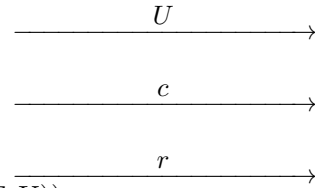
PROTOCOL 4.2. Non-interactive proof of knowledge of a discrete logarithm.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $P, T \in G$ .

Private input to the prover: The discrete logarithm of  $T$  wrt.  $P$ , ie.  $t \in \mathbb{Z}_q$  such that  $T = tP$ .

1. The prover chooses a temporary private key  $u \xleftarrow{\text{random}} \mathbb{Z}_q$  and computes  $U \leftarrow uP$  in  $G$ . She sends  $U$  to the verifier.
2. The prover computes a challenge  $c \leftarrow \mathbb{Z}_q(\text{hash}(T, U))$  and sends it to the verifier.
3. The prover computes the response  $r \leftarrow u + ct$  and sends it to the verifier.
4. The verifier checks that  $rP = U + cT$  and  $c = \mathbb{Z}_q(\text{hash}(T, U))$ .



Clearly, the prover can send everything together in a single message  $(U, c, r)$ .

As earlier we can drop  $U$  from the messages and instead recompute it and check

$$c = \mathbb{Z}_q(\text{hash}(T, rP - cT)).$$

We could instead also drop  $c$  and reconstruct that, but for many groups you need more bits to store  $U$  than you need to store  $c$ .

## 5. Distributed keys

PROTOCOL 5.1. Distributed key generation.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input to  $S_i$ : Id  $i$  and connections to all other share holders  $S_j$ .

Private output to  $S_i$ : Private key shares  $x_i$ .

Output: A public key  $X$ , and public key shares  $X_i$ .

1. Share holder  $S_i$  chooses a private key share  $x_i \xleftarrow{\text{red}} \mathbb{Z}_q$  and compute  $X_i \leftarrow x_i P \in G$ .
2. Share holder  $S_i$  publishes (ie. sends to all other share holders) a commitment  $\text{hash}(X_i)$  on its public key share  $X_i$ .
3. Wait until all share holders are done so far.
4. Share holder  $S_i$  publishes  $X_i$  and proves knowledge of  $x_i$  non-interactively, ie. publishes  $\text{KnowDlog}(P, X_i)$ .
5. Wait until all share holders are done so far.
6. Each share holder checks all commitments and proofs. If something cannot be verified, shout and stop.
7. Return  $X = \sum_i X_i$ ,  $(X_i)_i$

The sender merely encrypts his message with the shared public key  $X$ . However, as long as one share holder is honest, the corresponding private key  $x = \sum_i x_i$  is not known to any entity. To decrypt all share holders have to work together again:

PROTOCOL 5.2. Distributed decryption.

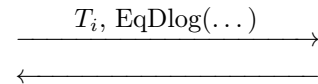
Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The ciphertext  $(T, Y) \in G \times G$ , and the public shares  $X_i$ .

Private inputs: Share holder  $S_i$  gets its private key share  $x_i$ .

Output:  $\text{DistDec}_{(x_i)_i}(T, Y)$ .

1. Share holder  $S_i$  computes and publishes  $T_i \leftarrow x_i T$  and proves equality of discrete logarithms of  $T_i$  wrt.  $X_i$  and  $T$  wrt.  $P$ , ie.  $\text{EqDlog}(P, T, X_i, T_i)$ .
2. Wait until all share holders are done so far.
3. Each share holder checks all proofs. If something cannot be verified, shout and stop.
4. Compute  $M \leftarrow Y - \sum T_i$ .
5. Return  $M$



Important: in both protocols no share holder learns private key shares of other (honest) share holders. [Proof: Exercise.]

## 6. A more sophisticated zero-knowledge proof

The problem in remote elections is that nobody can see whether the voter is under pressure during his voting. So the above zero-knowledge proof is actually too good, as also a coercer will be convinced by such a proof if he is standing “behind” the voter. But we can do better: The following two zero-knowledge proofs prove the statement:

The El Gamal ciphertexts  $(T, Y)$  and  $(T', Y')$  encrypt the same message (for the recipient with public key  $X$ )

*or*

the prover knows the voter’s private key.

This statement can be proved by the party that generated  $(T, Y)$  from  $(T', Y')$  or it can be proved by the voter. As zero-knowledge proofs are always witness-indistinguishable, a coercer in the role of the verifier cannot tell which of the two forms he sees.

PROTOCOL 6.1. Interactive designated verifier proof.

Publicly known: El Gamal parameters  $(G, q, P)$ .

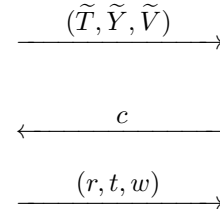
Public input: Group elements  $T, Y, T', Y' \in G$  and the public key  $X_{\text{vid}}$  of the voter vid.

Private input to the prover: The reencryption randomness  $z \in \mathbb{Z}_q$  such that  $T' - T = zP$  and  $Y' - Y = zX$ .

1. The prover chooses temporary private keys  $s, t, w \xleftarrow{\text{red}} \mathbb{Z}_q$  and computes in  $G$ 
  - $\tilde{T} \leftarrow sP$ ,
  - $\tilde{Y} \leftarrow sX$  and
  - $\tilde{V} \leftarrow tP + wX_{\text{vid}}$ .

She sends  $\tilde{T}$ ,  $\tilde{Y}$  and  $\tilde{V}$  to the verifier.

2. The verifier chooses a challenge  $c \xleftarrow{\text{red}} \mathbb{Z}_q$  and sends it to the prover.
3. The prover computes the response  $r \leftarrow s + z(c + w)$  and sends it to the verifier.



4. The verifier computes

- $\tilde{T}' \leftarrow rP - (c + t)(T' - T)$ ,
- $\tilde{Y}' \leftarrow rX - (c + t)(Y' - Y)$  and
- $\tilde{V}' \leftarrow tP + wX_{\text{vid}}$ .

He checks whether  $\tilde{T}' \stackrel{?}{=} \tilde{T}$ ,  $\tilde{Y}' \stackrel{?}{=} \tilde{Y}$ , and  $\tilde{V}' \stackrel{?}{=} \tilde{V}$ .

PROTOCOL 6.2. Interactive fake designated verifier proof.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $T, Y, T', Y' \in G$  and the public key  $X_{\text{vid}}$  of the voter vid.

Private input to the prover: The verifier's private key  $x_{\text{vid}}$ .

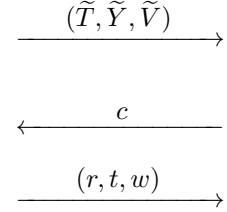
1. The prover chooses the response  $r \xleftarrow{\text{red}} \mathbb{Z}_q$  and random values  $a, v \xleftarrow{\text{red}} \mathbb{Z}_q$  and computes in  $G$ 
  - $\tilde{T} \leftarrow rP - a(T' - T)$ ,
  - $\tilde{Y} \leftarrow rX - a(Y' - Y)$  and
  - $\tilde{V} \leftarrow vP$ .

She sends  $\tilde{T}$ ,  $\tilde{Y}$  and  $\tilde{V}$  to the verifier.

2. The verifier chooses a challenge  $c \xleftarrow{\text{red}} \mathbb{Z}_q$  and sends it to the prover.
3. The prover computes  $t \leftarrow a - c$ ,  $w \leftarrow (v - t)x_{\text{vid}}^{-1}$  in  $\mathbb{Z}_q$  and sends  $(r, t, w)$  to the verifier.
4. The verifier computes

- $\tilde{T}' \leftarrow rP - (c + t)(T' - T)$ ,
- $\tilde{Y}' \leftarrow rX - (c + t)(Y' - Y)$  and
- $\tilde{V}' \leftarrow tP + wX_{\text{vid}}$ .

He checks whether  $\tilde{T}' \stackrel{?}{=} \tilde{T}$ ,  $\tilde{Y}' \stackrel{?}{=} \tilde{Y}$ , and  $\tilde{V}' \stackrel{?}{=} \tilde{V}$ .



By the Fiat & Shamir (1986) heuristic we can again transform both into a non-interactive protocol:

PROTOCOL 6.3. Non-interactive designated verifier proof.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $T, Y, T', Y' \in G$  and the public key  $X_{\text{vid}}$  of the voter vid.

Private input to the prover: The reencryption randomness  $z \in \mathbb{Z}_q$  such that  $T' - T = zP$  and  $Y' - Y = zX$ .

1. The prover chooses temporary private keys  $s, t, w \xleftarrow{\text{red}} \mathbb{Z}_q$  and computes in  $G$ 
  - $\tilde{T} \leftarrow sP$ ,
  - $\tilde{Y} \leftarrow sX$  and
  - $\tilde{V} \leftarrow tP + wX_{\text{vid}}$ .

She sends  $\tilde{T}$ ,  $\tilde{Y}$  and  $\tilde{V}$  to the verifier.

2. The prover computes a challenge

$$c \leftarrow \mathbb{Z}_q(\text{hash}(T, Y, T', Y', \tilde{T}, \tilde{Y}, \tilde{V}))$$

and sends it to the verifier.

3. The prover computes the response  $r \leftarrow s + z(c + w)$  and sends it to the verifier.
4. The verifier computes

- $\tilde{T}' \leftarrow rP - (c + t)(T' - T)$ ,
- $\tilde{Y}' \leftarrow rX - (c + t)(Y' - Y)$  and
- $\tilde{V}' \leftarrow tP + wX_{\text{vid}}$ .

He checks whether  $\tilde{T}' = \tilde{T}$ ,  $\tilde{Y}' = \tilde{Y}$ , and  $\tilde{V}' = \tilde{V}$  by computing

$$c' \leftarrow \mathbb{Z}_q(\text{hash}(T, Y, T', Y', \tilde{T}', \tilde{Y}', \tilde{V}'))$$

and checking  $c' \stackrel{?}{=} c$ .

$$\begin{array}{c} \xrightarrow{c} \\ \xrightarrow{(r, t, w)} \end{array}$$

PROTOCOL 6.4. Non-interactive fake designated verifier proof.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Public input: Group elements  $T, Y, T', Y' \in G$  and the public key  $X_{\text{vid}}$  of the voter vid.

Private input to the prover: The verifier's private key  $x_{\text{vid}}$ .

1. The prover chooses the response  $r \xleftarrow{\text{red}} \mathbb{Z}_q$  and random values  $a, v \xleftarrow{\text{red}} \mathbb{Z}_q$  and computes in  $G$ 
  - $\tilde{T} \leftarrow rP - a(T' - T)$ ,
  - $\tilde{Y} \leftarrow rX - a(Y' - Y)$  and
  - $\tilde{V} \leftarrow vP$ .

She sends  $\tilde{T}$ ,  $\tilde{Y}$  and  $\tilde{V}$  to the verifier.

2. The prover computes a challenge

$$c \leftarrow \mathbb{Z}_q(\text{hash}(T, Y, T', Y', \tilde{T}, \tilde{Y}, \tilde{V}))$$

and sends it to the verifier.

3. The prover computes  $t \leftarrow a - c$ ,  $w \leftarrow (v - t)x_{\text{vid}}^{-1}$  in  $\mathbb{Z}_q$  and sends  $(r, t, w)$  to the verifier.
4. The verifier computes

- $\tilde{T}' \leftarrow rP - (c + t)(T' - T)$ ,
- $\tilde{Y}' \leftarrow rX - (c + t)(Y' - Y)$  and
- $\tilde{V}' \leftarrow tP + wX_{\text{vid}}$ .

He checks whether  $\tilde{T}' = \tilde{T}$ ,  $\tilde{Y}' = \tilde{Y}$ , and  $\tilde{V}' = \tilde{V}$  by computing

$$c' \leftarrow \mathbb{Z}_q(\text{hash}(T, Y, T', Y', \tilde{T}', \tilde{Y}', \tilde{V}'))$$

and checking  $c' \stackrel{?}{=} c$ .

$$\begin{array}{c} \xrightarrow{c} \\ \xrightarrow{(r, t, w)} \end{array}$$

# Civitas

esec  
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④

## Security model

- Need compromise!

Properties:

### (1) VERIFIABILITY

- Voter verifiability
- Universal verifiability

Need a formal definition...

### (2) COERCION RESISTANCE:

A Voter cannot prove whether or how they voted even if they interact with the attacker during voting.

+ AVAILABILITY

+ SCALABILITY

# Threat model, attacker properties

13.7.10

②

- The attacker can corrupt some but not all "authorities" / non-voter-components. We assume a threshold.  
(Like: he can corrupt 50% of them ...)
- The attacker may coerce voters, demand their secrets, demand any behaviour of them — remotely or <sup>in the</sup> physical presence of the voters. But the adversary may not control a vote throughout an entire election. (Otherwise the voter could never register or vote.)
- The adversary may control all public channels on the network.  
However, we assume the existence of some anonymous channel on which the adversary cannot identify the sender.  
And some untappable channel which the adversary cannot use at all.
- The adversary may perform any polynomial-time computation.

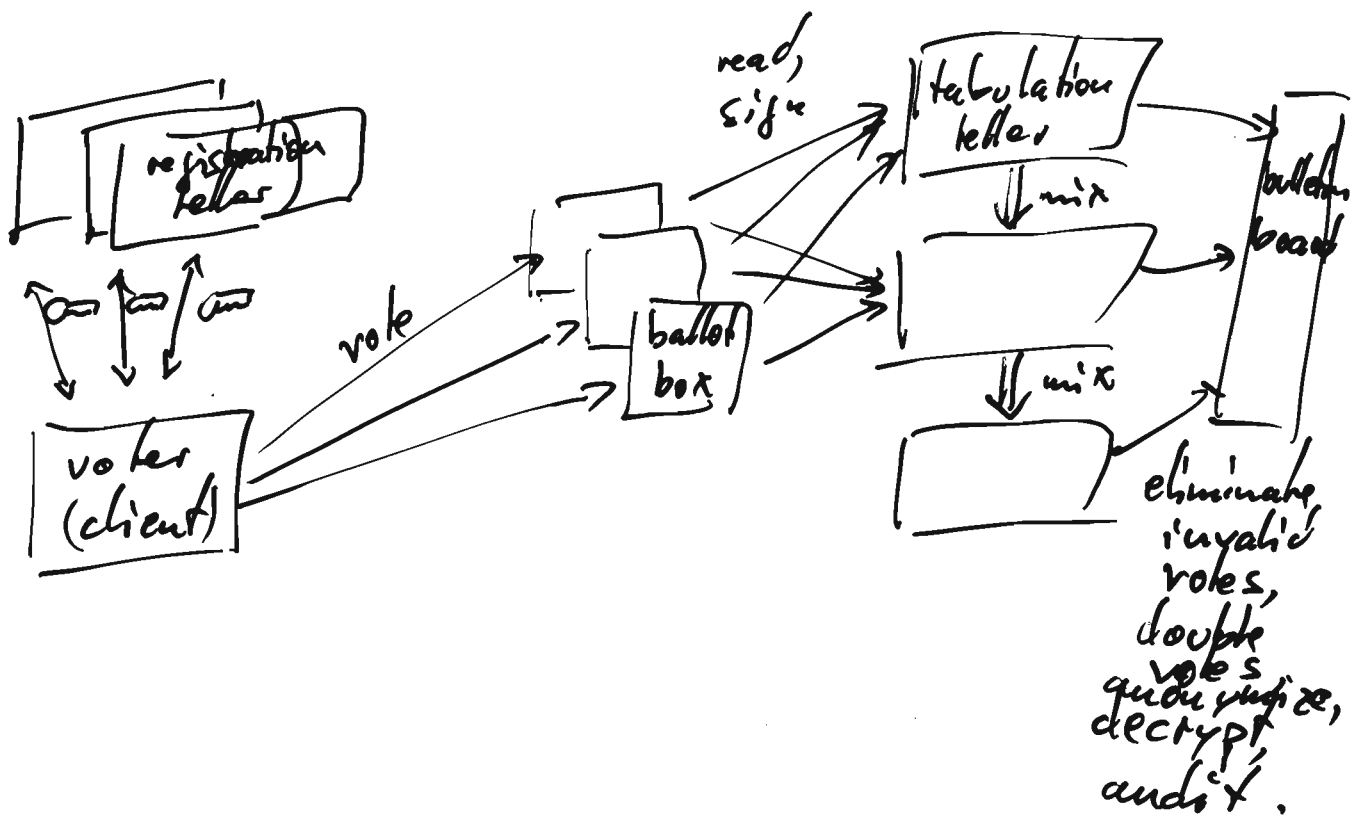


# Design

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(3)

Agents:

- voter
- supervisor  
administers the election including  
ballot design, specifying the tellers,  
and starting and stopping.
- registrar.  
authorizes votes
- registration tellers that generate  
credentials that voters use to  
cast their votes
- tabulation tellers tally votes.



There are several bulletin boards, i.e.  
insert-only, readable memory.

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④

Namely:

- the ballot boxes
- the broadcast bulletin board.

## Setup phase

1. The supervisor creates the election:
  - post ballot design.
  - identifies the tellers by posting their individual public keys.  
(and ballot boxes)
  - post the electoral role, i.e. the list of all voters together with their registration and designation keys.
2. The tabulation tellers generate a distributed key pair  $X_{TT_i}, (x_{TT_i})$ , and post the public key.
3. The registration tellers  $RT_i$  generate  $V_{credentials}^{private}$   $s_{i,vid}$  for each voter  $vid$ , and publish an encryption of  $s_{i,vid}$ .

# Registration & voting phase

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(2)

Registration means that the voter talks to all his registration tellers and acquire his private credentials:  $(s_i, vid)_i$  and stores his private credential  $s = \prod_{i=1}^n s_i, vid$ .

Voting means that the voter sends

$(Enc(s; X_{TT}),$

$Enc(v; X_{TT}),$

proof that  $v$  is one of the specified voting choices, (zk!)

proof that the voter 'knows'  $s$  and  $v$ .)

to some ballot boxes of his choice.

## How to resist coercion?

If the adversary demands that the voter

... submits a particular vote

... sell or surrender a credential

... abstains (and give him credentials to check...)

Then the voter

... does it with fake credentials.

... supplies fake credentials.

... supplies fake credentials and votes.

# Revoting?

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(3)

A revoting policy may allow several revotes.

However, this requires some form of ordering information to be able to decide which vote is the first or last or... one, resp..

Timing information on the ballot boxes might be used.

But: you may want the voter to proof in a revoke that he knows the content of earlier votes and indicate which these are.

→ Room for discussion.

Ballot design as tallying definition:

- plurality vote : 1 out of  $N$
- approval vote : any subset of  $N$ .
- ranked vote : order the  $N$  options.
- write-in votes.

Danger:

write-in votes and also approval and ranked votes allow for a covert channel!

↓  
Look up  
ARROWS  
Theorem.

# Tabulation phase

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(4)

## 1. Retrieve data.

TT<sub>i</sub> reads all votes from all ballot boxes and reads the public credentials.

## 2. Verify proofs.

TT<sub>i</sub> check each vote to verify well formedness. Any vote with an invalid proof is discarded.

## 3. Eliminate duplicates

The TTs run "plain text equivalence tests" for any pair of votes on the credential encryption. Votes with duplicate credentials are eliminated according to the revoking policy. A

## 4. Anonymize.

Mixing with proofs of correct mixing.

mixing

$$O((\# \text{cast votes})^2)$$

## 5. Eliminate fake credential votes.

$$O(\# \text{cast votes} \cdot \# \text{ voters})$$

## 6. Decrypt.

# Security evaluation

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①

## Trust assumption 1

└ The adversary cannot simulate a voter during registration.

- Defense:
- long registration period.
  - at least one physical registration teller.
  - tamper resistant hardware  
(↑ Estonia id card)

## Trust assumption 2

└ Each voter trusts at least one registration teller, and the channel to the voter's trusted registration teller is untappable.

- Defense:
- physical registration tellers most likely offer this

## Trust assumption 3

└ Voters trust their voting clients.

- Defense:
- use additional hardware  
(like tamper resistant smart card readers with display...)

- open source
  - "own" source
- } code.

OPEN RESEARCH!

#### Trust assumption 4

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20.7.10  
(2)

The channels on which the voters cast their votes are anonymous.

Without this, coercion-resistance is violated.

Defense:

- another mixing...
- but this may not be enough...
- physical ballot boxes

#### Trust assumption 5

At least one of the ballot boxes to which a voter submits his vote is correct.

#### Trust assumption 6

There exists at least one honest tabulation teller.

Without this coercion-resistance is gone, since then the attacker knows all private tabulation teller keys  $x_{T_i}$  and thus can decrypt all votes and credentials.

Defense: use independent tabulation tellers.

# Attacks on election authorities

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(3)

## • Malfunctioning RT

Problem: voter can detect this  
but he cannot prove  
that he didn't get correct  
credentials.

△ Recreating credentials is a problem  
because the original ones must  
be revoked first. Otherwise  
fairness is lost.

## • Non-integrity of a bulletin board.

## • Corrupted electoral role. (signed by registrar.)

- adding missing persons may be easy.
  - detecting fictitious voters might be tricky.
- Need an external policy here!

## Back doors in the software.

- use open source, "own" source

## Trust assumption 7

- Decisional Diffie-Hellman is hard.
- RSA and AES are secure.  
    or whatever you use instead.



## 7. Voting specials

ALGORITHM 7.1.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: A message  $m \in \mathbb{Z}_q$ .

Output: The encoded message  $M = \text{encode}(m) \in G$ .

1. Return  $mP$

The voting scheme will most of the time encrypt the encoded message. Decoding this — in general — is impossible, but if the message  $m$  comes from a known tiny subset of  $\mathbb{Z}_q$ , we can compute it by brute force. Typical tiny subsets could be the set of indices of the voting options, for example,  $\{1, 2, 3, 4, 5, 6\}$  if there are six choices for the voter. Also the possible sum of votes for a certain option may occur, so then the set in question would be  $\mathbb{N}_{\leq 2500}$  in a district with 2500 voters.

ALGORITHM 7.2. Credential encryption.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: The public key  $K_{TT}$  of a tabulation teller, a private credential share  $s \in \mathcal{M}$ , the temporary private key  $t \in \mathbb{Z}_q^\times$  and the identifiers of registration teller rid and voter vid.

Output:  $\text{credenc}(s, t, K_{TT}, \text{rid}, \text{vid})$ .

1. Pick a random temporary keys  $u \xleftarrow{\text{🔑}} \mathbb{Z}_q$ .
2. Encrypt  $(T, Y) \leftarrow (tP, \text{encode}(s) + tK_{TT})$ .
3. Compute a challenge  $c \leftarrow \mathbb{Z}_q(\text{hash}(uP, T, Y, \text{rid}, \text{vid})) \in \mathbb{Z}_q$ .
4. Compute the response  $r \leftarrow u + ct$  in  $\mathbb{Z}_q$ .
5. Return  $(T, Y, c, r)$

ALGORITHM 7.3. Credential verification.

Publicly known: El Gamal parameters  $(G, q, P)$ .

Input: Public credential share  $S = (T, Y, c, r)$  and the identifiers of registration teller rid and voter vid.

Output:  $\text{credverify}(S, \text{rid}, \text{vid})$ .

1. Compute  $U \leftarrow rP - cT$  and  $c' \leftarrow \mathbb{Z}_q(\text{hash}(U, T, Y, \text{rid}, \text{vid})) \in \mathbb{Z}_q$ .
2. Return  $c' \stackrel{?}{=} c$

## 8. Further proofs

PROTOCOL 8.1. Reencryption proof (REENCPF).

Public input: A list  $C = [(T_i, Y_i)]_i$  of (reencrypted) ciphertexts, a particular ciphertext  $\hat{C} = (T, Y)$ , and the recipients' public key  $X$ .

Private input to the prover: An index  $j$  into the list  $C$  and the reencryption randomness  $t'$  such that  $\hat{C} = C_j + \text{enc}_X(\mathcal{O}; t')$ .

Output to the prover:  $\text{REENCPF}(j, t') = (\check{s}, \check{t})$

1. The prover performs 2–8.
2.     For all indices  $i$  of  $C$  do 3–5
3.         She picks random values  $s_i, t_i \xleftarrow{\text{red}} \mathbb{Z}_q$ .
4.          $\tilde{T}_i = s_i(T_i - T) + t_i P$  and
5.          $\tilde{Y}_i = s_i(Y_i - Y) + t_i X$ .
6.     The prover computes  $c \leftarrow \mathbb{Z}_q(\text{hash}(\hat{C}, C, [(\tilde{T}_i, \tilde{Y}_i)]_i))$ .
7.     The prover computes  
 $\check{s}_j \leftarrow c - \sum_{i \neq j} s_i$ , and for  $i \neq j$  let  $\check{s}_i \leftarrow s_i$ ,  
 $\check{t}_j \leftarrow t_j - t'(\check{s}_j - s_j)$ , and for  $i \neq j$  let  $\check{t}_i \leftarrow t_i$ .
8.     He sends  $(\check{s}, \check{t})$ .  $\xrightarrow{(\check{s}, \check{t})}$
9. The verifier performs 10–15.
10.    He reconstructs  $\tilde{T}$  and  $\tilde{Y}$ :
11.    For all indices  $i$  of  $C$  do 12–13
12.          $\tilde{T}'_i = \check{s}_i(T_i - T) + \check{t}_i P$  and
13.          $\tilde{Y}'_i = \check{s}_i(Y_i - Y) + \check{t}_i X$ .
14.    He computes  $c' \leftarrow \mathbb{Z}_q(\text{hash}(\hat{C}, C, [(\tilde{T}'_i, \tilde{Y}'_i)]_i))$ , and  $d' \leftarrow \sum_i \check{s}_i$ .
15.    He verifies  $c' \stackrel{?}{=} d'$ .

**Completeness** The reconstruction produces identical results for  $i \neq j$  since the prover sends his data there. For  $i = j$  however we have

$$\begin{aligned}
 \tilde{T}'_j &= \check{s}_j(T_j - T) + \check{t}_j P \\
 &= (t' \check{s}_j + \check{t}_j) P \\
 &= (t' \check{s}_j + t_j - t'(\check{s}_j - s_j)) P \\
 &= (t' s_j + t_j) P = s_j(T_j - T) + t_j P = \tilde{T}_j.
 \end{aligned}$$

The computation for  $\tilde{Y}'_j = \tilde{Y}_j$  is similar (replace  $T$  by  $Y$  and  $P$  by  $X$ ).

PROTOCOL 8.2. Vote Proof (VOTEPF).

Public input: Encrypted credential  $(T_1, Y_1, c, r) = \text{CredEnc}(s, t, K_{TT}, \text{rid}, \text{vid})$ ,  
encrypted choice  $(T_2, Y_2)$ , the prover's public key  $X$ .

Private input to the prover: Temporary keys  $t_1, t_2 \in \mathbb{Z}_q$  such that  $T_i = t_i P$ .

1. The prover picks  $s_1, s_2 \xleftarrow{\text{red}} \mathbb{Z}_q$ .
2. The prover computes  $c \leftarrow \mathbb{Z}_q(\text{hash}(P, X, T_1, Y_1, T_2, Y_2, s_1 P, s_2 P))$ .
3. The prover computes  $r_i \leftarrow s_i - ct_i$  in  $\mathbb{Z}_q$ .
4. He sends  $(c, r_1, r_2)$ .  $\xrightarrow{(c, r_1, r_2)}$
5. The verifier checks  $c \stackrel{?}{=} \mathbb{Z}_q(\text{hash}(P, X, T_1, Y_1, T_2, Y_2, r_1 P + cT_1, r_2 P + cT_2))$ .

This is merely a parallel execution of two copies of Protocol 4.2, and proves knowledge of the two temporary encryption keys.

## 9. Main protocols

PROTOCOL 9.1. Plaintext equivalence test (PET).

Public input: Two ciphertexts  $C_j = (T_j, Y_j)$ , encrypted  
with the tabulation tellers' common public  
key  $X_{TT} = \sum_i X_i$ .

Private input to tabulation teller  $i$ : The private key share  
 $x_i$ .

Output:  $\text{PET}(C_1, C_2)$

1. Tabulation teller  $i$  performs 2-6.
2. Pick a randomizer  $z_i \in \mathbb{Z}_q$  and compute  $\tilde{T}_i \leftarrow z_i(T_1 - T_2), \tilde{Y}_i \leftarrow z_i(Y_1 - Y_2)$ .
3. Publish a commitment to  $(\tilde{T}_i, \tilde{Y}_i)$ .  $\xrightarrow{\text{commit}(\tilde{T}_i, \tilde{Y}_i)}$
4. Wait until commitments of all tabulation tellers are available.  $\leftarrow$
5. Publish  $(\tilde{T}_i, \tilde{Y}_i)$  and a proof of equality of discrete logarithms for  $(T_1 - T_2, Y_1 - Y_2, \tilde{T}_i, \tilde{Y}_i)$ .  $\xrightarrow{(\tilde{T}_i, \tilde{Y}_i, \text{EqDlogs}(\dots))}$
6. Wait and verify all commitments and proofs.  $\leftarrow$
7. Let  $\tilde{T} \leftarrow \sum_i \tilde{T}_i, \tilde{Y} \leftarrow \sum_i \tilde{Y}_i$ .  $\leftarrow$
8. All tabulation tellers jointly decrypt  $(\tilde{T}, \tilde{Y})$ :  $\xrightarrow{\hspace{1cm}}$   
 $\leftarrow$   
 $m' \leftarrow \text{DistDec}(\tilde{T}, \tilde{Y}).$

9. If  $m' = \mathcal{O}$  then Return Equal Else Return Unequal .

## ALGORITHM 9.2. Atomic mix operation (MIX).

Input: A list  $C = [C_i]_i$  of ciphertexts, and a direction  $d \in \{\text{In}, \text{Out}\}$ .

Output: An anonymized reencryption  $M = \text{Mix}(C)$  of  $C$ , and a list of commitments.

Private output:  $r, w, p$ .

1. Pick a permutation  $\pi$  of the indices of  $C$ . (Instead of picking it, you can also compute it such that the reencrypted list  $M$  is sorted.)
2. If  $d = \text{In}$  then  $p \leftarrow \pi^{-1}$  Else  $p \leftarrow \pi$ .
3. Pick reencryption randomnesses  $r_i \xleftarrow{\text{red}} \mathbb{Z}_q^\times$  and commitment randomizers  $w_i \xleftarrow{\text{red}} \mathcal{R}$ .
4. Let  $M \leftarrow [\text{Reenc}(C_{\pi(i)}; r_i)]_i$ .
5. Let  $S \leftarrow [\text{Commit}(w_i, p(i))]$ .
6. Return  $M, S$ .

## PROTOCOL 9.3. The anonymizing mix net (MIXNET).

Public input: A list  $C = [C_i]_i$  of ciphertexts.

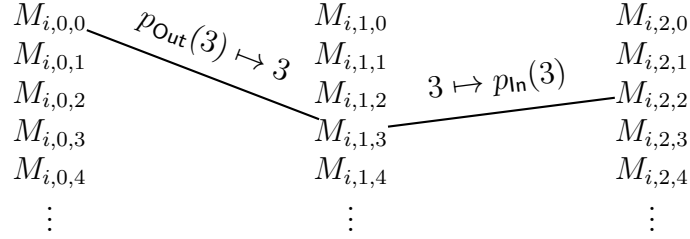
Output: Anonymization  $\text{MIXNET}(C)$  of  $C$ .

1. Let  $M_{0,2} \leftarrow C$ .
2. For  $i = 1 \dots n$  do 3–6
3. Wait for  $M_{i-1,2}$ .
4. Mix  $i$  computes  $(M_{i,1}, S_{i,1}) \leftarrow \text{Mix}(M_{i-1,2}, \text{Out})$  and publishes that.  $\xleftarrow{\hspace{1cm}}$   
 $M_{i,1}, S_{i,1}$   
 $\xrightarrow{\hspace{1cm}}$
5. Mix  $i$  computes  $(M_{i,2}, S_{i,2}) \leftarrow \text{Mix}(M_{i,1}, \text{In})$  and publishes that.  $M_{i,2}, S_{i,2}$   
 $\xrightarrow{\hspace{1cm}}$
6. Pick a further random value  $q_i \xleftarrow{\text{red}} \mathcal{R}$  and publish a commitment to it.  $\xrightarrow{\hspace{1cm}}$   
 $\text{Commit}(q_i)$   
 $\xrightarrow{\hspace{1cm}}$
7. Wait for all mixes to finish.
8. Then each mix publishes  $q_i$ .  $\xleftarrow{\hspace{1cm}}$   
 $q_i$   
 $\xrightarrow{\hspace{1cm}}$
9. Wait and verify all other mixes' commitments.  $\xleftarrow{\hspace{1cm}}$
10. Let  $q \leftarrow \text{hash}(q_1, \dots, q_n)$ .
11. Compute the challenge  $c_i \leftarrow \text{hash}(q, i)$ .
12. For  $i \in \{1, \dots, n\}$  in parallel do 13–20
13. Mix  $i$  publishes  $r_j$  or  $r_{p(j)}$  depending on  $\text{bit}_j(c_i)$ ,  $w_j$  and  $p(j)$  from the mixing resulting in  $M_{i,1+\text{bit}_j(c_i)}$  for all indices  $j$  of  $C$ .  $\left[ \left( \begin{cases} r_j & \text{if } \text{bit}_j(c_i) = 0 \\ r_{p(j)} & \text{if } \text{bit}_j(c_i) = 1 \end{cases}, w_j, p(j) \right) \right]_j$   
 $\xrightarrow{\hspace{1cm}}$
14. Now all the mixing information can be erased.
15. Wait for the other mixes' responses.  $\xleftarrow{\hspace{1cm}}$
16. Verify  $\text{Commit}(w_j, p(j)) = S_{i,1+\text{bit}_j(c_i)}$ .
17. If  $\text{bit}_j(c_i) = 0$  then
18. Verify  $\text{Reenc}_X(M_{i-1,2,p(j)}; r_j) = M_{i,1,j}$ .
19. Else

20.           Verify  $\text{Reenc}_X(M_{i,1,j}; r_{p(j)}) = M_{i,2,p(j)}$ .  
 21. Return  $M_{n,2}$

The  $q_i$ -business ensures that the challenges are influenced by all mixes in an unpredictable way. No mix can predetermine its challenge.

The proof of correct mixing reveals exactly half of the mixing process for each index  $j$  to the middle layer  $M_{i,1}$ . In this example:



either the information transforming  $M_{i,0,0}$  to  $M_{i,1,3}$  or the information transforming  $M_{i,1,3}$  to  $M_{i,2,2}$  is revealed.

If a mix cheats it remains undetected only with probability  $2^{-\#C}$ .

Note that these proofs can be checked by anyone after the mixing.

## 10. The election

Finally, we now reach the election itself.

Note that before the election a supervisor sets up various stuff. In particular a broadcast bulletin board ABB is started and rules for the election are posted there. All verification information will be posted there. Each registration teller generates credentials for each possible voter on its block (precinct), encrypts and posts them to ABB.

We start with the registration.

PROTOCOL 10.1. Registration (REGISTER).

Public input: The distributed public key  $X_{TT}$  of the tabulation tellers, a public RSA key  $K_{RT_i}$  of the registration teller  $i$ . The voter's public designation key  $X_{\text{vid}}$ . The voter's public registration RSA key  $K_{\text{vid}}$ . Identifiers of election (eid), voter (vid), registration tellers (rid), and block (bid). Public credentials

$S_j = \text{CredEnc}(s_j; t; X_{TT}; \text{rid}, \text{vid})$   
 for each registration teller  $j \in \text{rid}$ .  
 Private input to registration teller  $\text{RT}_i$ : Private  
 credential  $s_i \in \mathcal{M}$  and encryption  
 randomness  $t \in \mathbb{Z}_q^\times$ .  
 Private input to the voter: Private registration  
 RSA key  $k_{\text{vid}}, \dots$   
 Output to the voter: private credentials  
 $\text{Register}(\text{vid}, \text{rid}, \text{sid})$

1. The voter picks a nonce  $N_{\text{vid}}$  and sends the election id  $\text{eid}$ , his id  $\text{vid}$ , and the nonce encrypted to the registration teller  $i$ .
2. The registration teller  $\text{RT}_i$  verifies that  $\text{vid}$  is a voter in block (precinct)  $\text{bid}$  in election  $\text{eid}$ , and that for each registration tellers  $j$  in  $\text{rid}$  the public credential  $S_j$  is available and  $\text{CredVer}(S_j; j, \text{vid})$  succeeds.
3. The registration teller picks a nonce  $N_R$  and an AES key  $k$  (of security level  $\ell$ ).
4. Send the registration teller ids  $\text{rid}$ , the nonces  $N_R$  and  $N_V$  and the chosen AES key  $k$  to the voter.
5. The voter decrypts and verifies  $\text{rid}$  and  $N_V$ , and sends the nonce  $N_R$  back to the registration teller  $\text{RT}_i$ .
6. The registration teller  $\text{RT}_i$  verifies  $N_R$ .
7. The registration teller picks  $t' \xleftarrow{\text{Ⓢ}} \mathbb{Z}_q^\times$  and computes  $w \leftarrow t' - t$  and another encryption  $S'_i \leftarrow \text{Enc}(s_i; t', X_{TT})$  of the private credential.
8. The registration teller sends AES encrypted the private credential share and the new randomness  $t'$  together with a designated verifier proof that  $S_i$  and  $S'_i$  encrypt the same message.
9. The voter decrypts and verifies the designated verifier proof against  $S_i$  from the bulletin board.

$\text{RSAenc}_{K_{\text{RT}_i}}(\text{eid}, \text{vid}, N_{\text{vid}})$

$\text{RSAenc}_{K_{\text{vid}}}(\text{rid}, N_R, N_V, k)$

$N_R$

$\text{AESenc}_k(s_i, t', \text{DVRP}(\dots), \text{bid})$

## ALGORITHM 10.2. Fake credentials (FAKECREDENTIAL).

Input obtained from registration: Private credential shares  $s_i$ , public credential shares  $S_i$ , reencryption factors  $t_i$ , and designated verifier proofs  $D_i$  from each registration teller  $RT_i$ .

Input: Index set  $L$  of registration teller for which to fake shares. The voter's designation key pair  $(X_{\text{vid}}, x_{\text{vid}})$ .

Output: Fake private credential shares ...

1. For  $i$  do 2–10
2.   If  $i \in L$  then
3.     Pick  $\tilde{t}_i \xleftarrow{\text{red}} \mathbb{Z}_q^\times$ .
4.     Pick  $\tilde{s}_i$  randomly.
5.      $\tilde{S}_i \leftarrow \text{enc}(\tilde{s}_i; \tilde{t}_i; X_{\text{TT}})$ .
6.     Compute a non-interactive fake designated verifier proof  $\tilde{D}_i$  by Protocol 6.4
7.   Else
8.     Let  $\tilde{t}_i \leftarrow t_i$ .
9.     Let  $\tilde{s}_i \leftarrow s_i$ .
10.    Let  $\tilde{D}_i \leftarrow D_i$
11. Return  $[(\tilde{s}_i, \tilde{t}_i, \tilde{D}_i)]_i$

## PROTOCOL 10.3. Vote (VOTE).

Public input: The distributed public key  $X_{\text{TT}}$  of the tabulation tellers. Well-known choice ciphertext list  $C$ .

Private input: The voter's choice  $t$  and his credentials  $s$ .

Output to the ballot box:  $\text{Vote}(t, s)$

1. The voter picks a randomness  $r_s$  and encrypts his credentials  $S \leftarrow \text{enc}(s; r_s; X_{\text{TT}})$  for the tabulation tellers.
2. He picks a randomness  $r_v$  and reencrypts his choice  $C_t$ :  $V \leftarrow \text{reenc}(C_t; r_v)$ .
3. He prepares a vote proof  $P_w$  of correct voting by Protocol 8.2 with inputs  $S, V, r_s, r_v$ , and further context.
4. He prepares a REENC PF  $P_k$  that  $V$  is a reencryption of one of the ciphertexts  $C$  by Protocol 8.1.
5. Let  $\text{vote} \leftarrow (S, V, P_w, P_k)$  and send this to the ballot box.

vote  $\longrightarrow$

PROTOCOL 10.4. Tabulate (TABULATE).

Principals: Tabulation tellers  $TT_1, \dots, TT_n$ , broadcast bulletin board ABB, ballot boxes  $VBB_1, \dots, VBB_m$ , supervisor Sup.

Public input:  $X_{TT}$ , contents of bulletin board ABB.

Private input to  $TT_i$ : Private key share  $x_i$  of  $X_{TT}$ .

Output: Election tally for one block.

- |   |   |
|---|---|
| 1. Each ballot box $VBB_i$ posts commitments on the list of all votes on the tabulation board ABB.  | $\xrightarrow{\text{Commit}(\text{received votes})}$        |
| 2. The supervisor signs the list of all received VBB commitments.   | $\xrightarrow{\text{sign}_{\text{Sup}}(\text{ABB so far})}$ |
| 3. The tabulation tellers $TT_i$ jointly execute 4–11.  |   |
| 4. <b>Retrieve votes.</b> Retrieve all votes from all endorsed ballot boxes $VBB_i$ . Verify the commitments. Let $A \leftarrow$ list of votes.   | $\xleftarrow{\text{votes}}$<br>$\xrightarrow{A}$            |
| 5. <b>Check proofs.</b> Verify all VotePfs and ReencPfs in retrieved votes. Eliminate any votes with an invalid proof. Let $B$ be the list of remaining votes.  | $\xrightarrow{B}$   |
| 6. <b>Duplicate elimination.</b> Run the plaintext equivalence test $\text{PET}(S'_i, S'_j)$ for all pairs $(i, j)$ , where $S'_x$ is the encrypted credential in vote $B_x$ . Eliminate equivalent votes according to a revoting policy. Let $C$ be the list of remaining votes. | $\xrightarrow{C}$   |
| 7. <b>Mix votes.</b> $D \leftarrow \text{MixNet}(C)$ .  | $\xrightarrow{D}$   |
| 8. <b>Mix credentials.</b> Let $E$ be the list of all initially created encrypted credentials. Anonymize it: $F \leftarrow \text{MixNet}(E)$ .  | $\xleftarrow{E}$<br>$\xrightarrow{F}$                       |
| 9. <b>Invalid elimination.</b> Run the plaintext equivalence test $\text{PET}(S_i, S'_j)$ for all pairs $(i, j)$ where $S_i = F_i, S_j = D_j$ . Eliminate votes from $D$ for which there is no equivalent credential found in $F$ . Let $G$ be the list of remaining votes.       | $\xrightarrow{G}$   |
| 10. <b>Decrypt.</b> Let $H_i \leftarrow \text{DistDec}(G_i)$ for all $i$ .  | $\xrightarrow{H}$   |
| 11. <b>Tally.</b> Compute the tally of $H$ according to an election method specified by the supervisor.   | $\xrightarrow{\text{tally}}$                                |
| 12. Finally, the supervisor endorses the tally (if ...).  | $\xrightarrow{\text{Sign ABB so far.}}$                     |

## 11. Security model and trust assumptions

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## References

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